

KATRIN –

Karlsruhe Tritium Neutrino Experiment

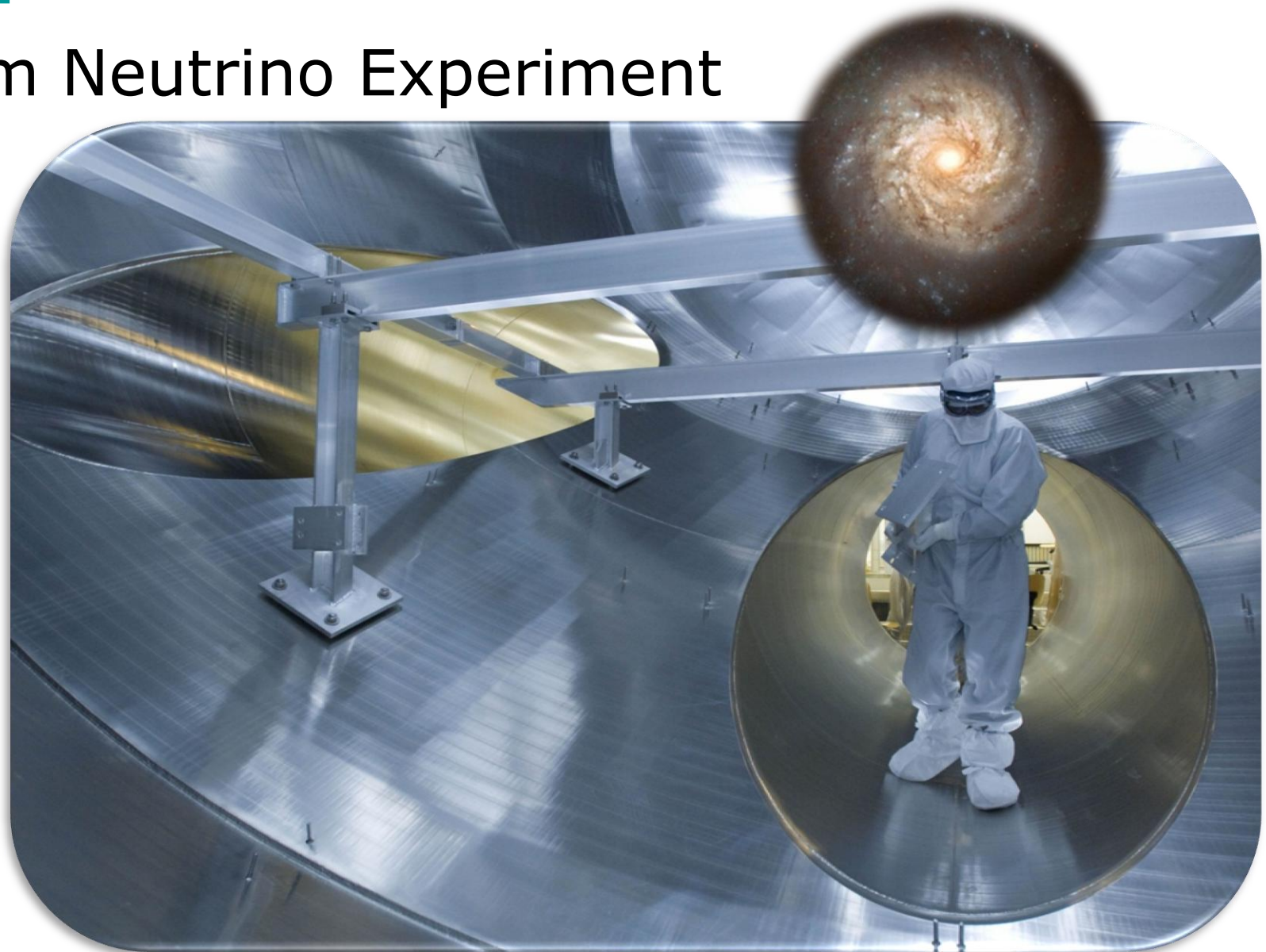
Outline of lecture:

Introduction: massive ν 's

β -spectroscopy & $m(\nu)$

KATRIN

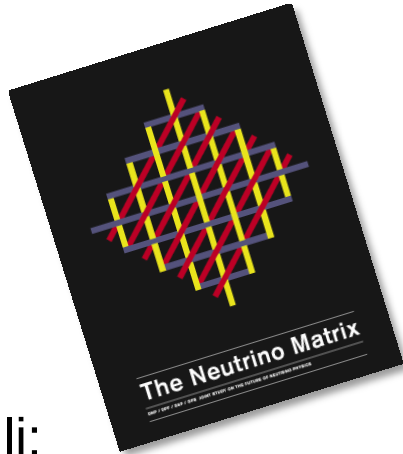
Conclusions & Outlook



neutrino physics – historical review

‘the growing excitement of neutrino physics’

The Neutrino Matrix, APS Neutrino Study



see lectures
C. Hagner

Pauli:
 ν -postulate

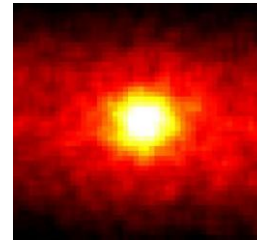


Fermi:
theory

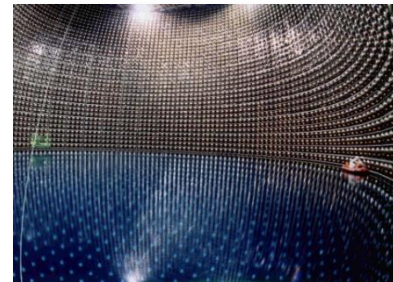
Reines & Cowan:
 ν -detection



solar
 ν -deficit
chlorine-37



Reines,
Davis &
Koshiba



neutrino properties?

LBL-experiments:
L/E variation

SNO solves problem
of the deficit ν_{solar}

Super-Kamiokande:
oscillation of ν_{atm}

pp- ν s (Gallex, SAGE)

SN1987a: ν -pulse observed

LEP: Z^0 width – $N_\nu = 3$ (ν_e, ν_μ, ν_τ)

Kamiokande confirms deficit of ν_{solar}

1930

1955

1980

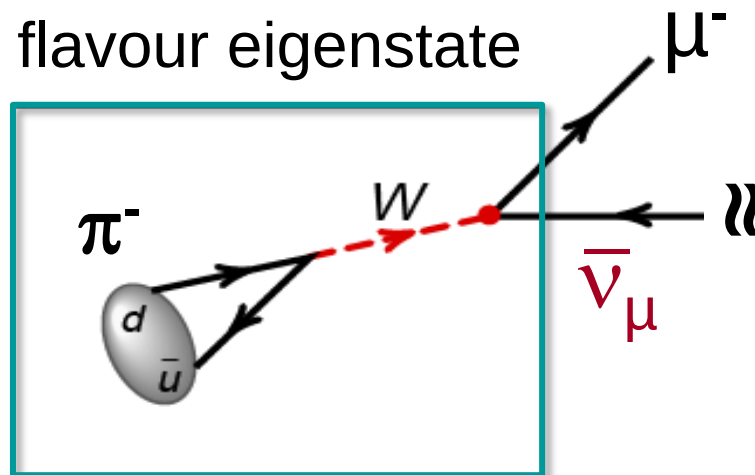
2000

neutrino masses in particle physics

ν -oscillations (solar, atmospheric, LBL ν s): ν s have non-zero masses!

- mixing angles θ_{ij} , mass splittings Δm^2_{ij}

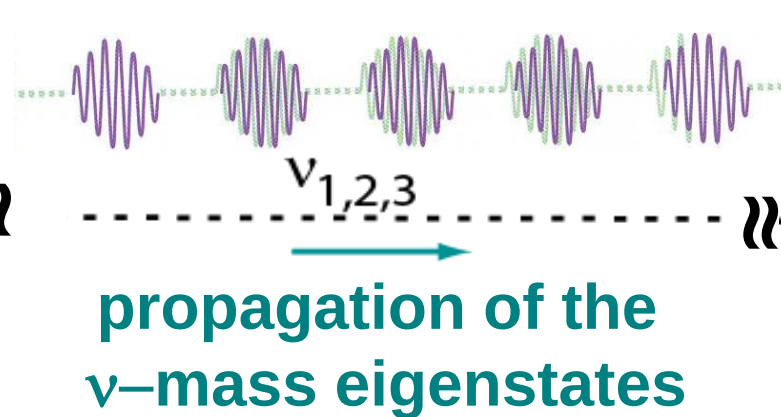
ν -source



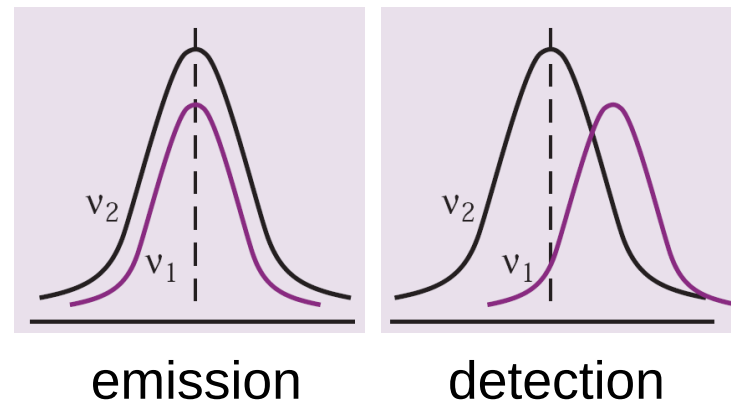
source parameters
to be determined:

ν -energies
 ν -fluxes
 ν -flavours

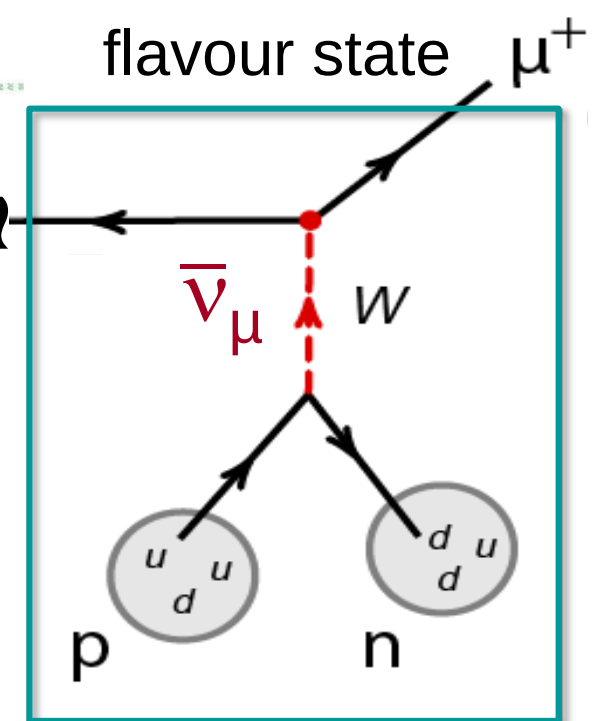
ν -oscillations



$L = 10 \text{ m} \dots 10.000 \text{ km}$



ν -detection



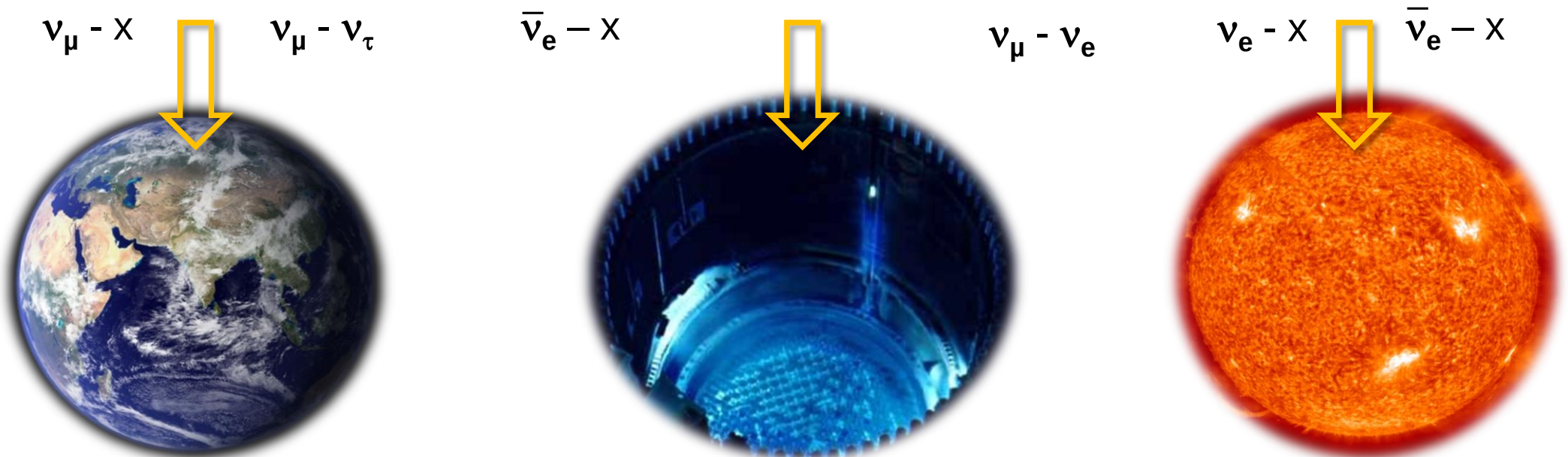
ν -detection efficiency
 ν -energy resolution

neutrino oscillations: 3-flavour mixing

3-flavour oscillations decouple into three separate mixing terms: δ : CP-phase

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{23} & \sin \theta_{23} \\ 0 & -\sin \theta_{23} & \cos \theta_{23} \end{pmatrix} \cdot \begin{pmatrix} \cos \theta_{13} & 0 & \sin \theta_{13} e^{-i\delta} \\ 0 & 1 & 0 \\ -\sin \theta_{13} e^{-i\delta} & 0 & \cos \theta_{13} \end{pmatrix} \cdot \begin{pmatrix} \cos \theta_{12} & \sin \theta_{12} & 0 \\ -\sin \theta_{12} & \cos \theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

2. & 3. generation	1. & 3. generation	1. & 2. generation
atmospheric ν 's	solar / reactor neutrinos	solar neutrinos
long baseline accelerators	long baseline reactor/accelerators	reactor experiments

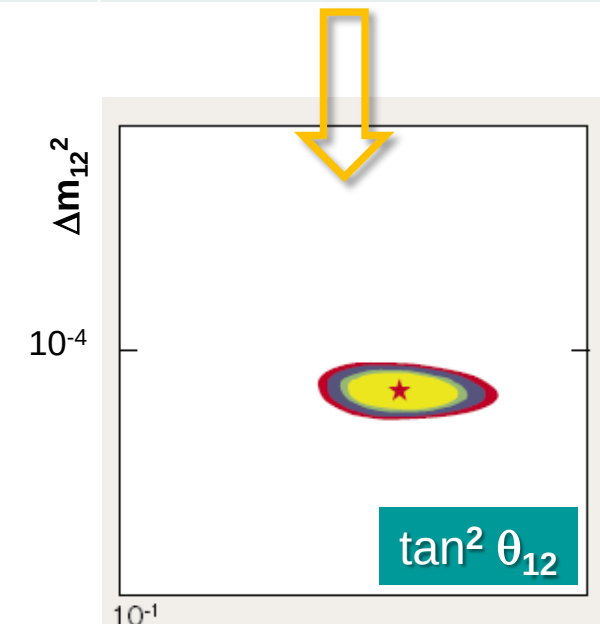
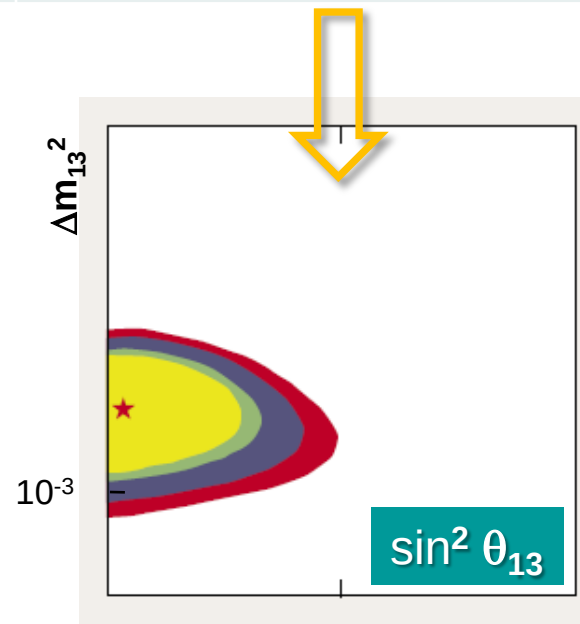
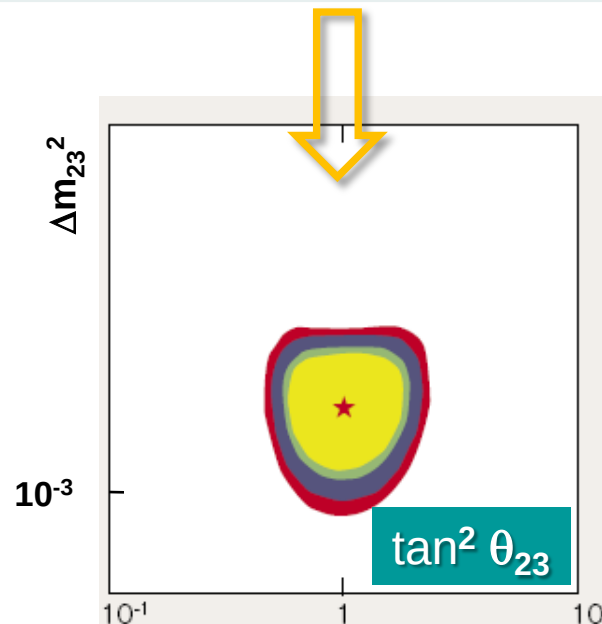


neutrino oscillations: 3-flavour mixing

3-flavour oscillations decouple into three separate mixing terms:

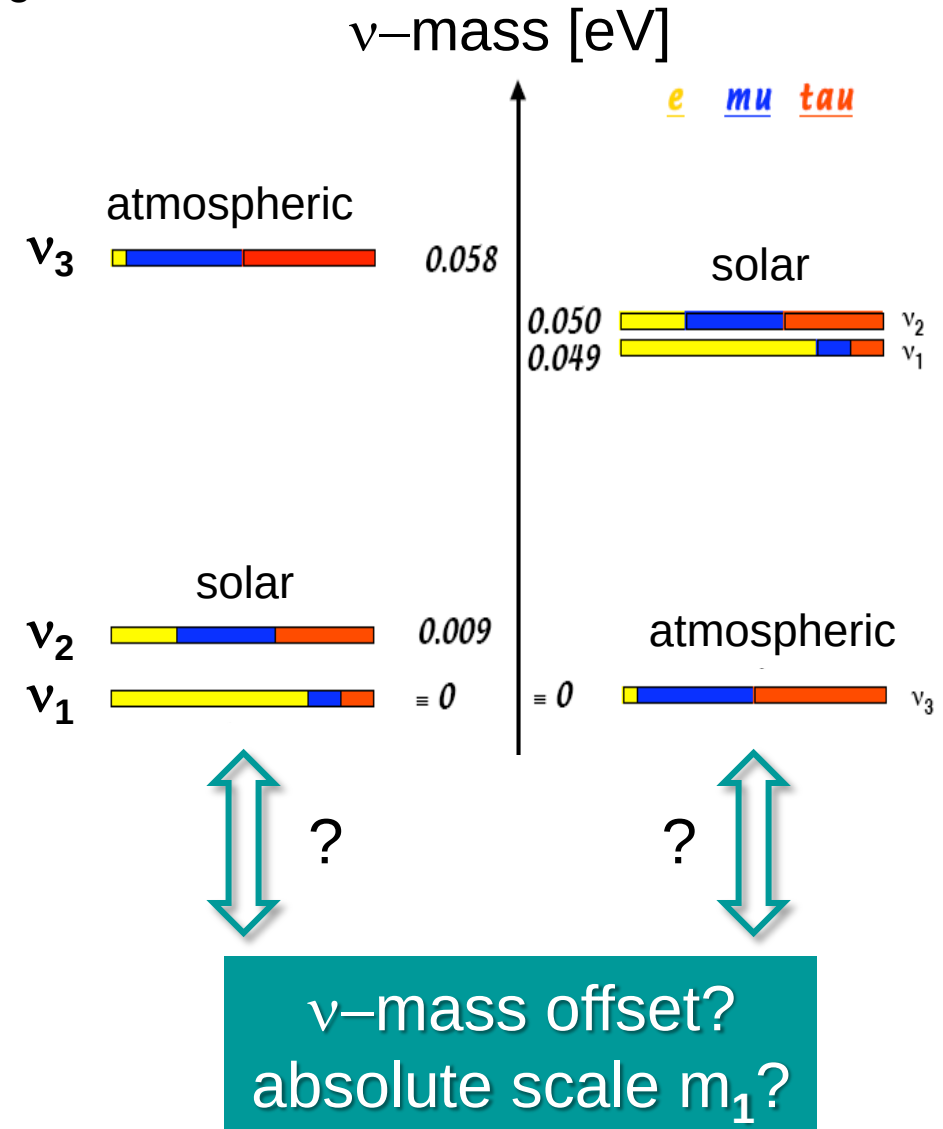
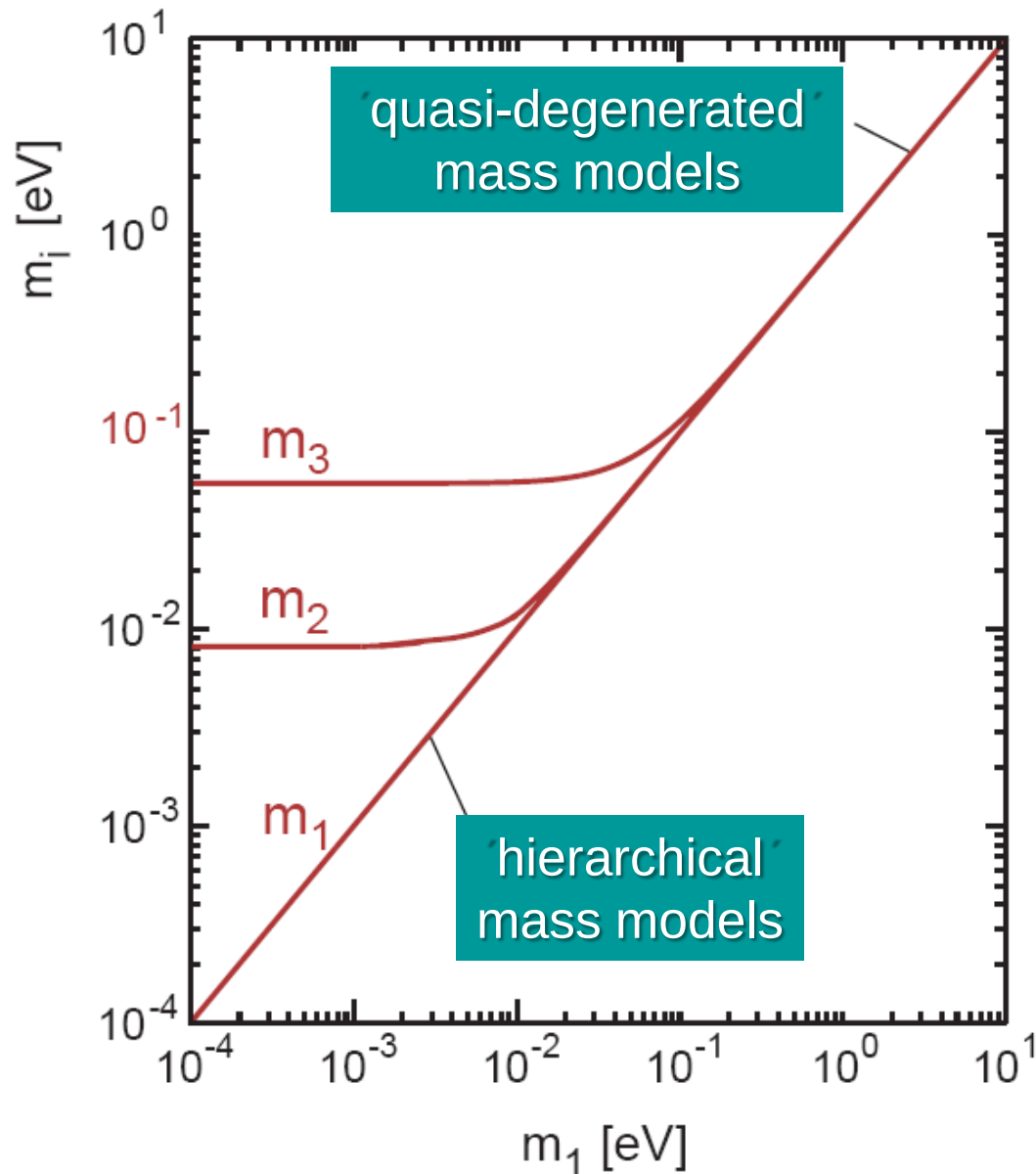
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2. & 3. generation	1. & 3. generation	1. & 2. generation
$\Delta m_{23}^2 = 2.3 \times 10^{-3} \text{ eV}^2$	$\Delta m_{13}^2 = 2.3 \times 10^{-3} \text{ eV}^2$	$\Delta m_{12}^2 = 7.6 \times 10^{-5} \text{ eV}^2$
$\theta_{23} = (45 \pm 4)^\circ$ (maximum)	$\theta_{13} < 12^\circ$ (very small)	$\theta_{23} = (33.7 \pm 1.3)^\circ$ (large)



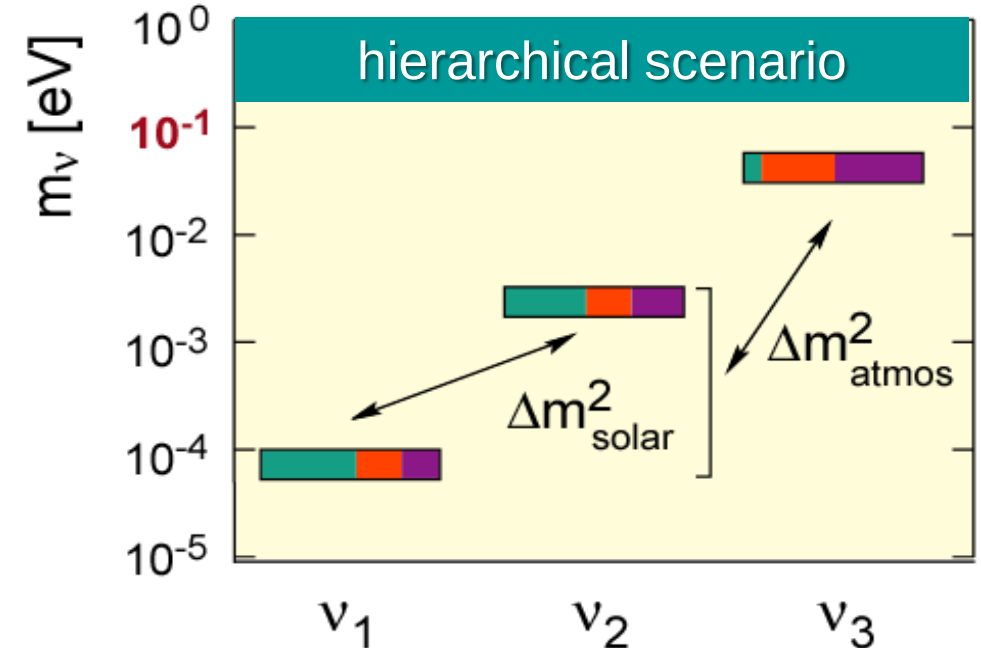
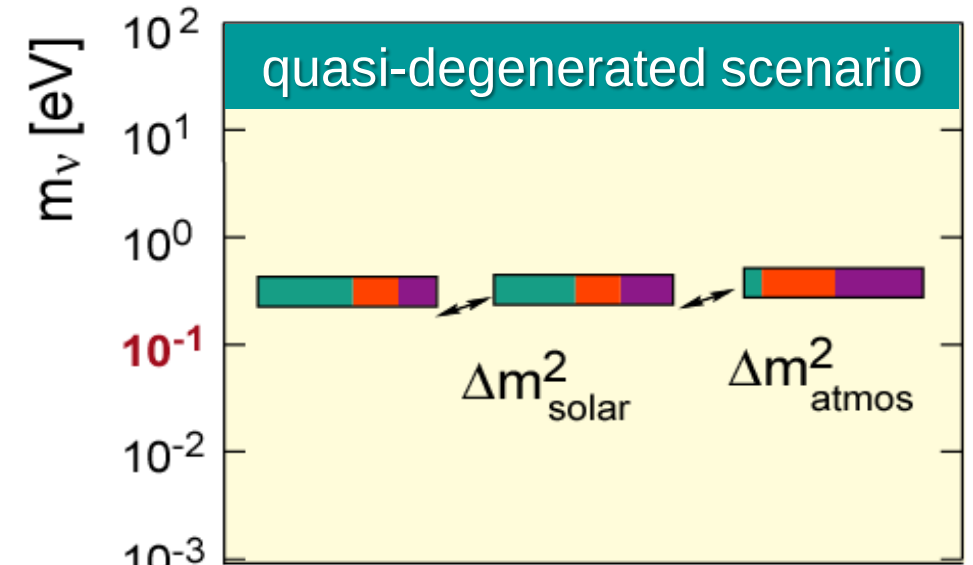
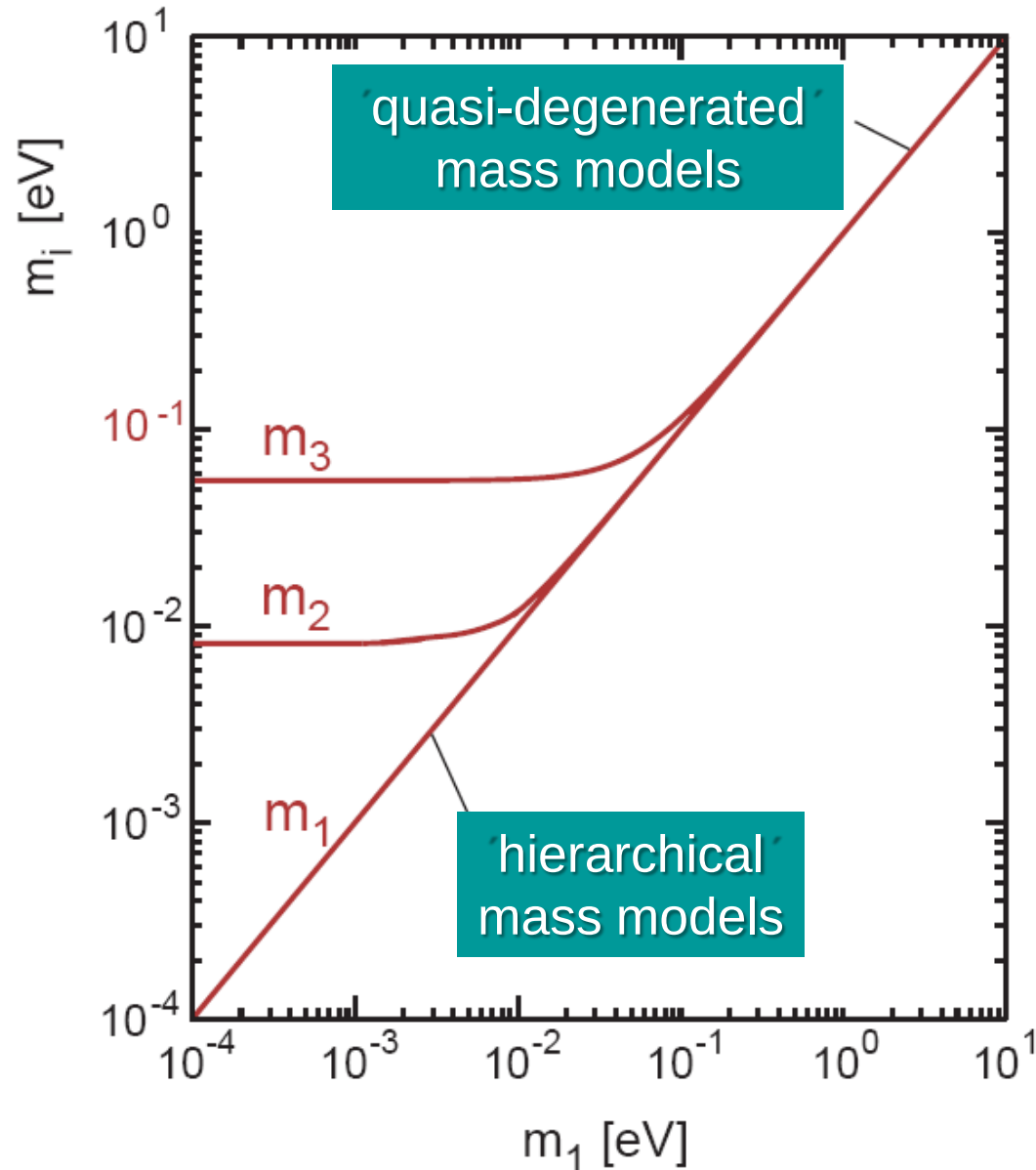
neutrino masses in particle physics

normal hierarchy scenario with $m_1 < m_2 < m_3$



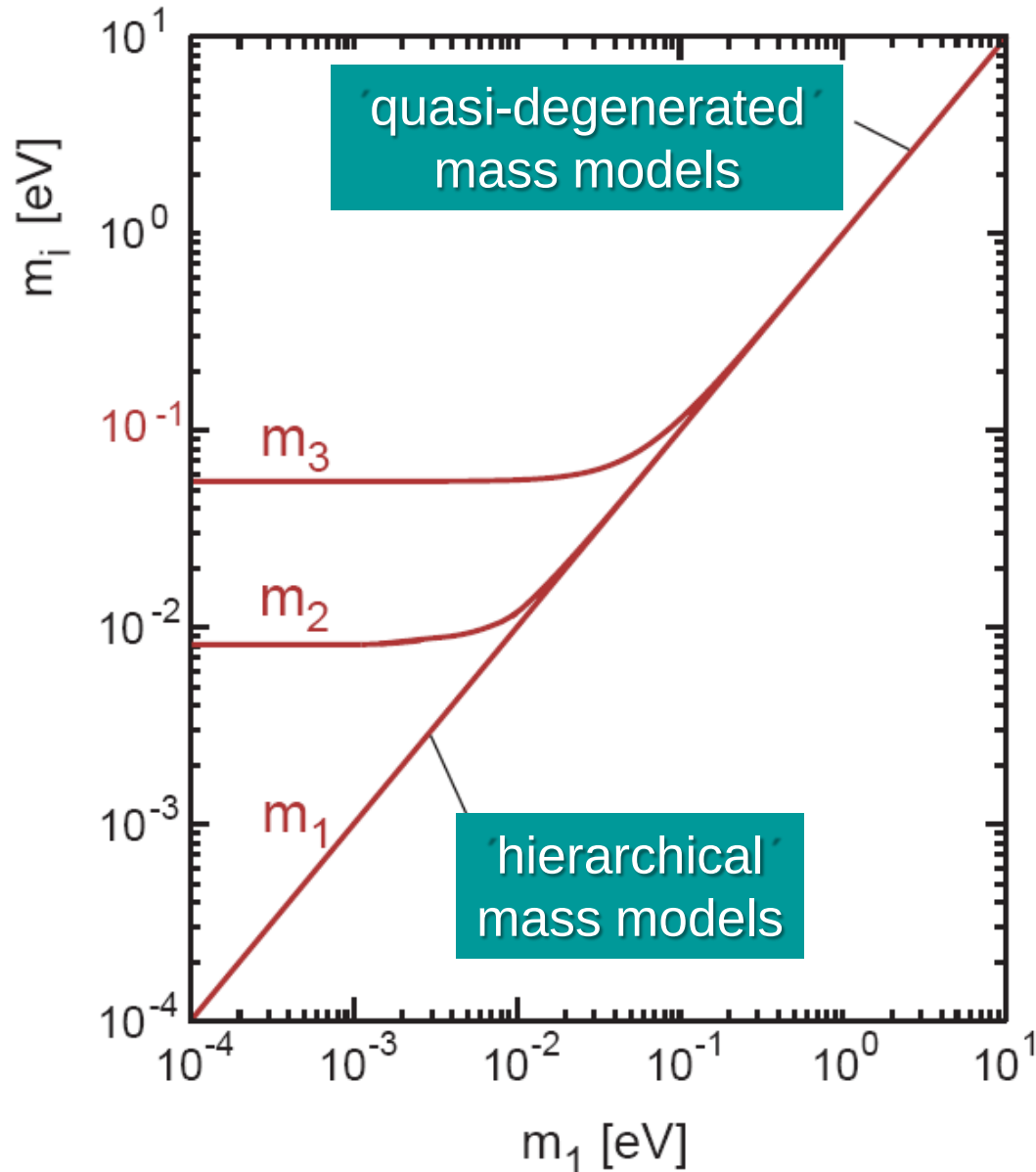
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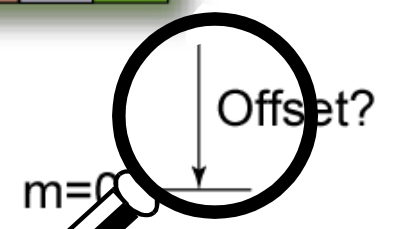
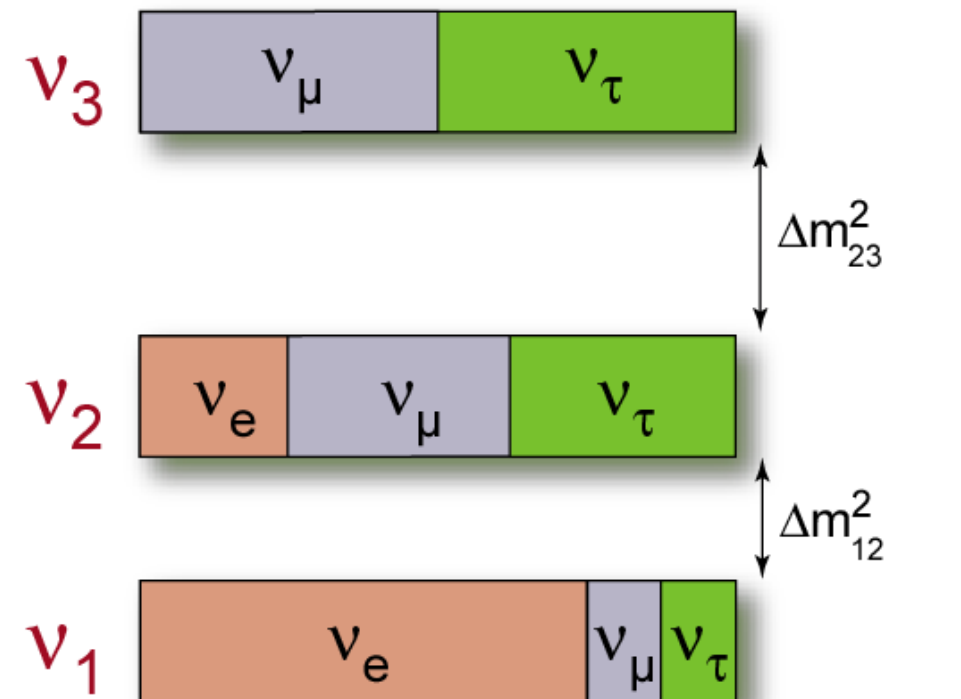


neutrino masses in particle physics

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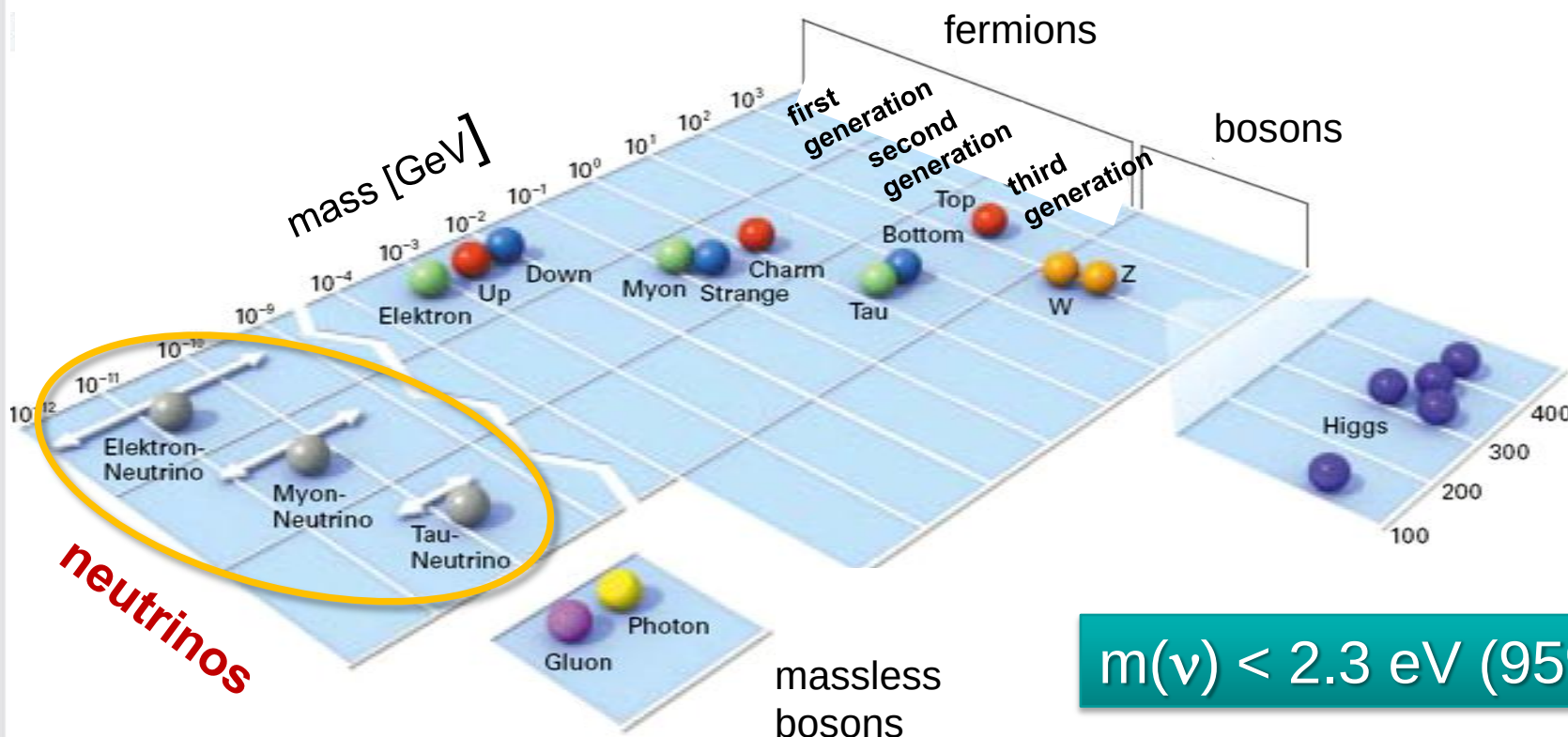
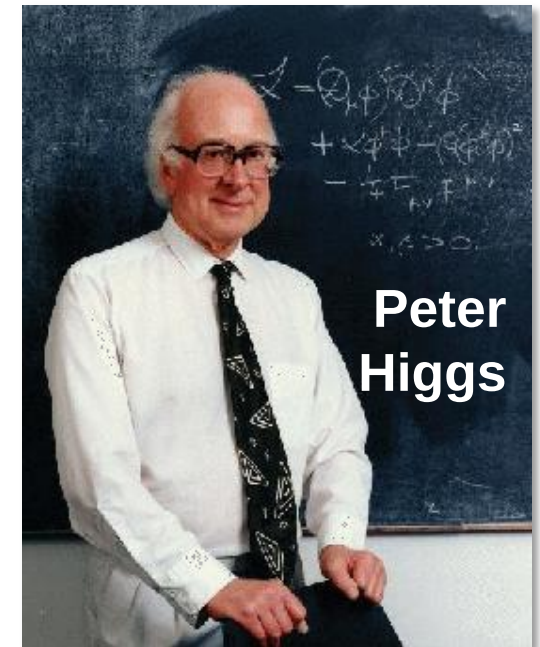
flavour composition
of mass eigenstates



neutrino masses in particle physics

Higgs mechanism: SM particles acquire mass via interaction with the scalar Higgs boson, this coupling results in a **change of handedness** (lefthanded $\leftrightarrow$ righthanded $\nu_{L,R} \leftrightarrow \bar{\nu}_{L,R}$)

but: why are neutrino masses much smaller than the masses of all other SM particles?

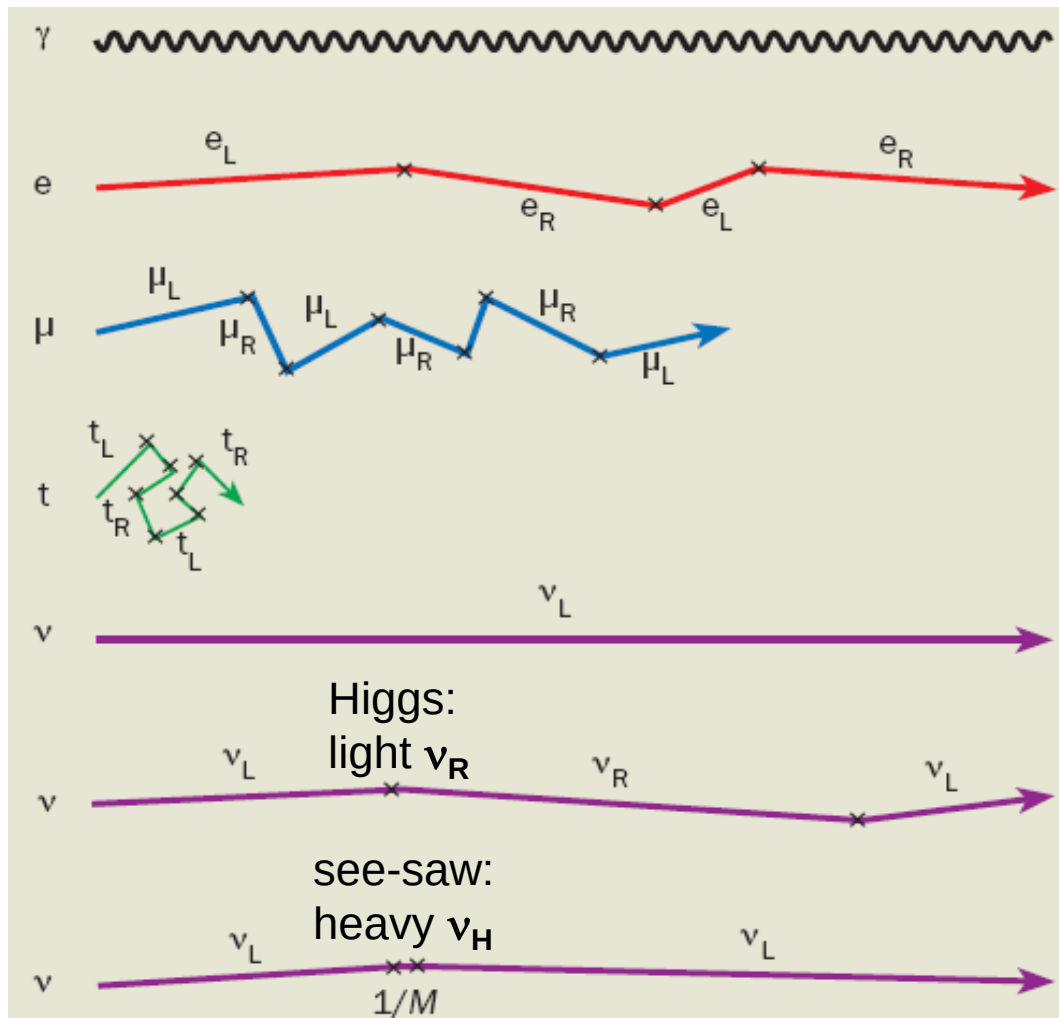


$$m(\nu) < 2.3 \text{ eV (95\% C.L.)}$$

Troitsk
Mainz

neutrino masses in particle physics

Higgs mechanism: SM particles acquire mass via interaction with the scalar Higgs boson, this coupling results in a **change of handedness** (lefthanded $\leftrightarrow$ righthanded $\nu_{L,R} \leftrightarrow \nu_{L,R}$)



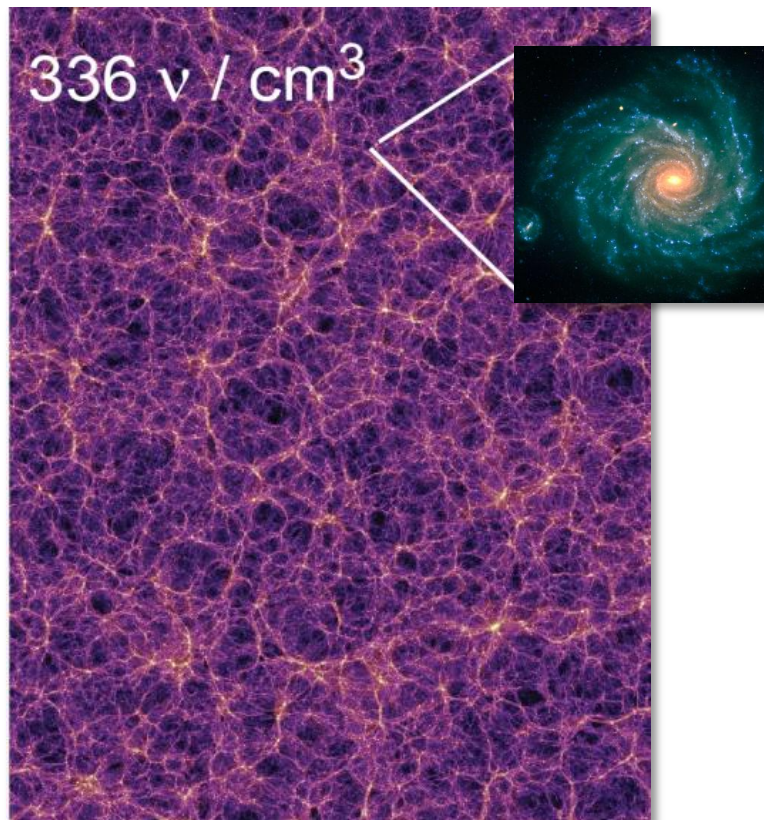
particle	scale	m [MeV]
photon γ	massless	0
electron e	light	0.511
muon μ	medium	105.6
top quark t	heavy	$1.71 \cdot 10^5$
SM neutrino ν_L	massless	0
Dirac ν ν^D	very light	$10^{-8} - 10^{-6}$
Majorana ν ν^M	very light	$10^{-8} - 10^{-6}$

relic ν background & ν -masses

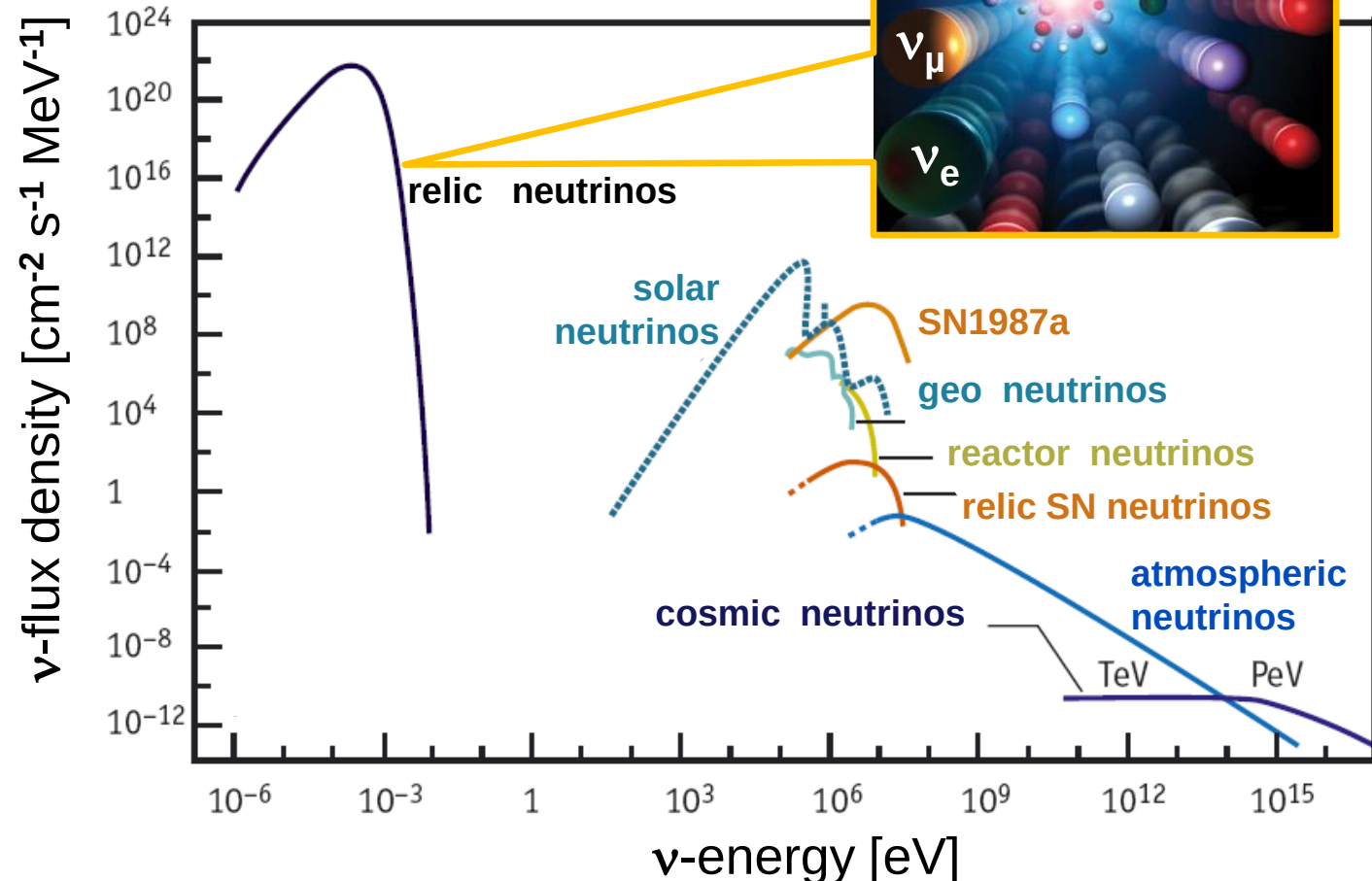
cosmic architects: what is the role of relic ν s as **hot dark matter**?

large scale structures: free streaming of ν s on Gpc scales (less small clusters)

cosmology



structure of the Universe
(Millenium Simulation)

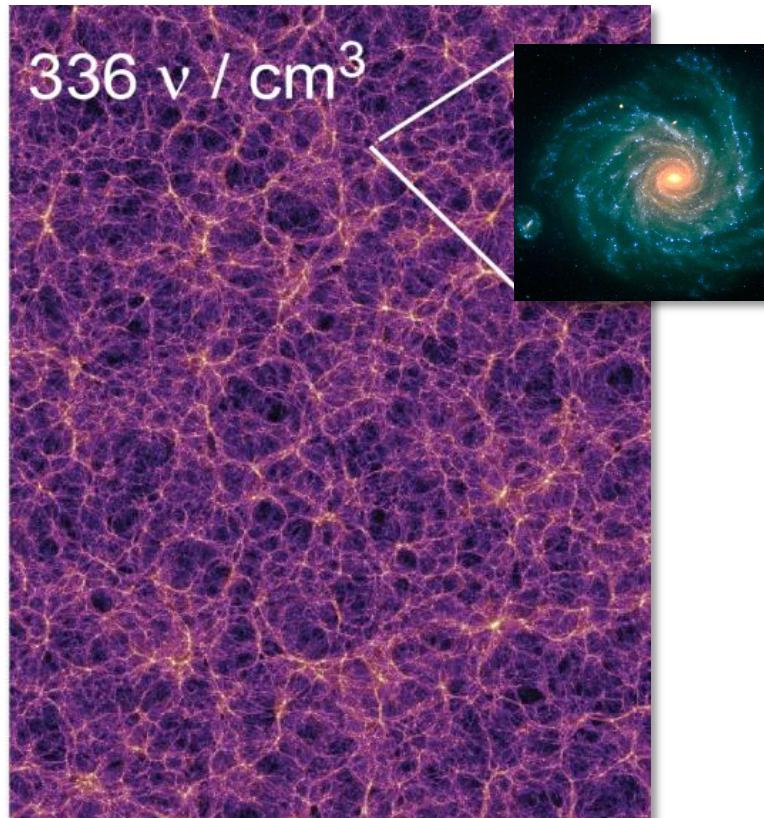


neutrinos in cosmology

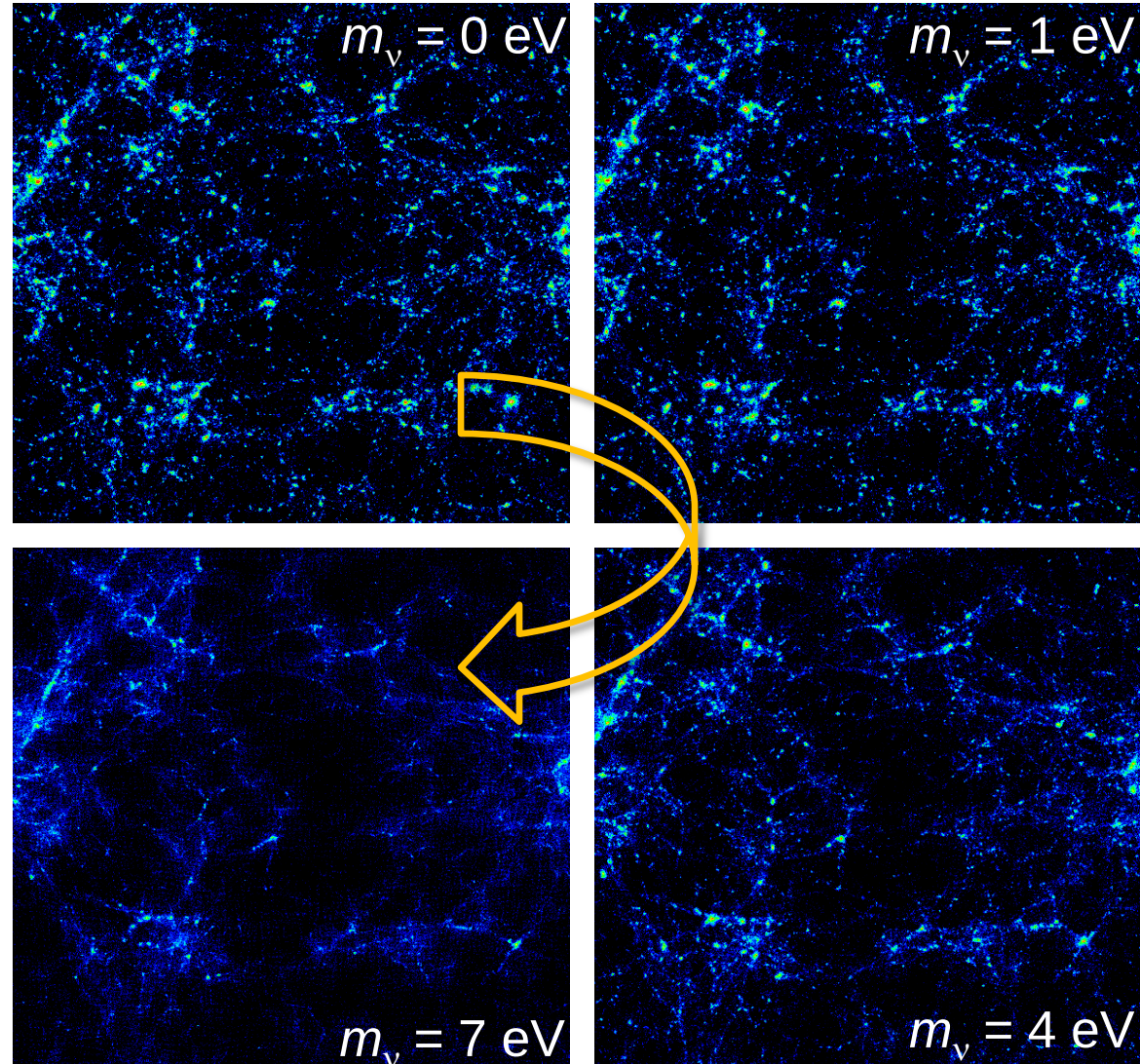
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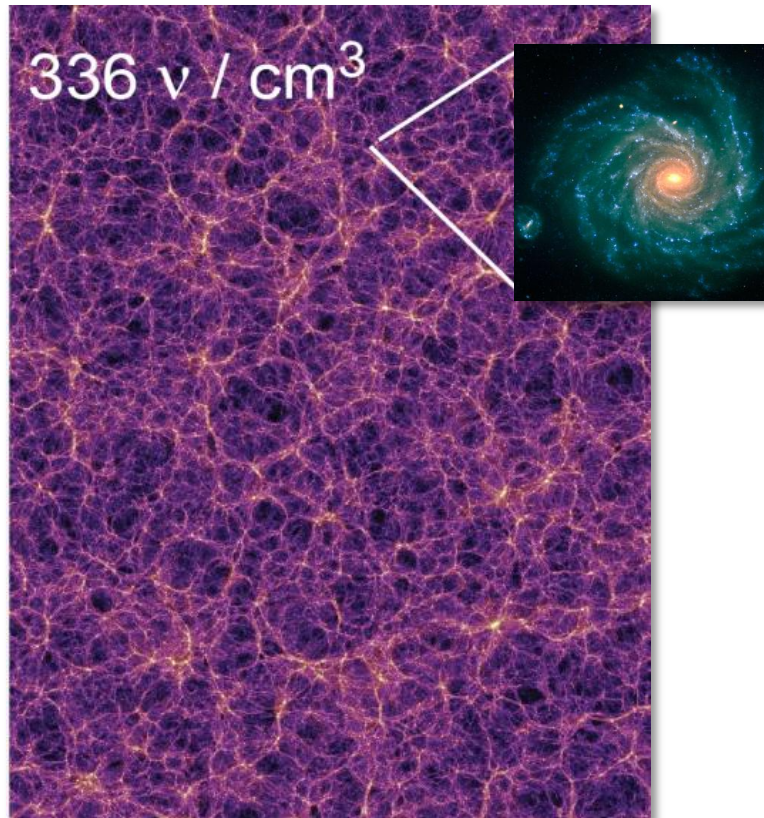


neutrinos in cosmology

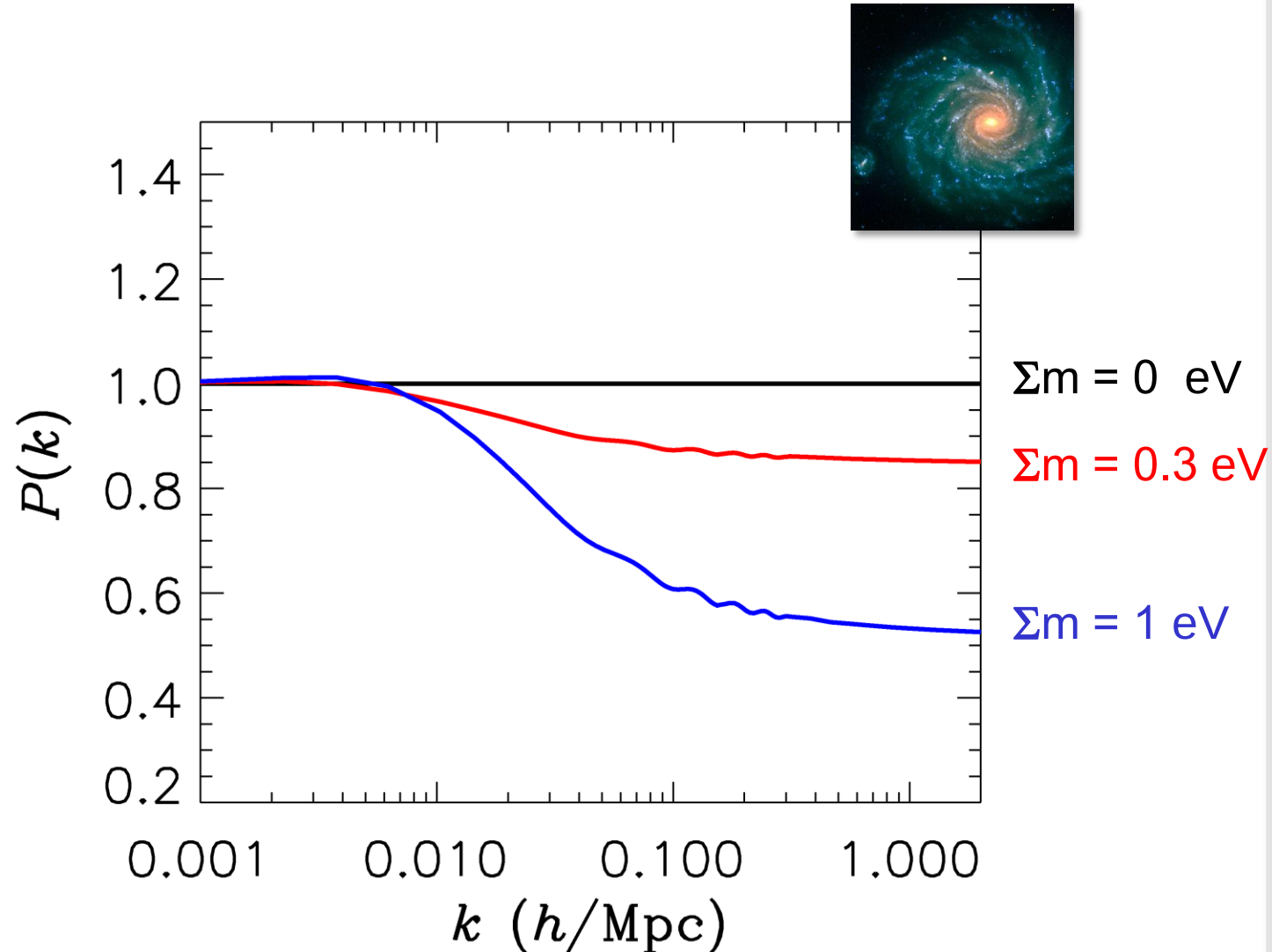
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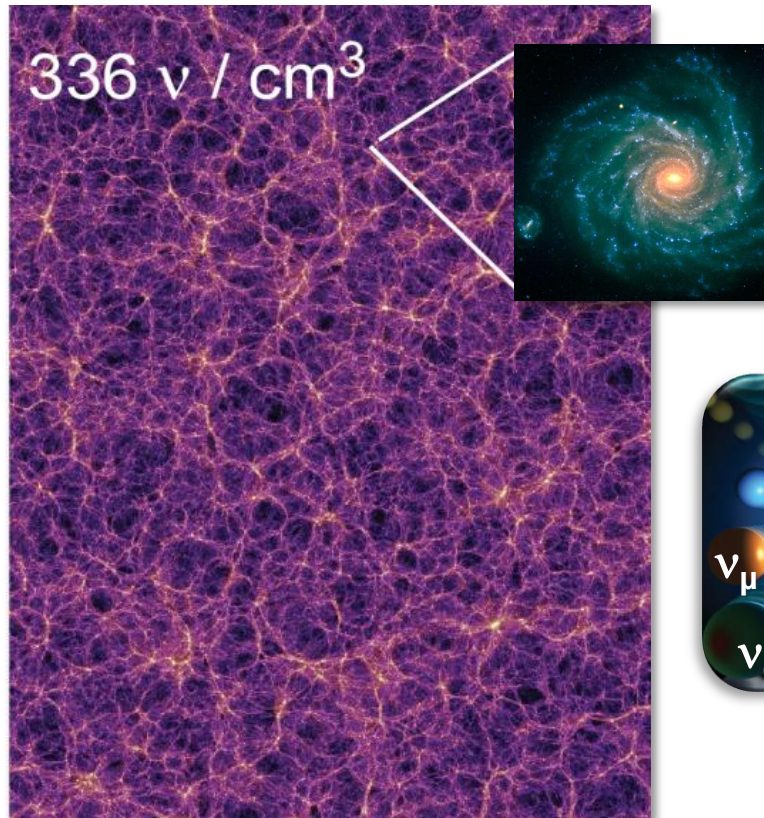


motivation: ν s in astroparticle physics

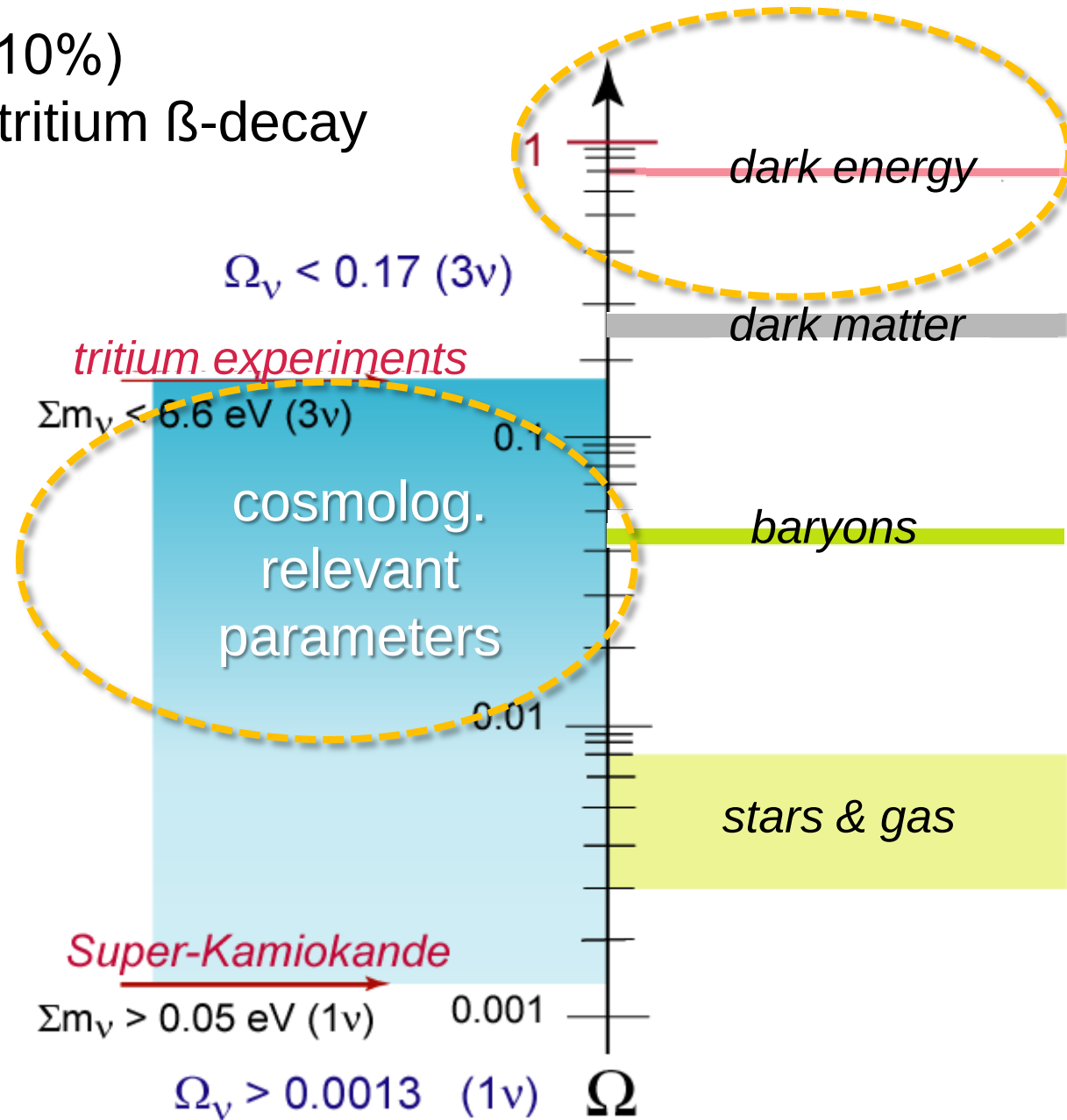
HDM contribution: 2 orders (0.1% $\rightarrow$ 10%)

lower limit: ν -oscillations upper limit: tritium β -decay

$$\Omega_\nu h^2 = \Sigma m_\nu / 92 \text{ eV}$$



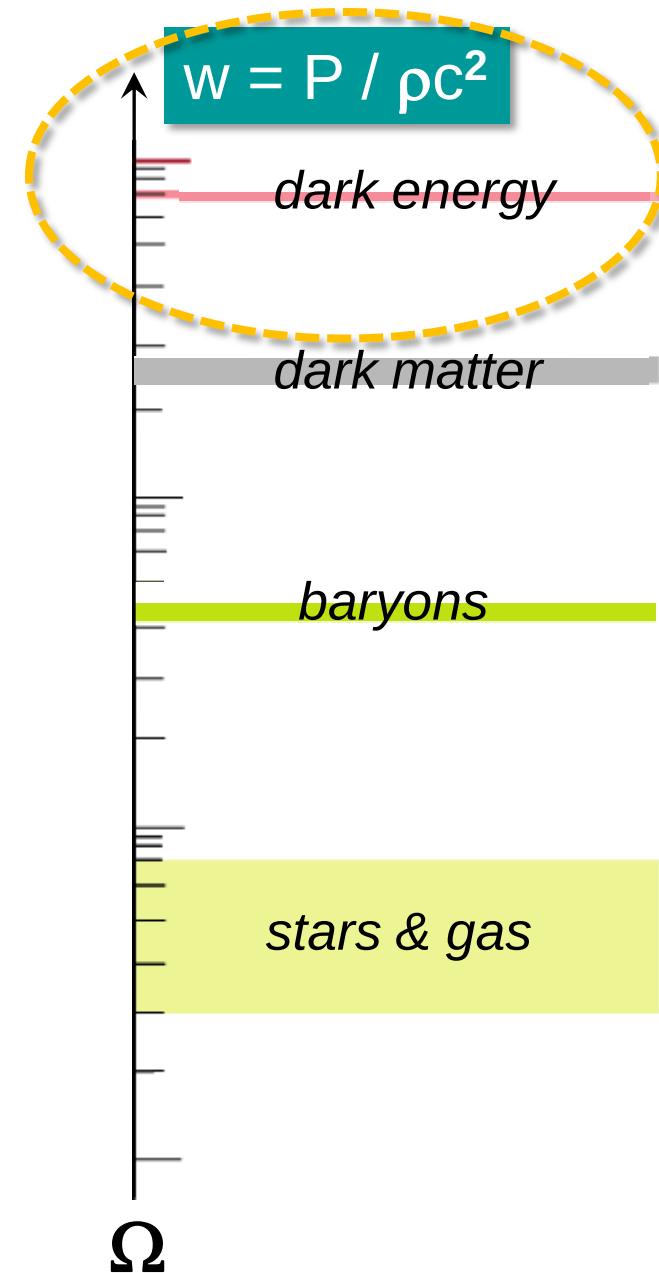
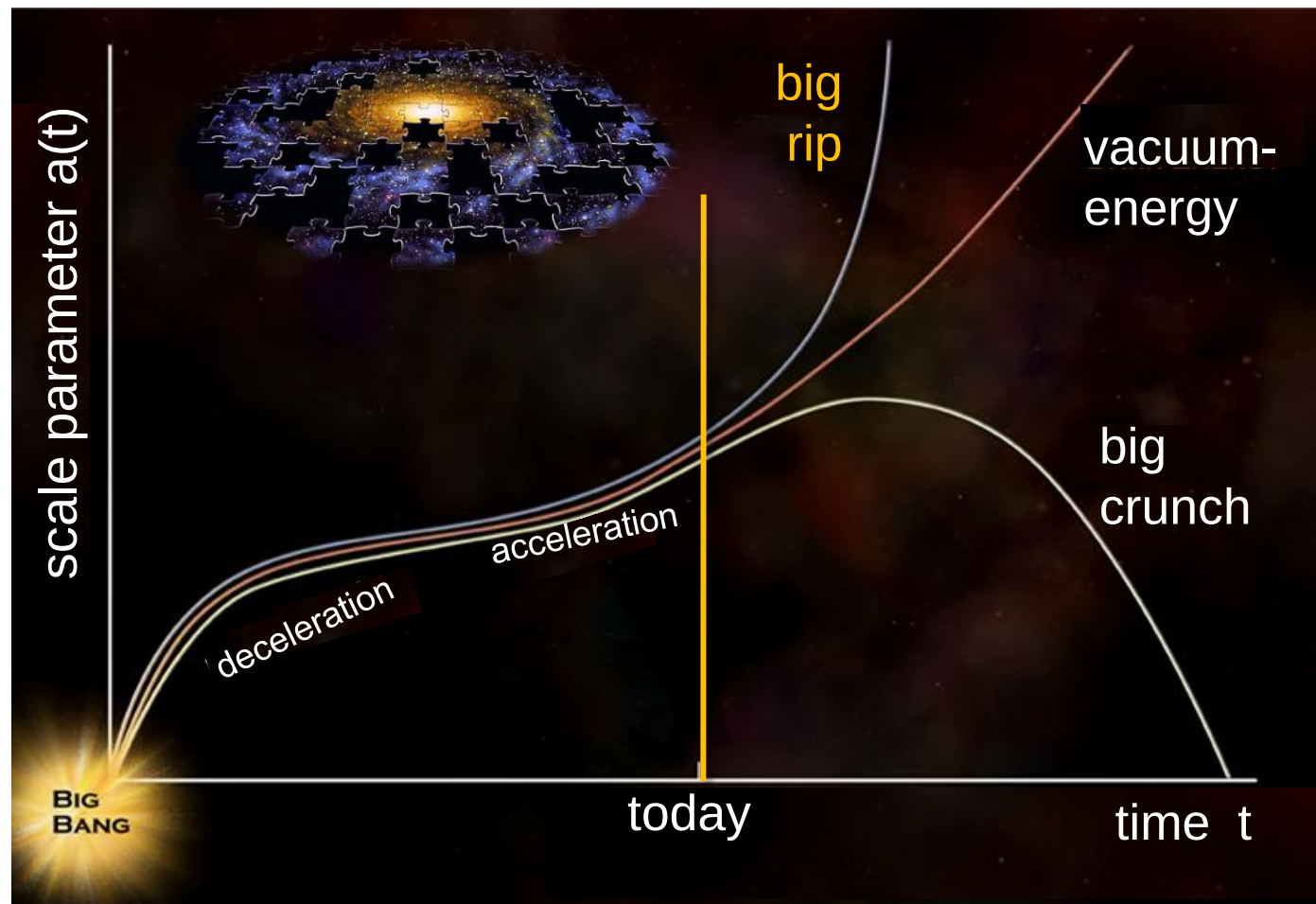
structure of the Universe
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motivation: ν s in astroparticle physics

global analysis of cosmological data (CMBR & LSS):
correlation of ν -mass $m(\nu)$ & DE equation of state w

m_ν & dark energy equation of state w

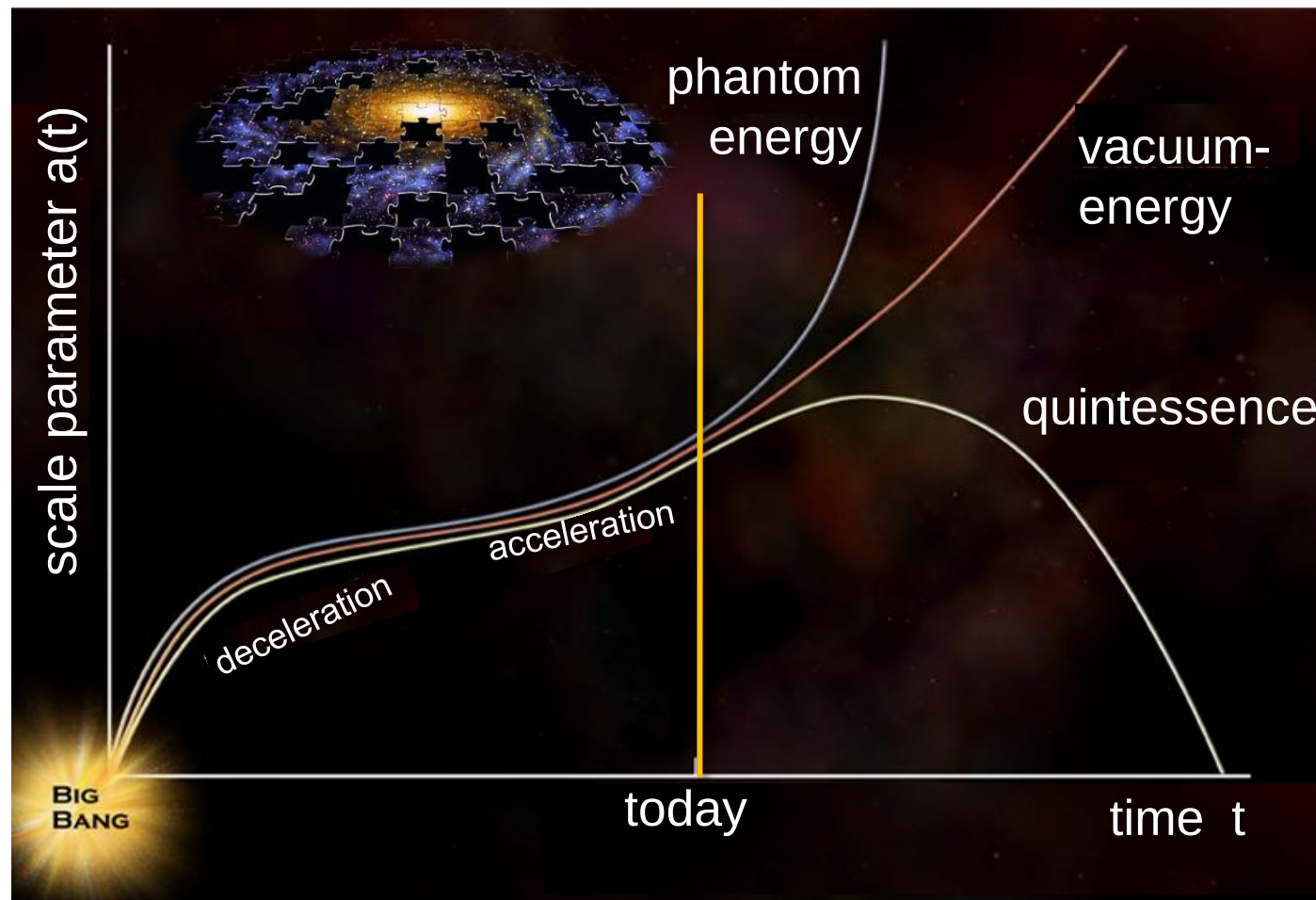


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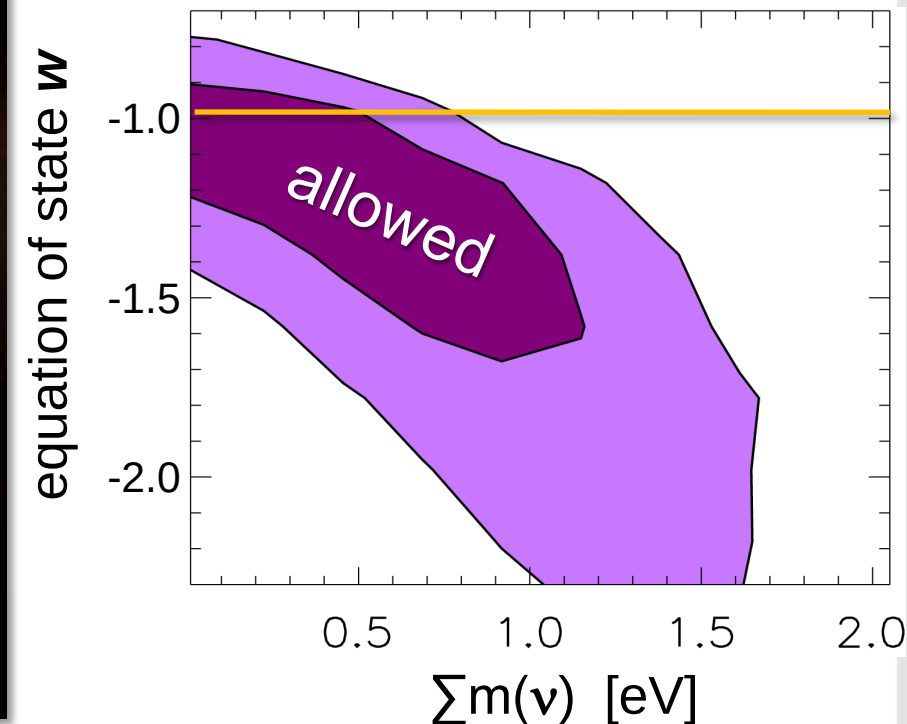
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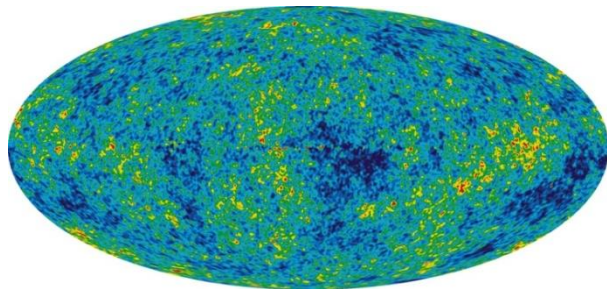
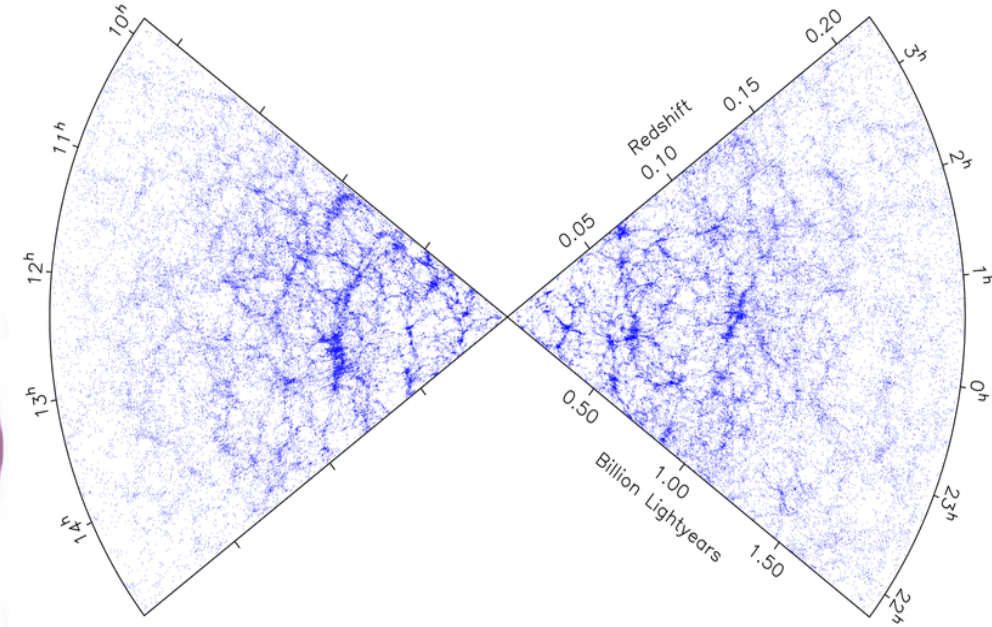
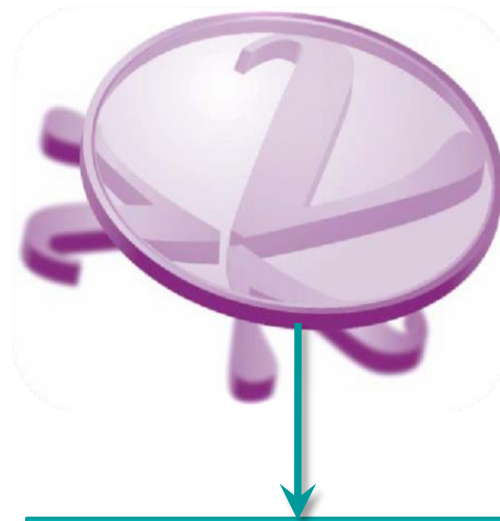
m_ν & dark energy equation of state $w = P/\rho c^2$



laboratory measurement
of $m(\nu) > 0.2$ eV could imply
 $w < -1$ (phantom energy)



neutrino mass: status and perspectives



cosmology
sum Σm_i , HDM Ω_ν

model-dependent (multi-parameter fits)

status: $\Sigma m_i < 1$ eV [Hannestad et al., arXiv:0803.1585v2]

potential: $\Sigma m_i = 20\text{-}50$ meV

Planck, LSST, weak lensing



neutrino mass: status and perspectives

Dirac neutrino

4 ν states

lepton number

conservation $\Delta L = 0$

neutrino $\neq$ antineutrino

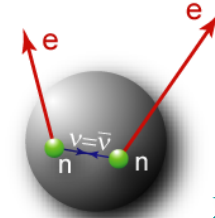
Majorana neutrino

2 ν states

lepton number

violation $\Delta L = 2$

ν^D and ν^M only distinguishable
if $m_\nu \neq 0$



search for $0\nu\beta\beta$
eff. Majorana mass $m_{\beta\beta}$

model-dependent (CP-phases)

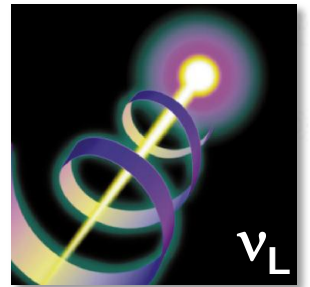
status: $m_{\beta\beta} < 0.35$ eV, evidence?

potential: $m_{\beta\beta} = 20\text{-}50$ meV

GERDA, EXO, CUORE



Majorana and Dirac neutrinos



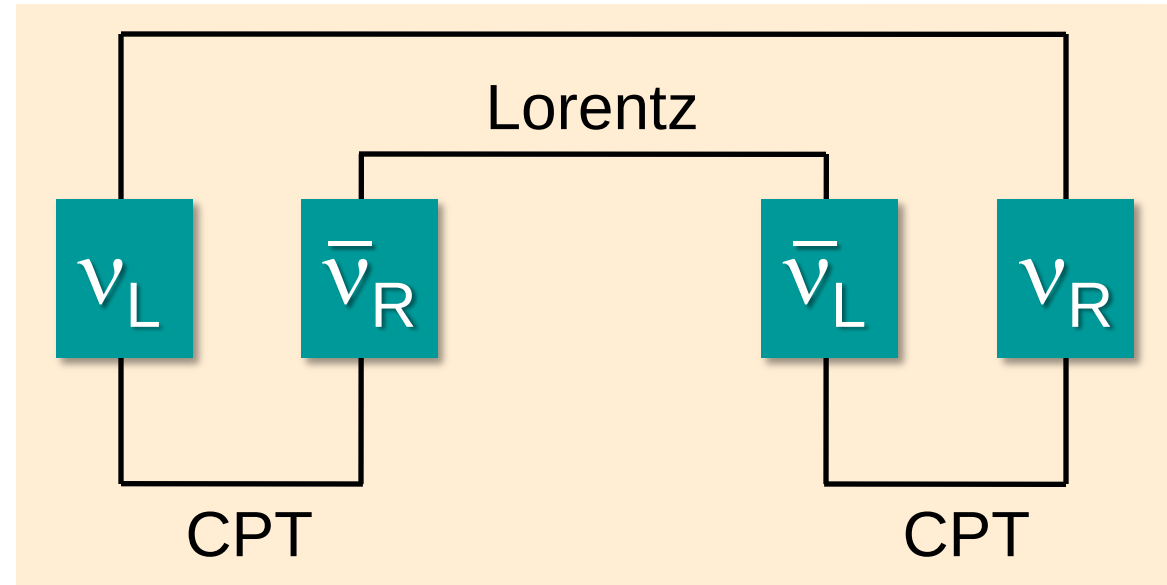
what is the intrinsic **particle-antiparticle symmetry** of neutrinos?

Dirac neutrino

4 ν states

lepton number
conservation $\Delta L = 0$

neutrino $\neq$ antineutrino



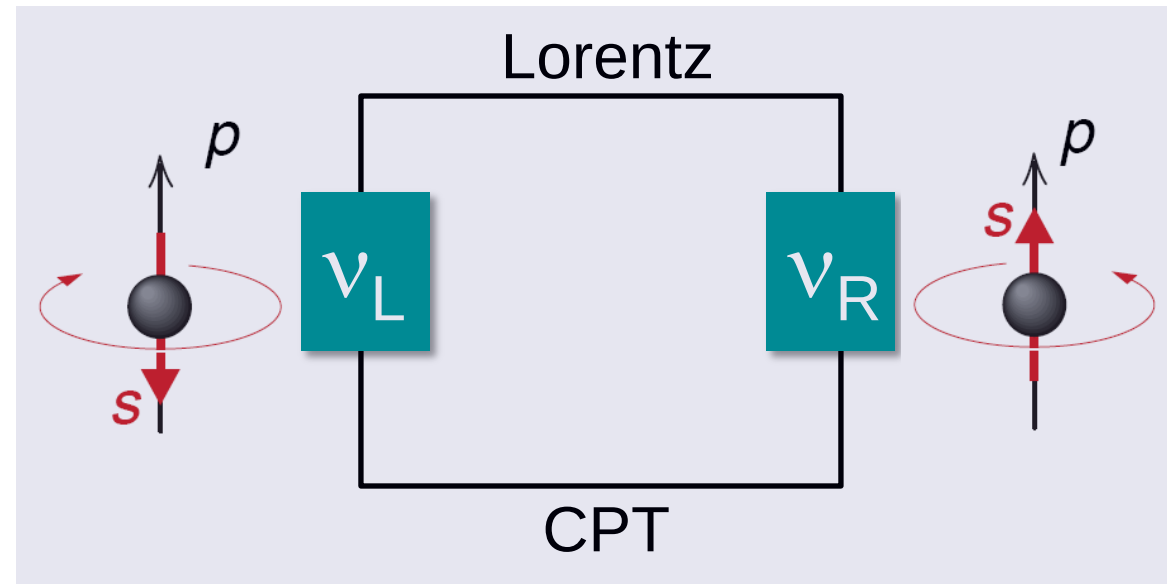
Majorana neutrino

2 ν states

lepton number
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ν^D and ν^M only distinguishable
if $m_\nu \neq 0$

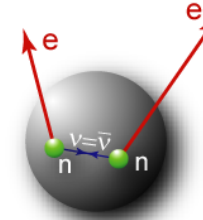
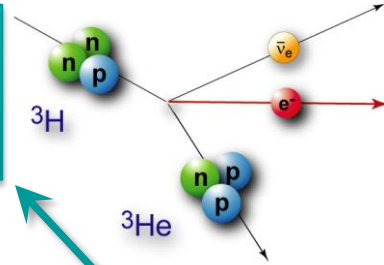
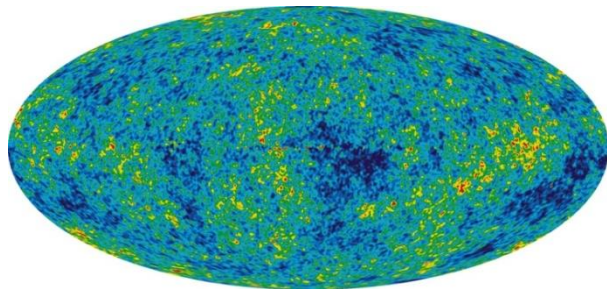


neutrino mass: status and perspectives

kinematics of β -decay
absolute ν_e -mass: m_ν

model-independent

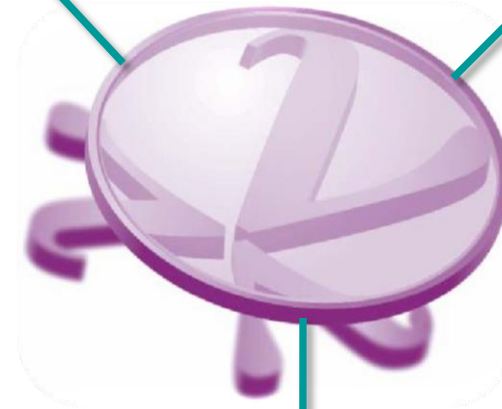
status: $m_\nu < 2.3$ eV
potential: $m_\nu = 200$ meV
KATRIN (MARE-II)



search for $0\nu\beta\beta$
eff. Majorana mass $m_{\beta\beta}$

model-dependent (CP-phases)

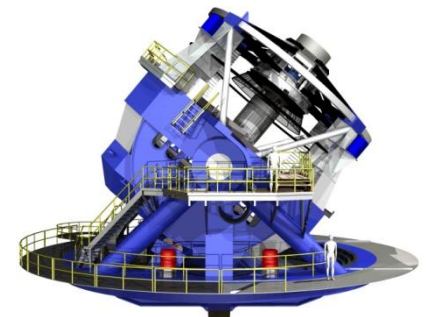
status: $m_{\beta\beta} < 0.35$ eV, evidence?
potential: $m_{\beta\beta} = 20$ -50 meV
GERDA, EXO, CUORE



cosmology
sum Σm_i , HDM Ω_ν

model-dependent (multi-parameter fits)

status: $\Sigma m_i < 1$ eV [Hannestad et al., arXiv:0803.1585v2]
potential: $\Sigma m_i = 20$ -50 meV
Planck, LSST, weak lensing



β -decay: Fermi's theory & ν -mass



a model-independent measurement of $m(\nu_e)$
based on kinematics & energy conservation

$$m(\nu_e) = \sqrt{\sum_{i=1}^3 |U_{ei}^2| \cdot m_i^2}$$

incoherent sum

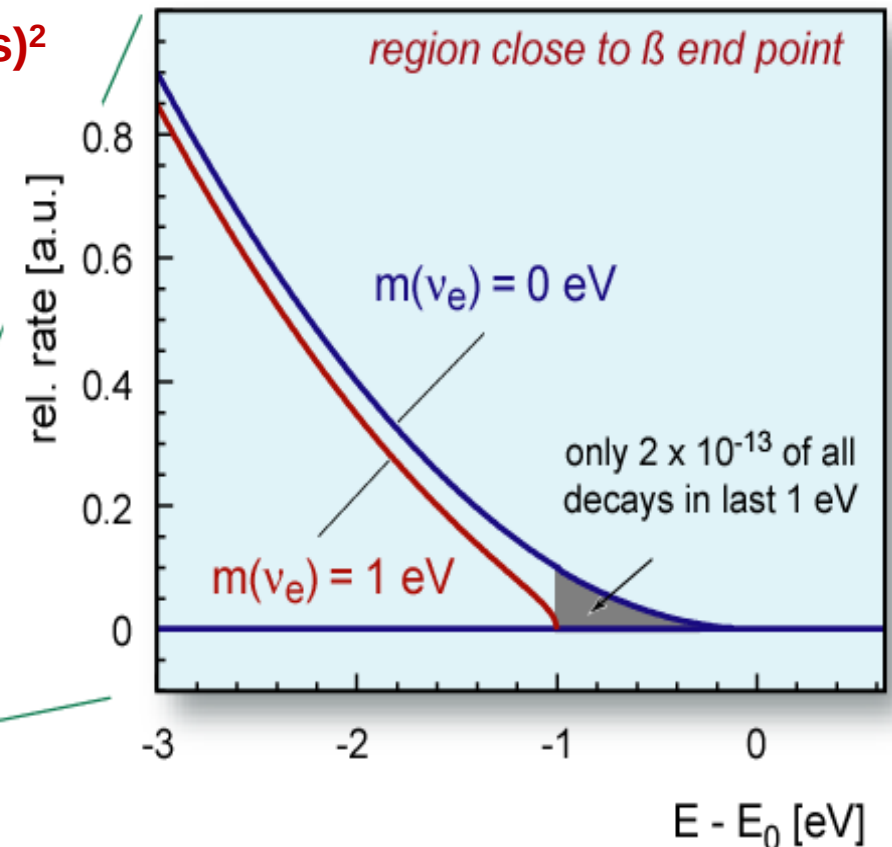
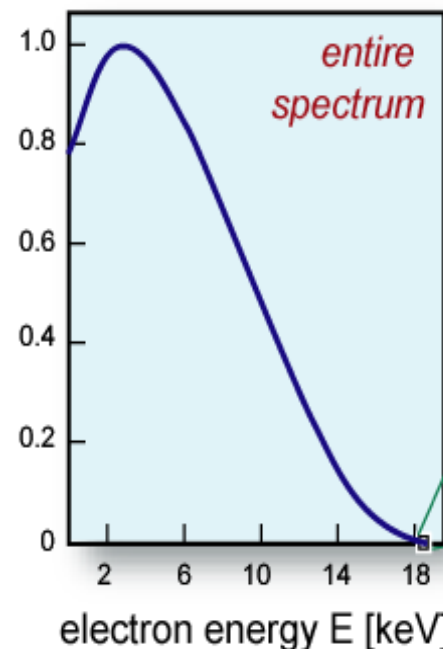
$$\frac{d\Gamma_i}{dE} = C \cdot p \cdot (E + m_e) \cdot (E_0 - E) \cdot \sqrt{(E_0 - E)^2 - m_i^2} \cdot F(E, Z) \cdot \theta(E_0 - E - m_i)$$



which isotope?



$(\nu\text{-mass})^2$



β-decay: energy spectrum



a model-independent measurement of $m(\nu_e)$
based on kinematics & energy conservation

$$m(\nu_e) = \sqrt{\sum_{i=1}^3 |U_{ei}^2| \cdot m_i^2}$$

incoherent sum of m_i

$$\frac{d\Gamma_i}{dE} = C \cdot p \cdot (E + m_e) \cdot (E_0 - E) \cdot \sqrt{(E_0 - E)^2 - m_i^2} \cdot F(E, Z) \cdot \theta(E_0 - E - m_i)$$

(ν -mass)²

β-source requirements

short half life $t_{1/2} \rightarrow$ high luminosity

low endpoint energy E_0

superallowed/allowed transition

simple atomic/molecular structure

β-detection requirements

large solid angle ($\sim 2\pi$)

low background rate

high energy resolution ($\sim$ eV)

short dead time, no pile up

³H: super-allowed

¹⁸⁷Re: unique 1st

E_0	18.6 keV
$t_{1/2}$	12.3 y

E_0	2.47 keV
$t_{1/2}$	43.2 Gy

calorimeter

spectrometer

β-source = detector
β-source: ¹⁸⁷ Re

external β-source
β-source: ³ H

relic ν background C ν B

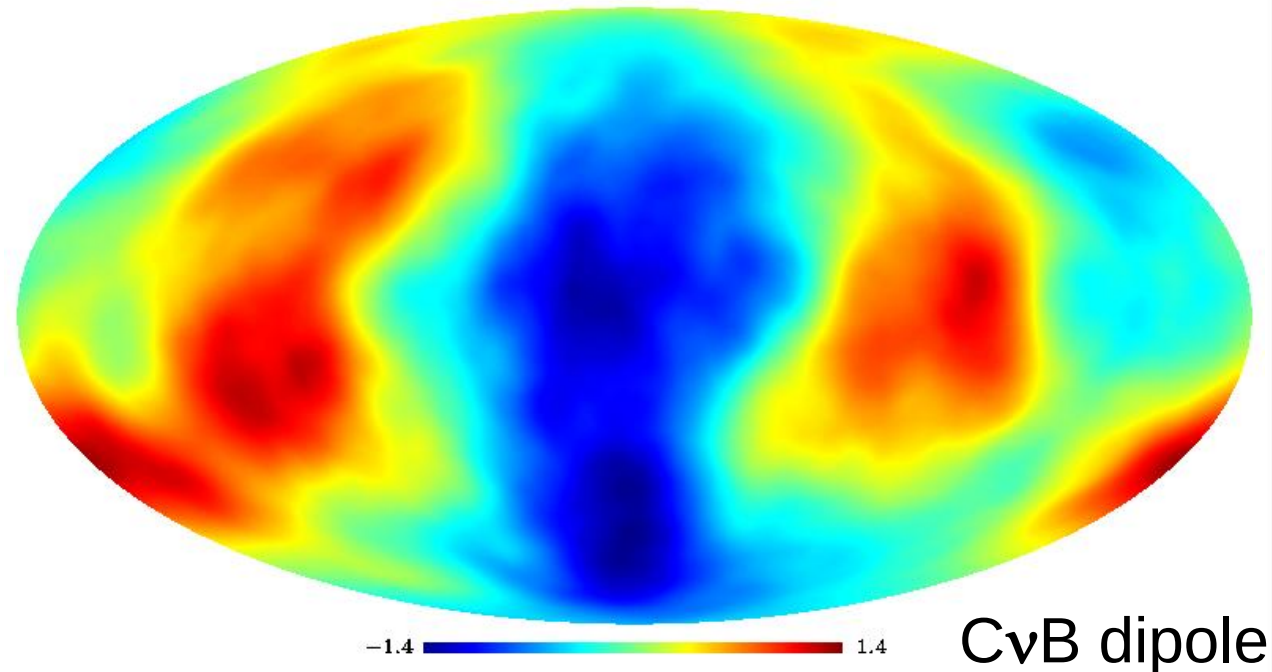
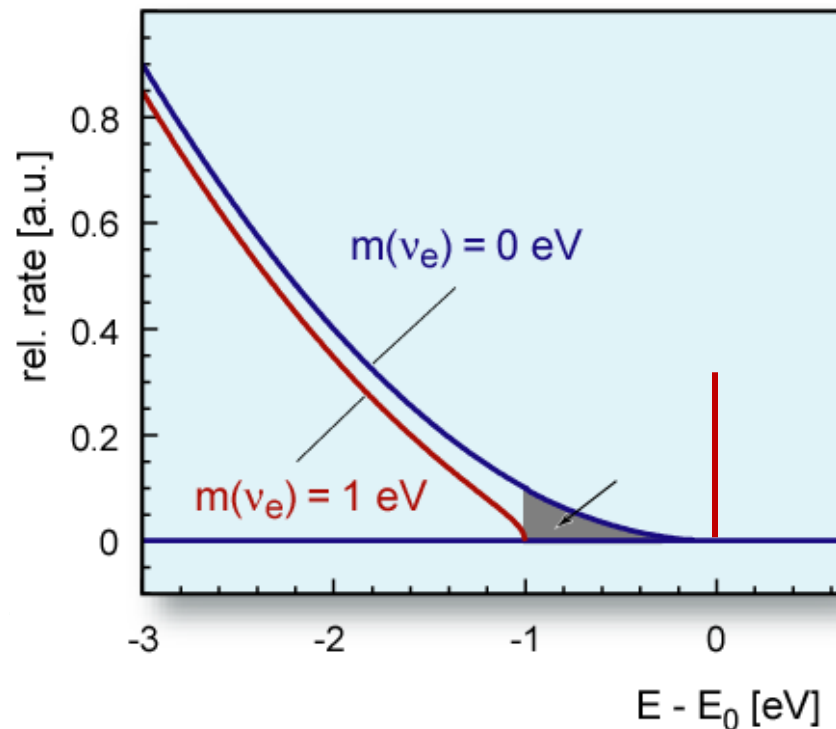
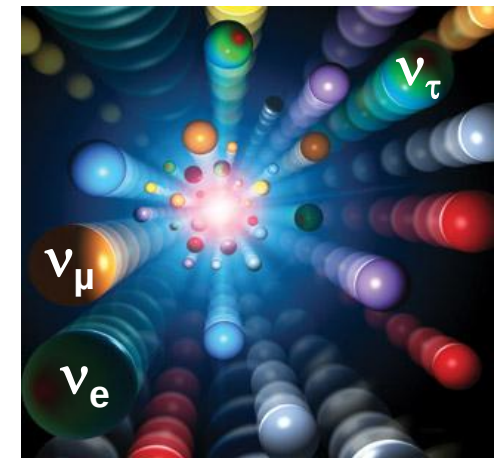
capture of relic neutrinos on a β -unstable isotope (^3H):



advantage: no threshold!

drawbacks: $\sim 10^6$ KATRIN T_2 target mass required

improve resolution for 18.6 keV β s to $\Delta E = 50$ meV



calculated anisotropies of the C ν B
for $m_\nu = 10$ meV (S. Hannestad)

techniques in β -decay

the two different techniques are complementary due to different systematics

	calorimeter	spectrometer
source	metallic Re / dielectric AgReO_4	windowless gaseous / condensed T_2
activity	low: $<10^5$ β/s , ~ 1 Bq / mg Re	high: $\sim 10^{11}$ β/s , 4.7 Ci/s injection
energy	single crystal bolometers	electrostatic spectrometer
response	entire β -decay energy	kinetic energy of β -decay electrons
interval	entire spectrum	very narrow interval close to E_0
method	differential energy spectrum	integrated energy spectrum
set-up	modular size, scaling factors	integral design, size limits
resolution	$\Delta E_{\text{expected}} \sim 5\text{-}10$ eV (FWHM)	$\Delta E_{\text{expected}} \sim 0.93$ eV (100%)



MARE



KATRIN

MARE experiment

Microcalorimeter Arrays for a Rhenium Experiment

^{187}Re as β -emitter: isotopic abundance 62.8%

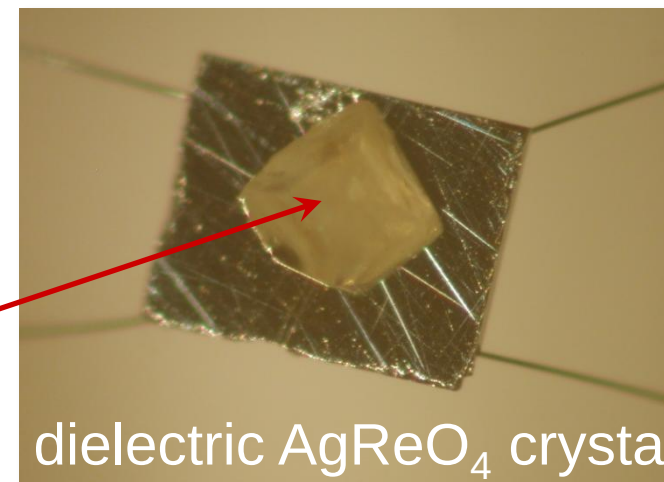
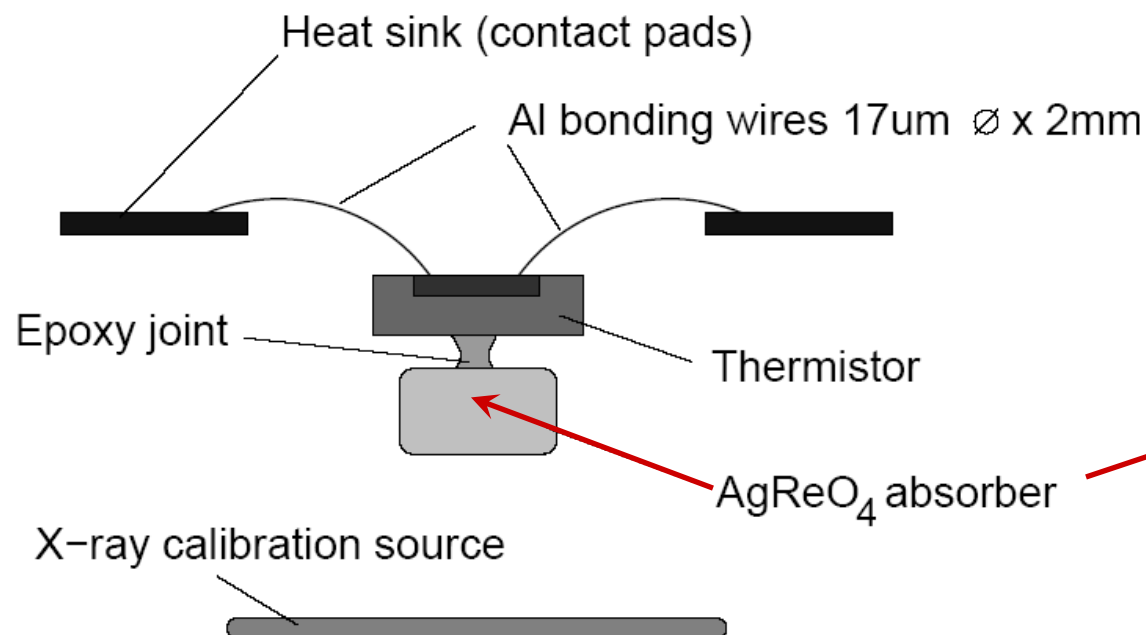


previous experiments:

Genova: metallic Re (MANU)
Milano: AgReO_4 (MIBETA)



$6.2 \cdot 10^6$ ^{187}Re β -decays:
 $m(\nu) < 15 \text{ eV}$ (2004)



dielectric AgReO_4 crystal

MIBETA:
10 crystals

MARE experiment: Phase-I

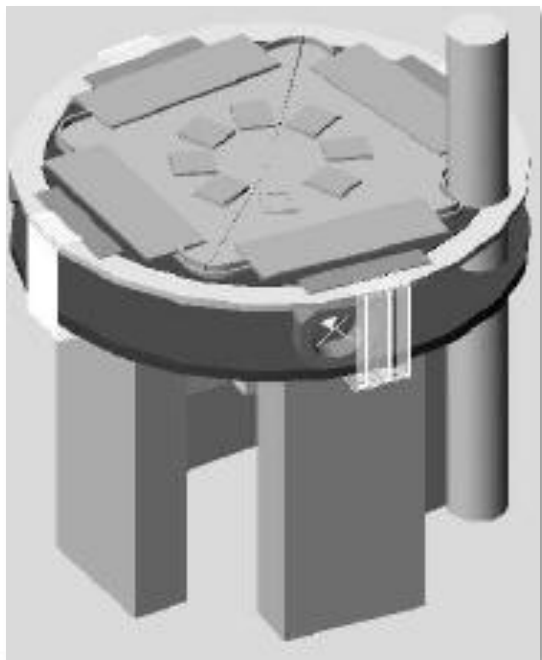
Phase-I objective: improve sensitivity for $m(\nu)$ by factor 10
increase statistics to 10^{10} β -decays

⇒ $m(\nu) \sim 2 \text{ eV}$

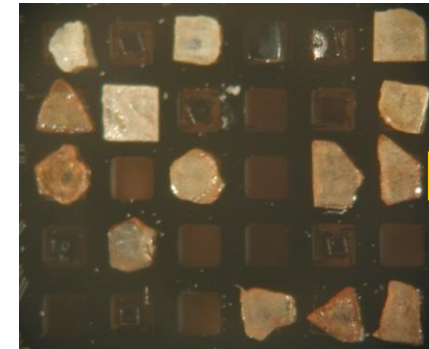
Phase-I detectors: Genova: metallic Re, superconducting at $T = 1.6 \text{ K}$
absorber mass 1 mg

Milan: new AgReO_4 crystals, dielectric perrhenates
absorber mass $500 \mu\text{g}$ at $T \sim 85 \text{ mK}$, $\tau_{\text{rise}} \sim 200 \mu\text{s}$
6 6 pixel arrays: 1st operational, 2nd: funded
energy resolution: $\Delta E = 34 \text{ eV}$ @ 2.5 keV

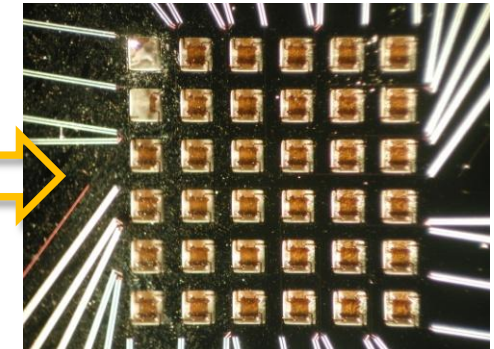
$\Delta E = 15 \text{ eV}$
 $\Delta t = 50 \mu\text{s}$
3 years



Genova: Re metal



Milano: pixel arrays of AgReO_4



MARE-I cryostat layout for 288 elements (4 72)

history of tritium β -decay experiments

ITEP

T_2 in complex molecule
magn. spectrometer (Tret'yakov)

m_ν

17-40 eV

Los Alamos

gaseous T_2 - source
magn. spectrometer (Tret'yakov)

< 9.3 eV

Tokio

T - source
magn. spectrometer (Tret'yakov)

< 13.1 eV

Livermore

gaseous T_2 - source
magn. spectrometer (Tret'yakov)

< 7.0 eV

Zürich

T_2 - source impl. on carrier
magn. spectrometer (Tret'yakov)

< 11.7 eV

Troitsk (1994-today)

gaseous T_2 - source
electrostat. spectrometer

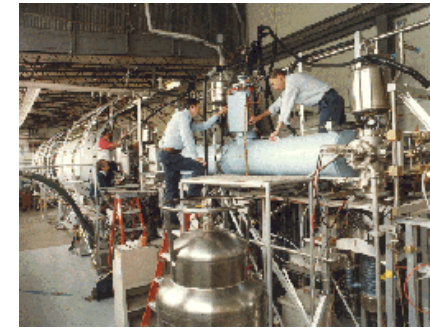
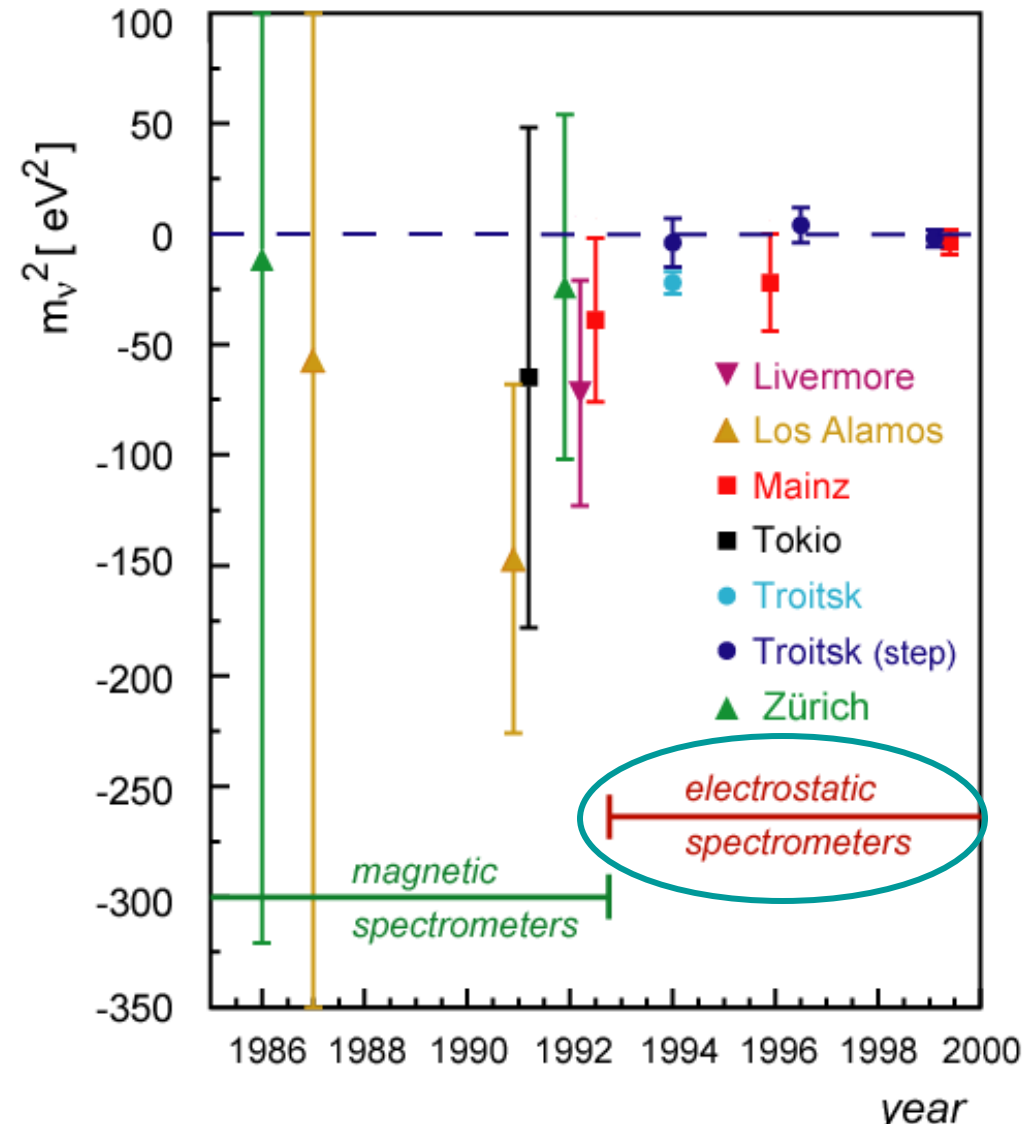
< 2.3 eV

Mainz (1994-today)

frozen T_2 - source
electrostat. spectrometer

< 2.3 eV

results m_ν^2



Troitsk & Mainz experiments

Troitsk experiment

windowless gaseous tritium source



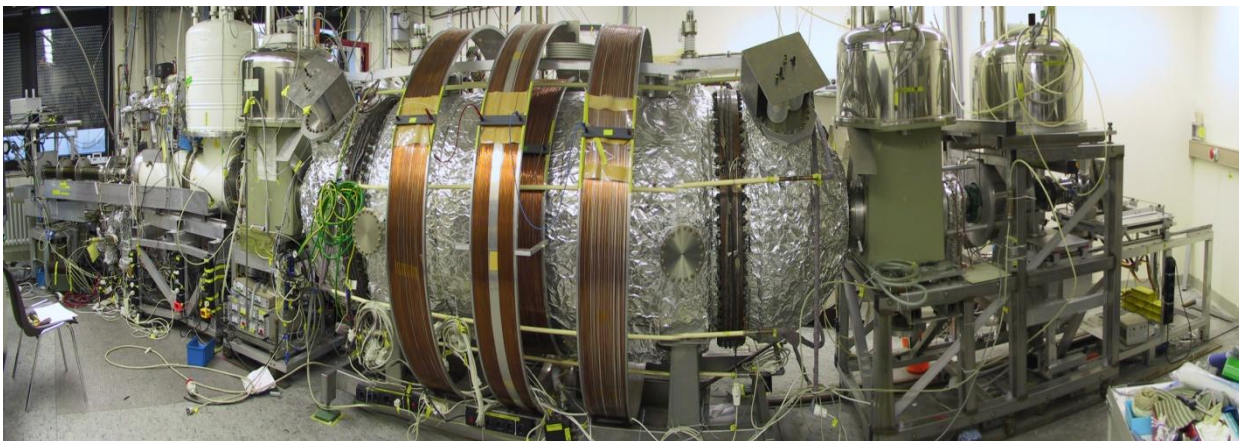
analysis of data 1994-99, 2001

$$m_{\nu}^2 = -2.3 \pm 2.5 \pm 2.0 \text{ eV}^2$$

$$m_{\nu} \leq 2.2 \text{ eV (95\% CL.)}$$

Mainz experiment

quench condensed tritium source



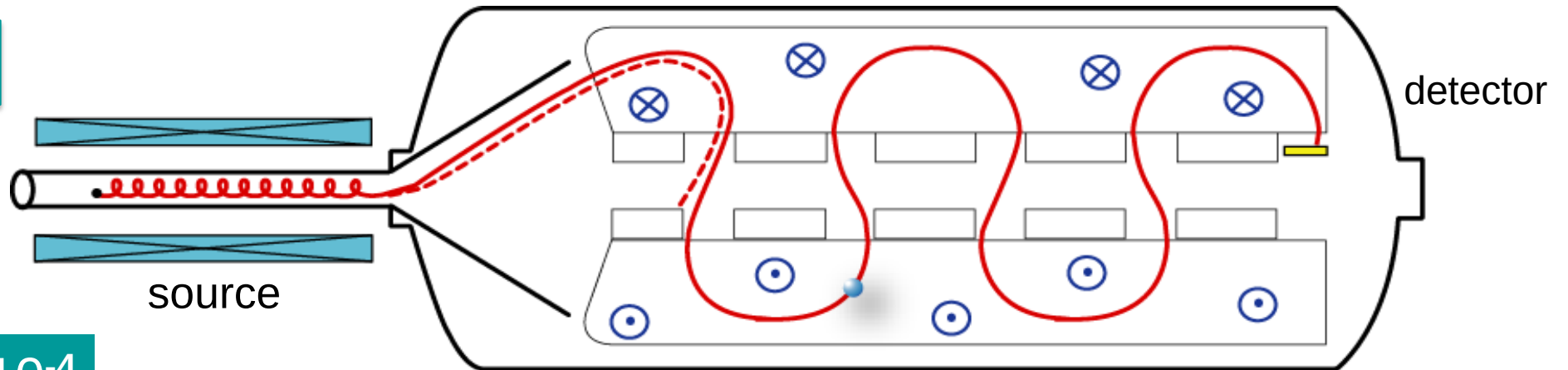
analysis of data 1998-99, 2001

$$m_{\nu}^2 = -1.2 \pm 2.2 \pm 2.1 \text{ eV}^2$$

$$m_{\nu} \leq 2.2 \text{ eV (95\% CL.)}$$

spectrometers – comparison of techniques

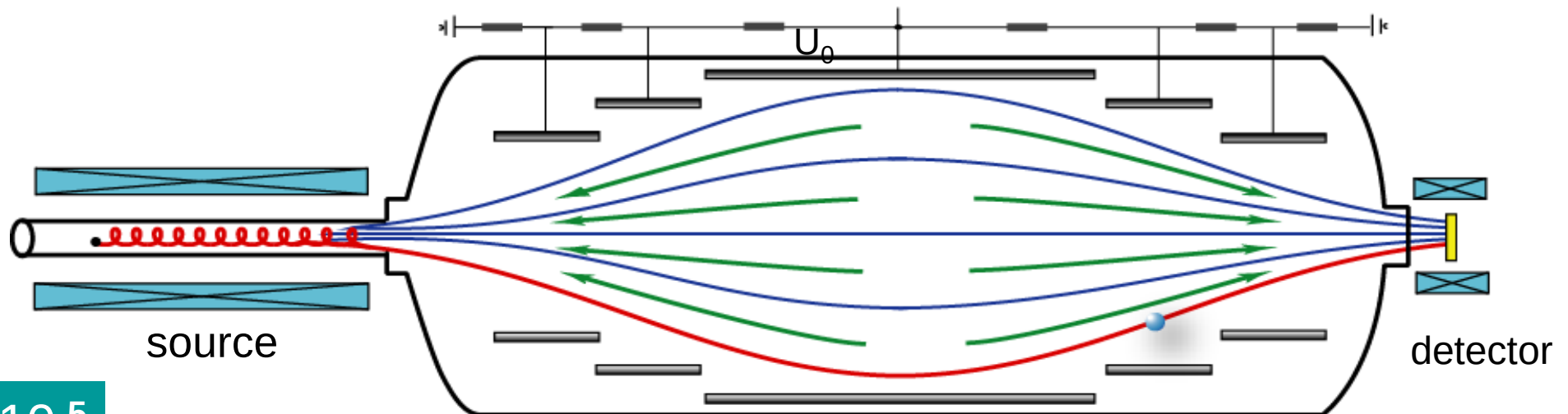
Tret'yakov



$$\Delta p/p = 7 \cdot 10^{-4}$$
$$\delta\Omega = 10^{-3}$$

magnetic guiding field: momentum analysis

MAC-E



$$\Delta E/E = 1 \cdot 10^{-5}$$
$$\delta\Omega \sim 2\pi$$

magnetic guiding field & conversion, cyclotron motion
electric retarding field: energy analysis

MAC-E filter – principle

MAC – Magnetic
Adiabatic Guiding

adiabatic guiding
of electrons along
magnetic field lines

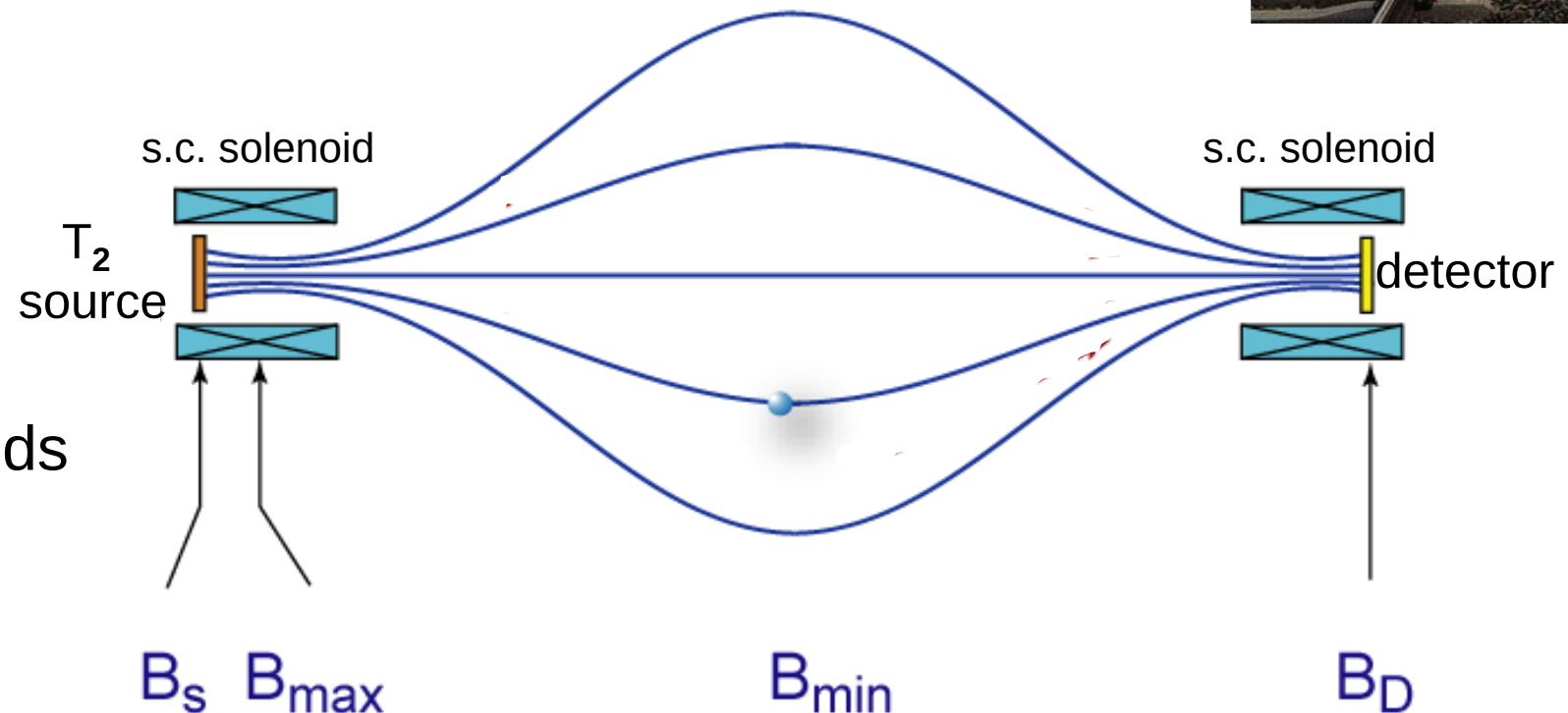
inhomogenous B-field:
superconducting solenoids

$$B_{\max} = 3 - 6 \text{ T}$$

$$B_{\min} < 1 \text{ mT}$$

solid angle $d\Omega \sim 2\pi$

$$\vec{F} = (\vec{\mu} \cdot \vec{\nabla}) \vec{B} + q \cdot \vec{E}$$
$$\mu = E_{\perp} / B = \text{const.}$$



adiabatic transformation $E_{\perp} \rightarrow E_{\parallel}$

MAC-E filter – principle

E Filter – Electrostatic filter

energy analysis by an electrostatic retarding field

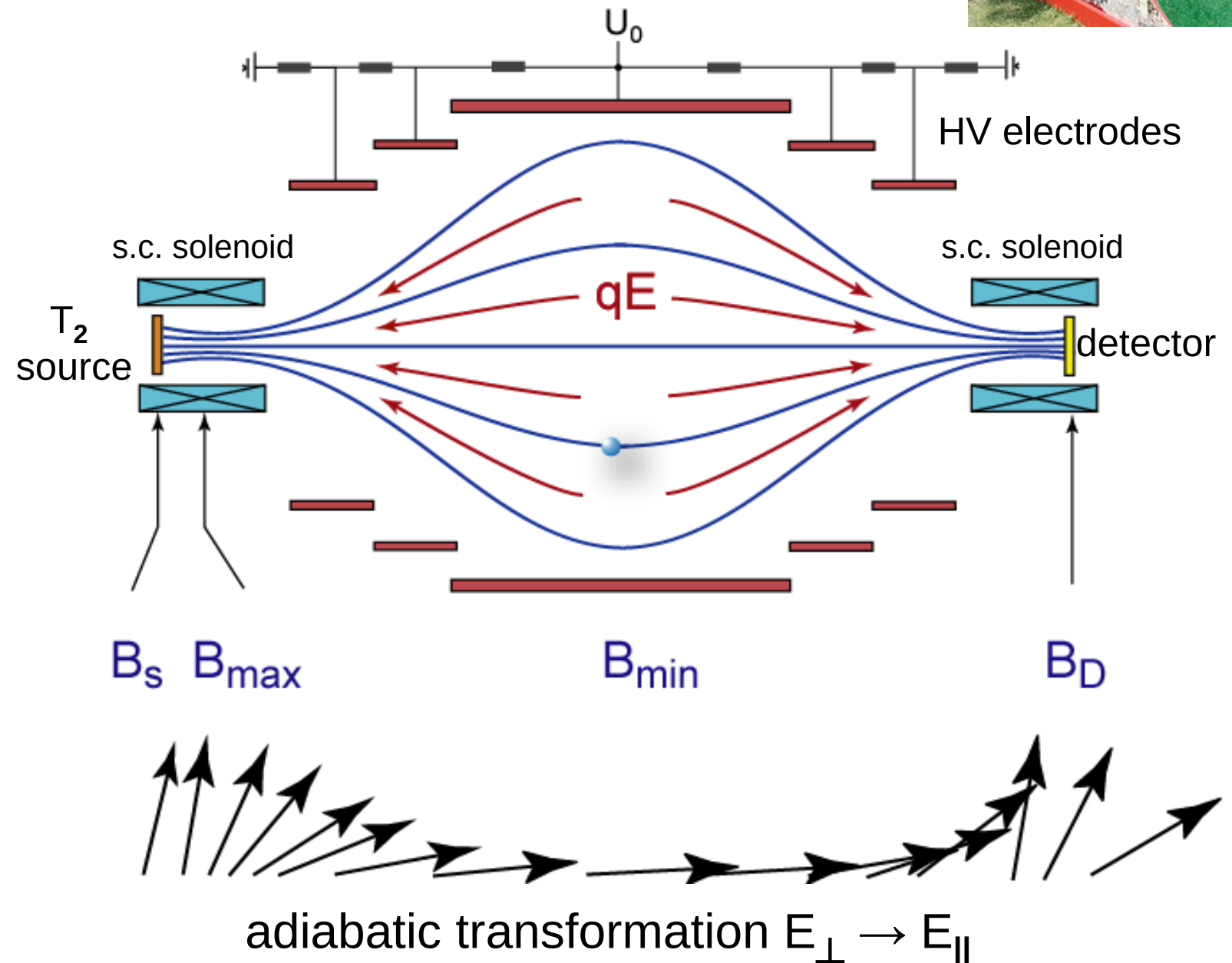
variable E-field:
inner electrodes

$U_0 = 18.5 - 18.7$ kV

integral transmission
for $E > U_0$
high pass filter

E field $\parallel$ B-field

conversion $\rightarrow$ retarding



KATRIN – design considerations

experimental observable in β -decay is square of ν -mass m_ν^2

aim: improve sensitivity for m_ν by 1 order of magnitude ($2 \text{ eV} \rightarrow 0.2 \text{ eV}$)
requires improvement for m_ν^2 by 2 orders of magnitude ($4 \text{ eV}^2 \rightarrow 0.04 \text{ eV}^2$)

statistics

count rate at β -endpoint falls off very steeply ($\sim \delta E^3$), small background!

improvement of statistics (10^3):

- stronger tritium source (factor 80) $\rightarrow$ larger analysing plane ($\varnothing=10\text{m}$)
- longer measuring period ($\sim 100 \text{ days} \rightarrow \sim 1000 \text{ days}$)

systematics

aim: systematic uncertainties $\equiv$ statistical errors

Improvement of systematics (0.1)

- improved energy resolution spectrometer with $\Delta E = 0.93 \text{ eV}$ (factor 4)
- reduced systematic errors for energy losses in source, slow control, HV,...

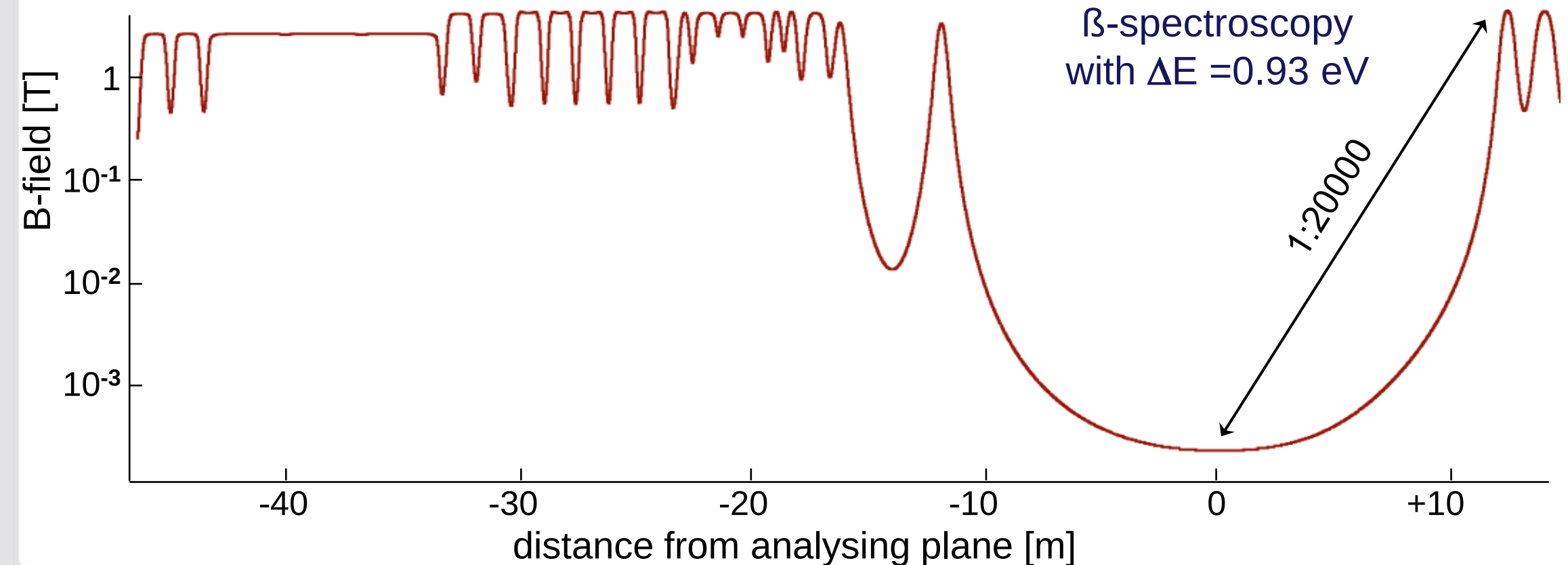
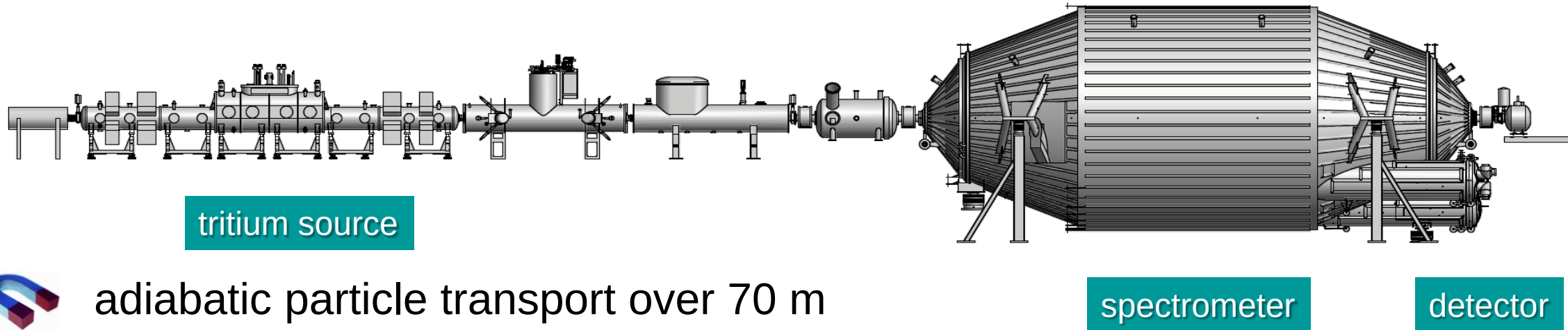
KATRIN experiment



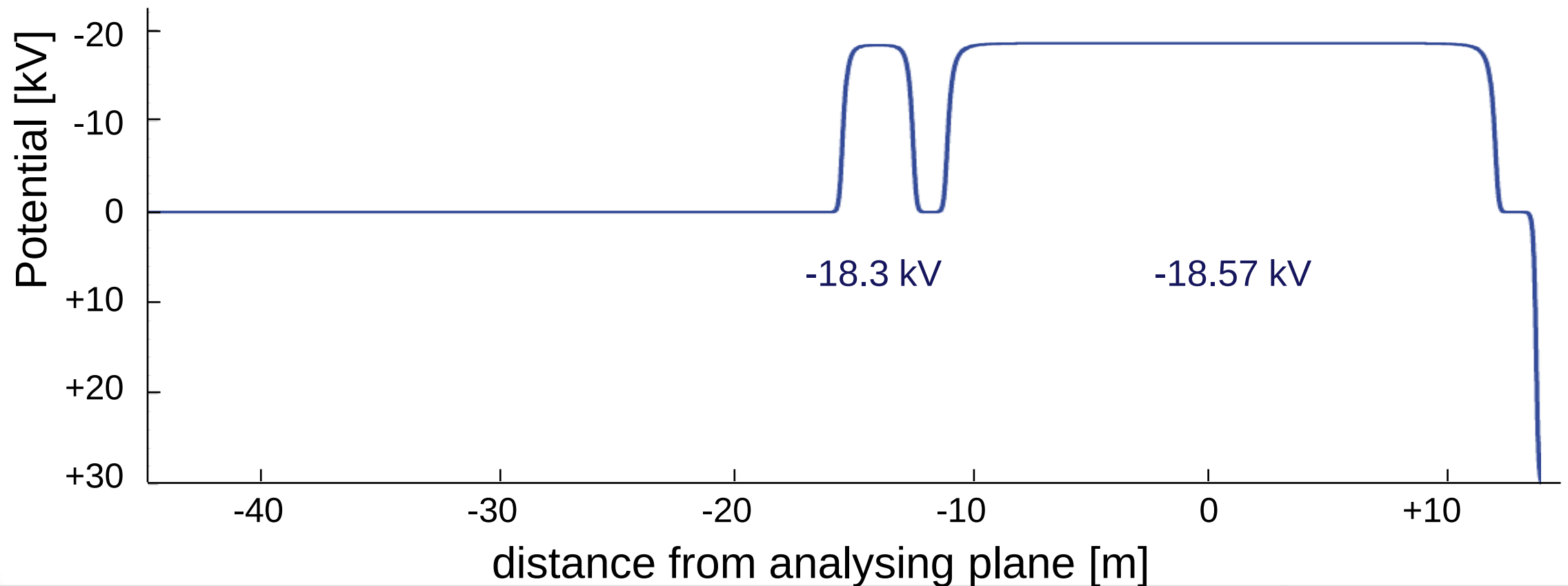
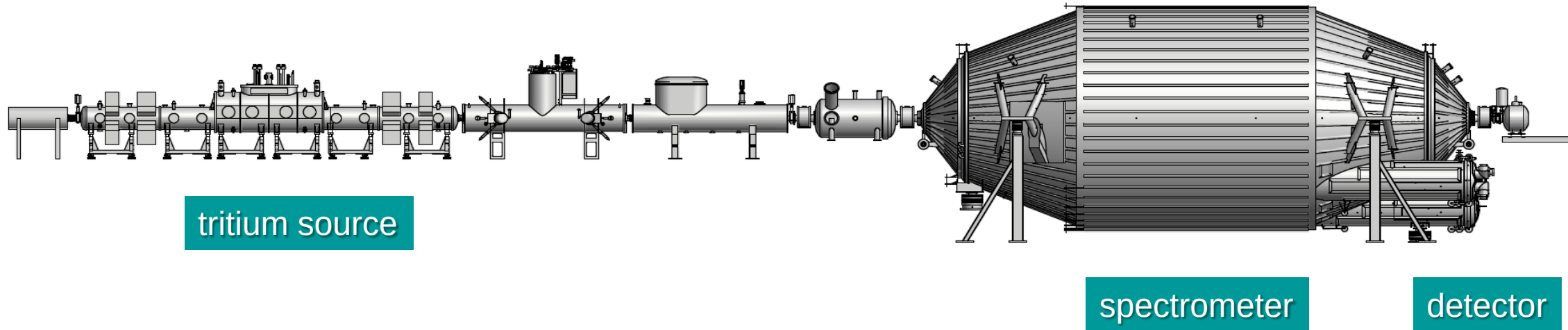
KATRIN
experiment at
Tritiumlaboratory
Karlsruhe (TLK)



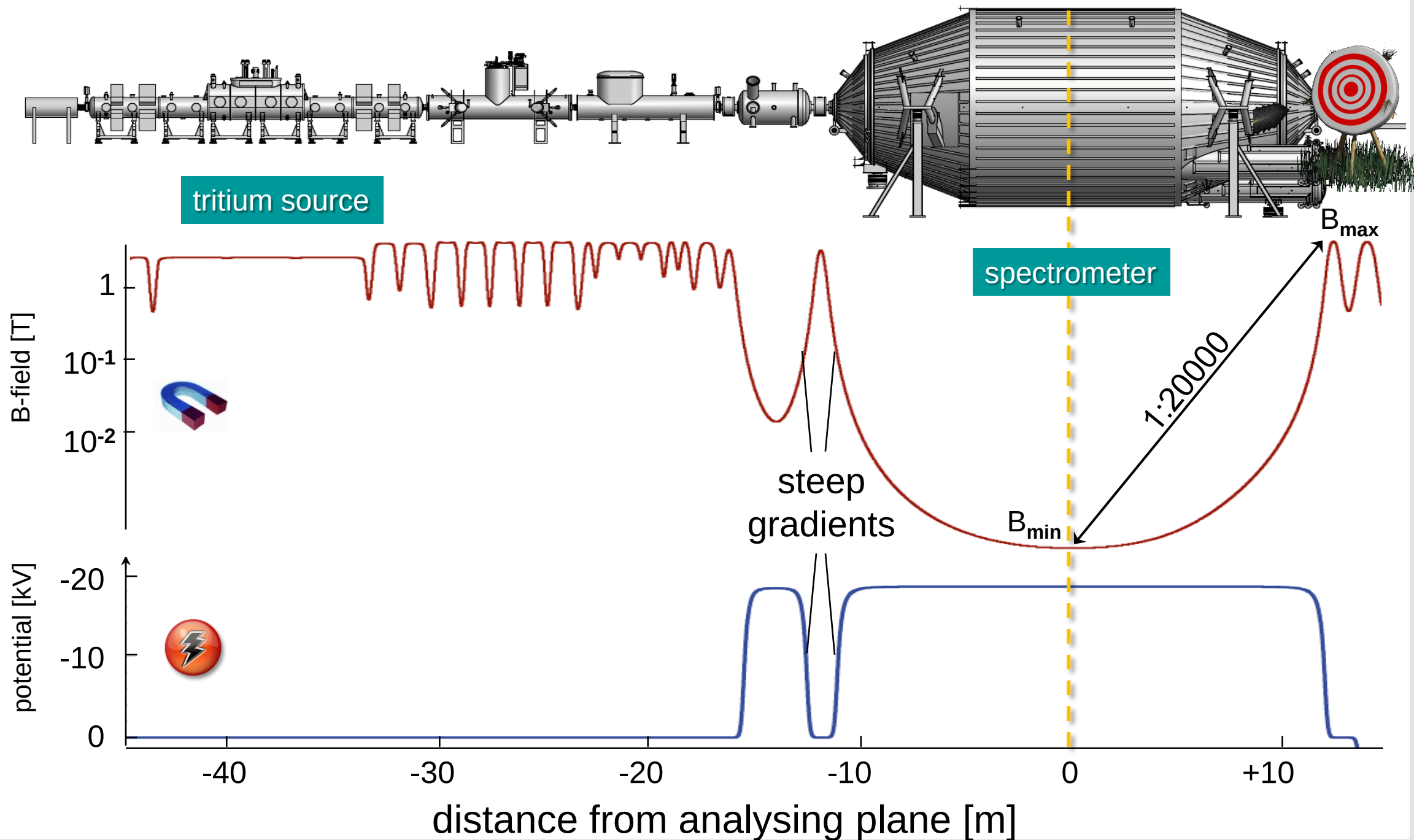
KATRIN – a MAC-E filter system



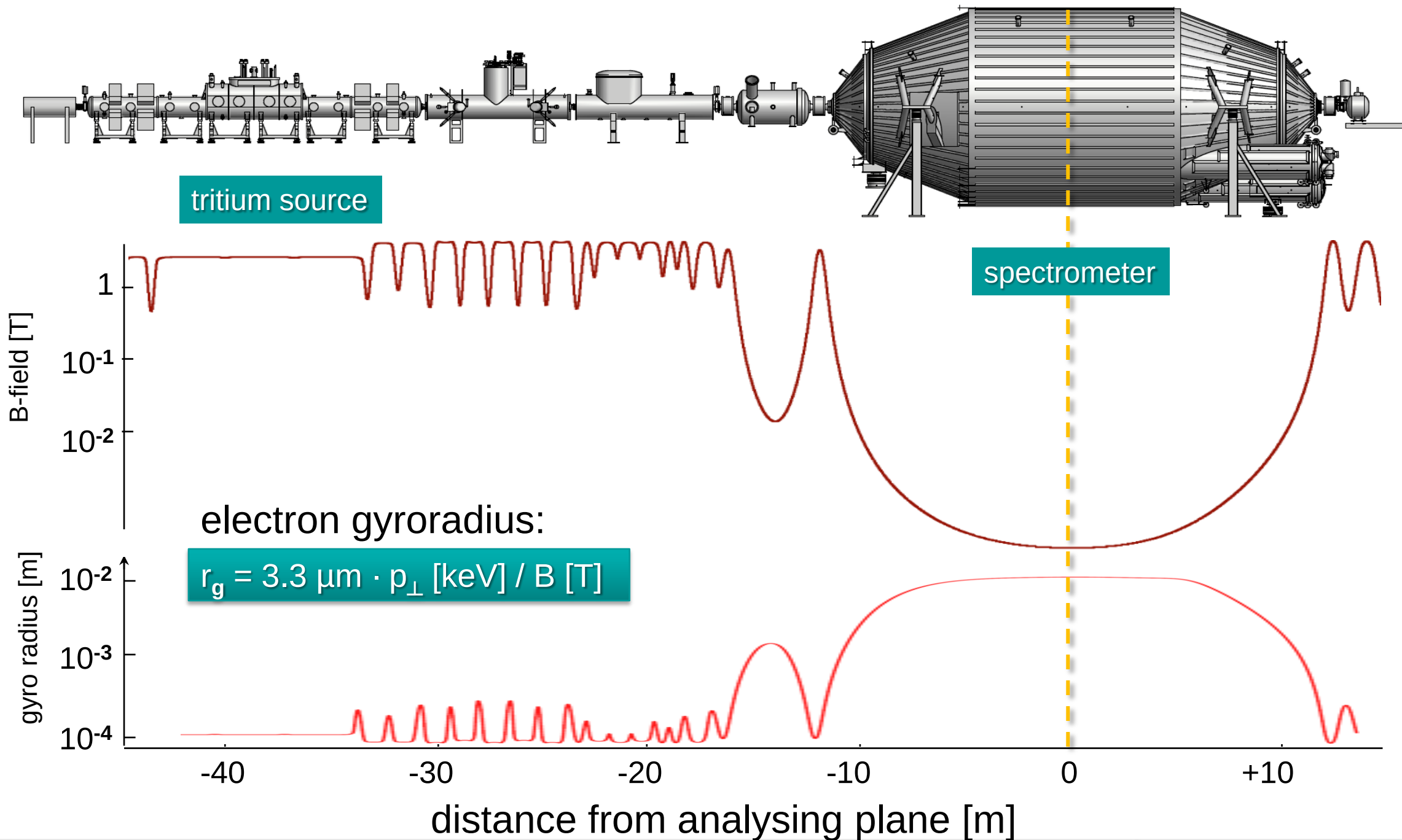
KATRIN – electrostatic potential (linear)



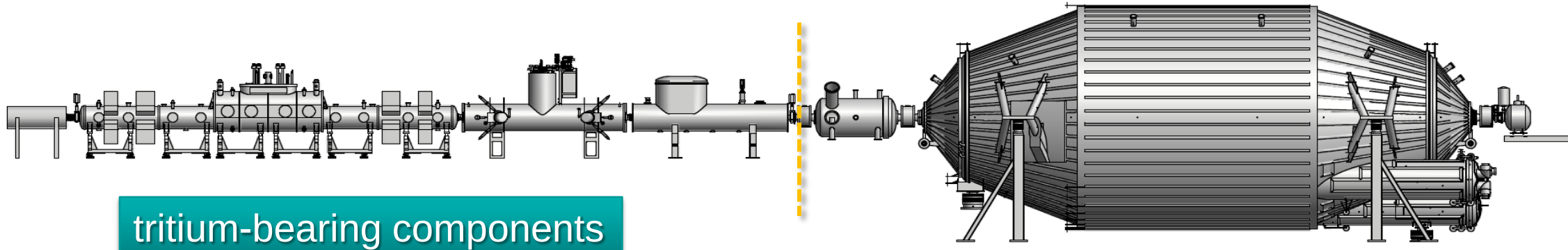
KATRIN – B-field & electrostatic potential



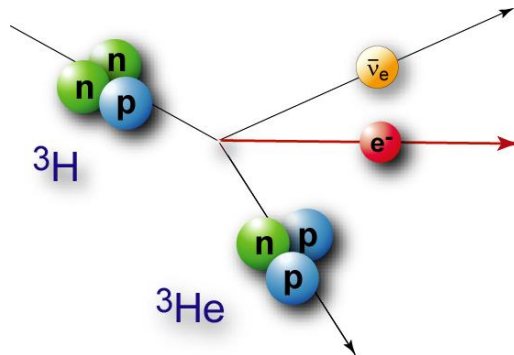
KATRIN – B-field & gyroradius



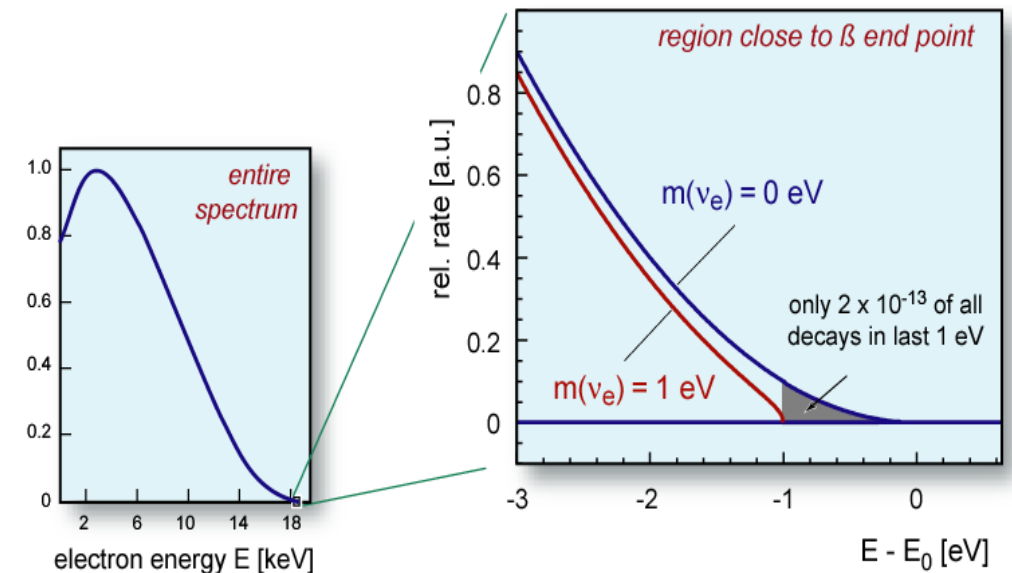
KATRIN experiment - overview



tritium-bearing components

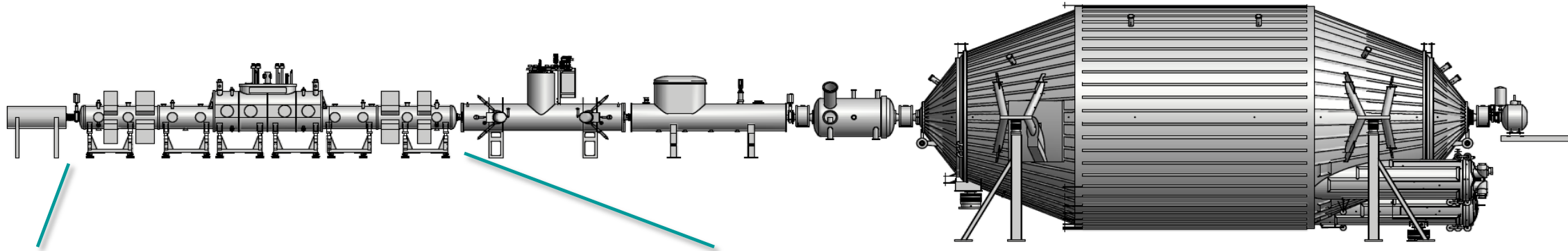


electrostatic spectrometers & detector



- ⇒ improve experim. precision by factor 100 (*go to the technological limits*)
- ⇒ fully adiabatic (meV-Skala) particle transport over > 50 m
- ⇒ 10^{11} Bq tritium source $\Leftrightarrow 10^{-2}$ Bq total background

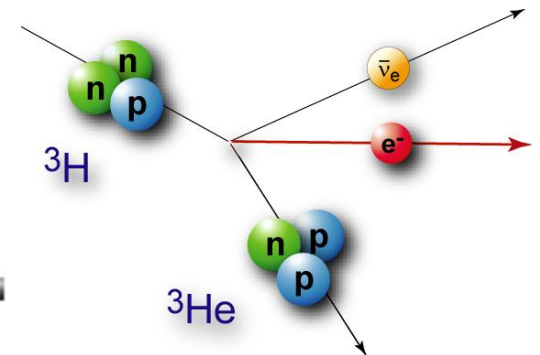
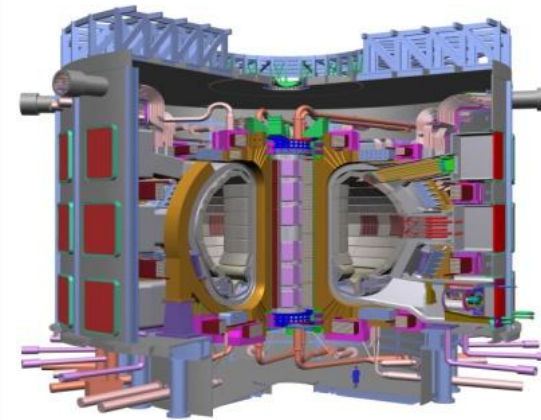
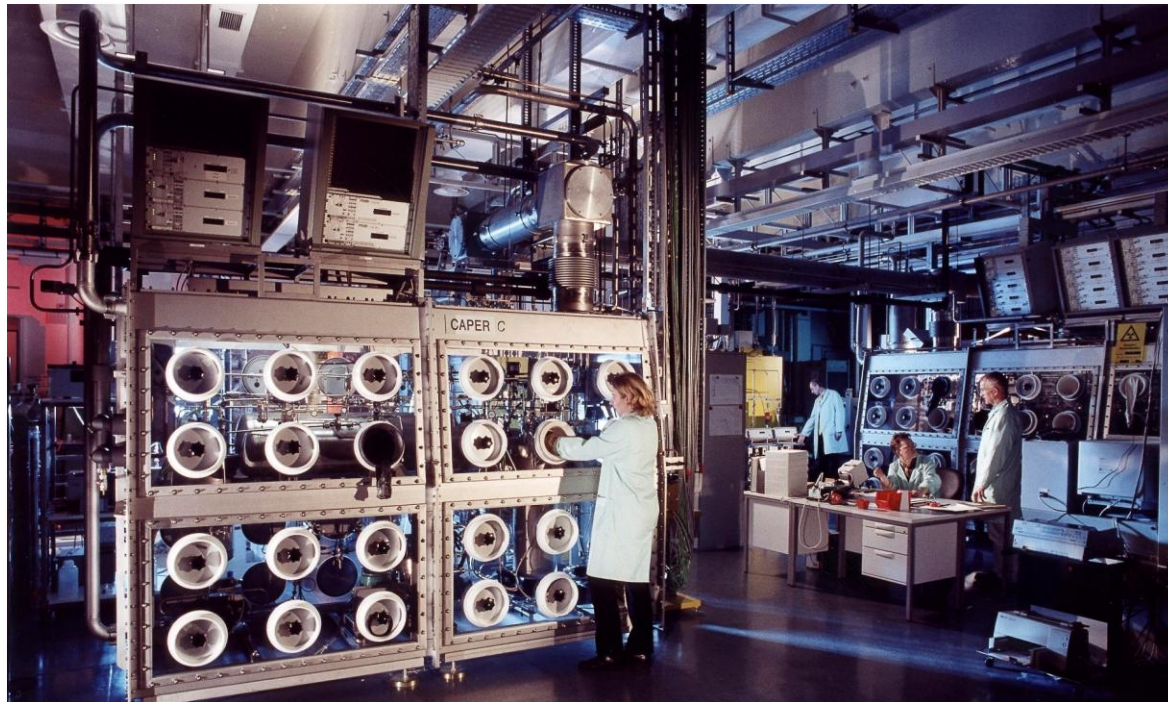
KATRIN – closed tritium cycle & TLK



KATRIN tritium throughput per year equivalent to fusion facility ITER

KATRIN closed cycle operational in 2012

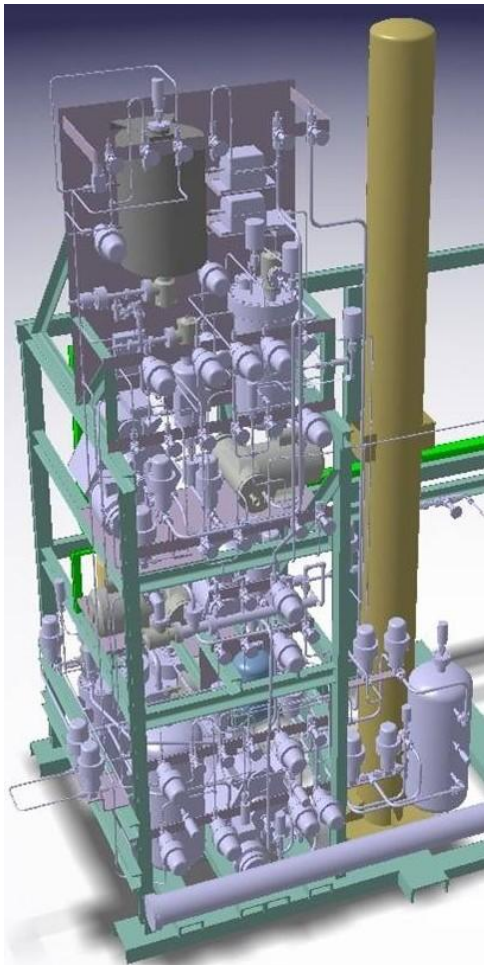
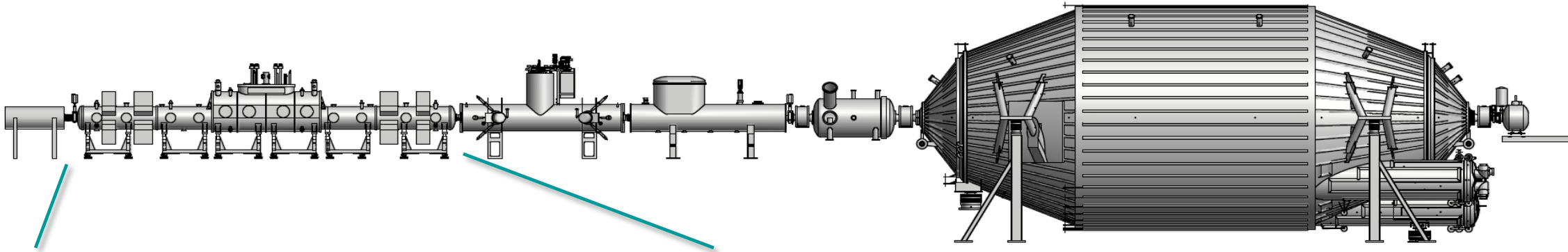
⇔ first D-T operation of ITER in 2026



TLK – Tritium Laboratory Karlsruhe

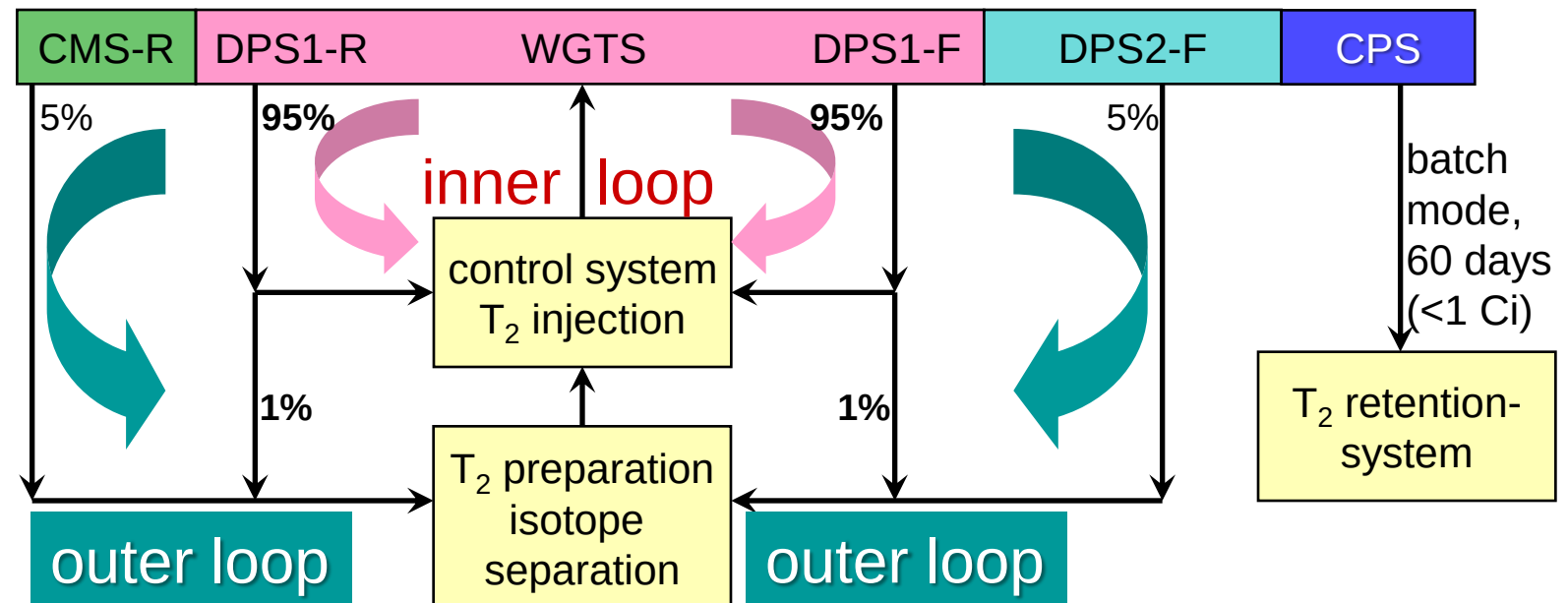
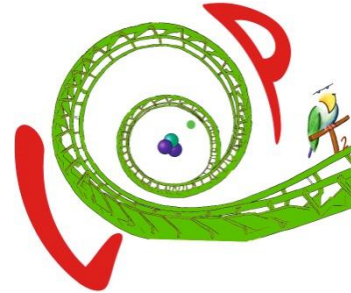
a unique research facility in Europe
licensed for storage of 20 g tritium

KATRIN – closed tritium cycle

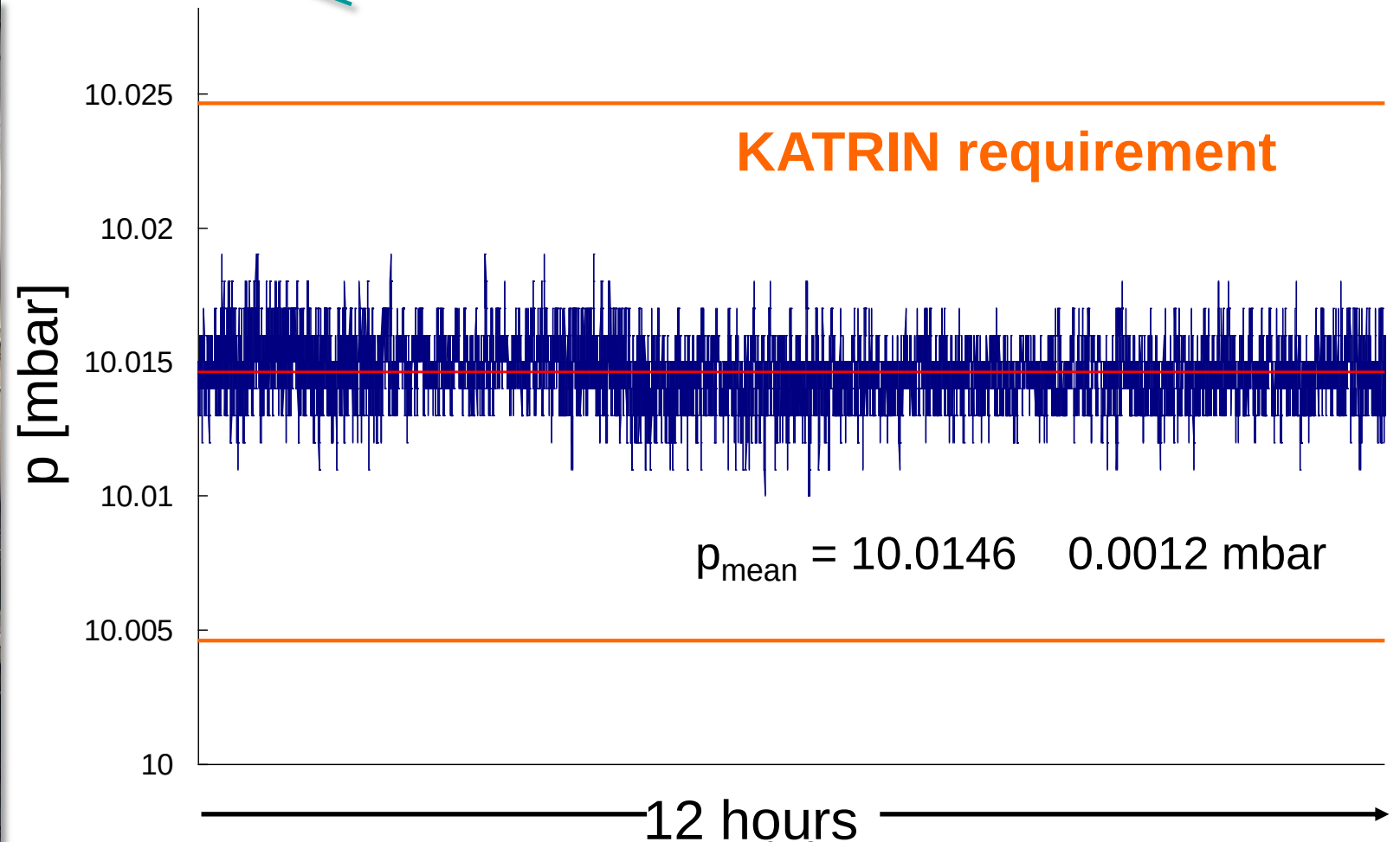
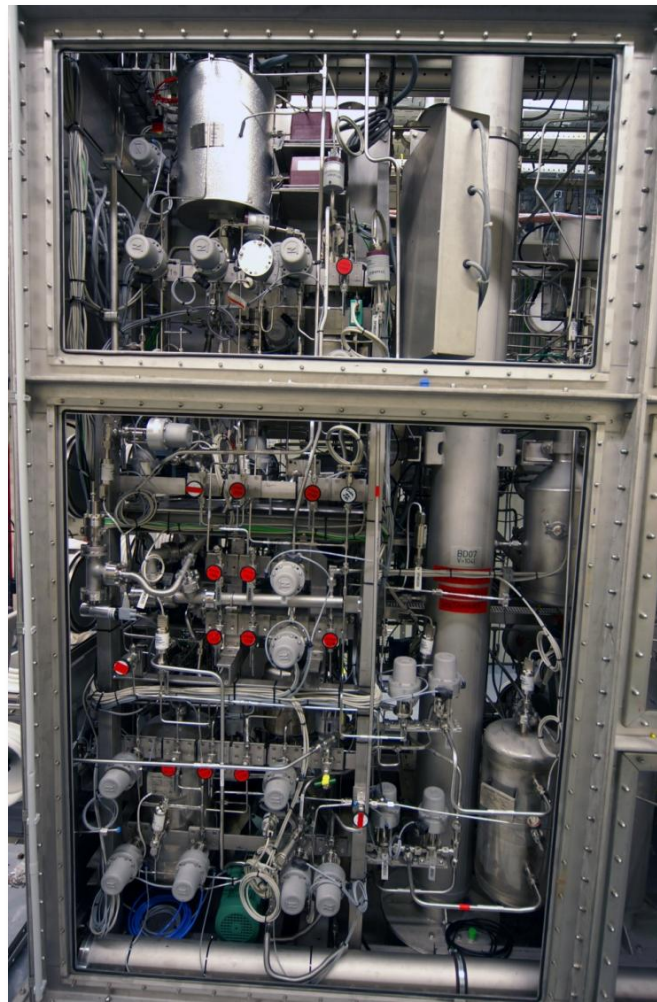
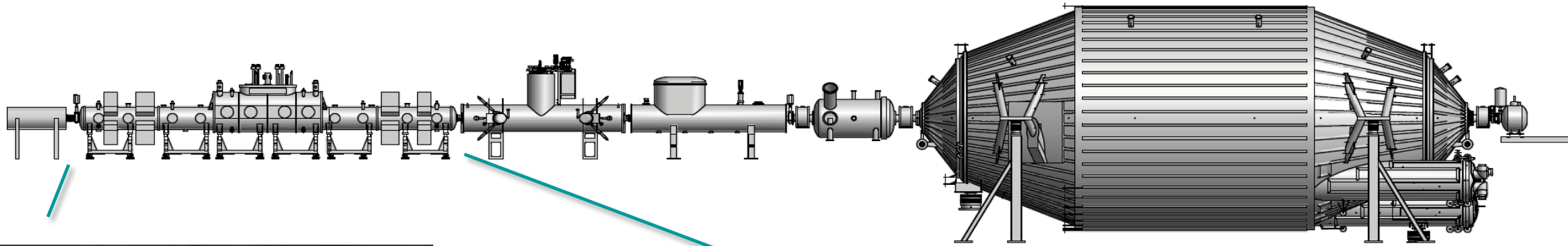


KATRIN tritium loop system

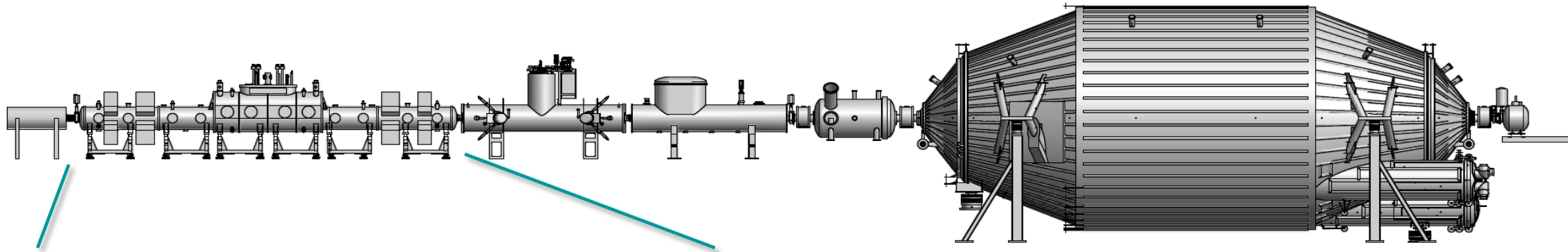
27 pumps, 109 valves, 62 sensors,
6 buffer vessels, 2 permeators



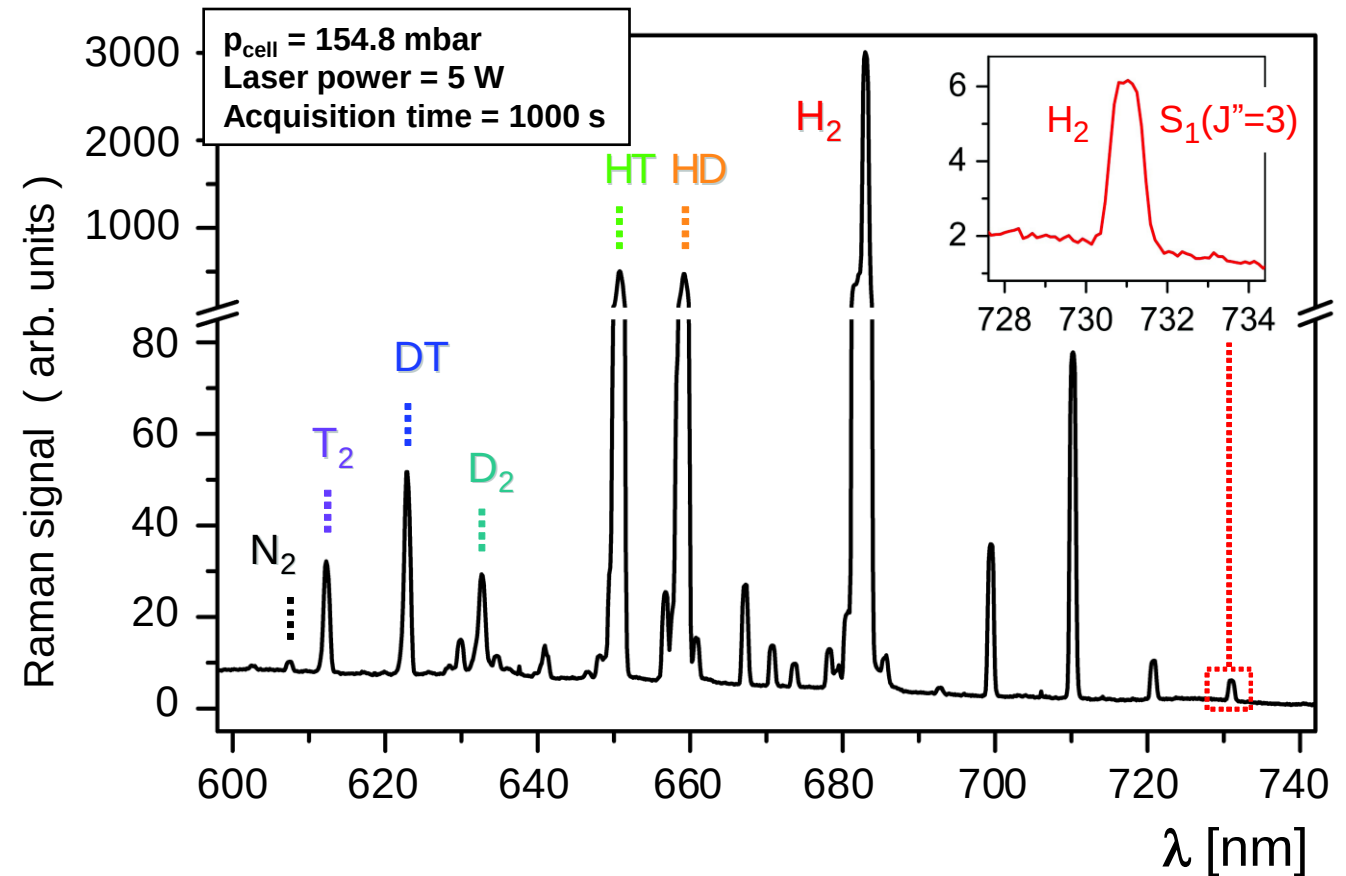
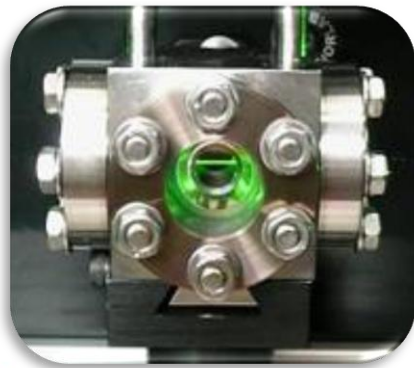
KATRIN – closed tritium cycle



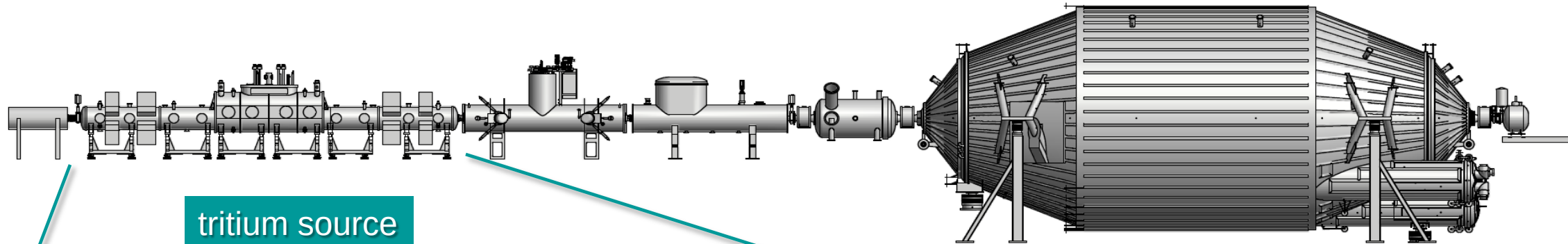
KATRIN – Laser Raman Spectroscopy



high-precision ($\sim 0.1\%$)
in-situ measurement of
actual H-isotopologue
composition in the WGTS

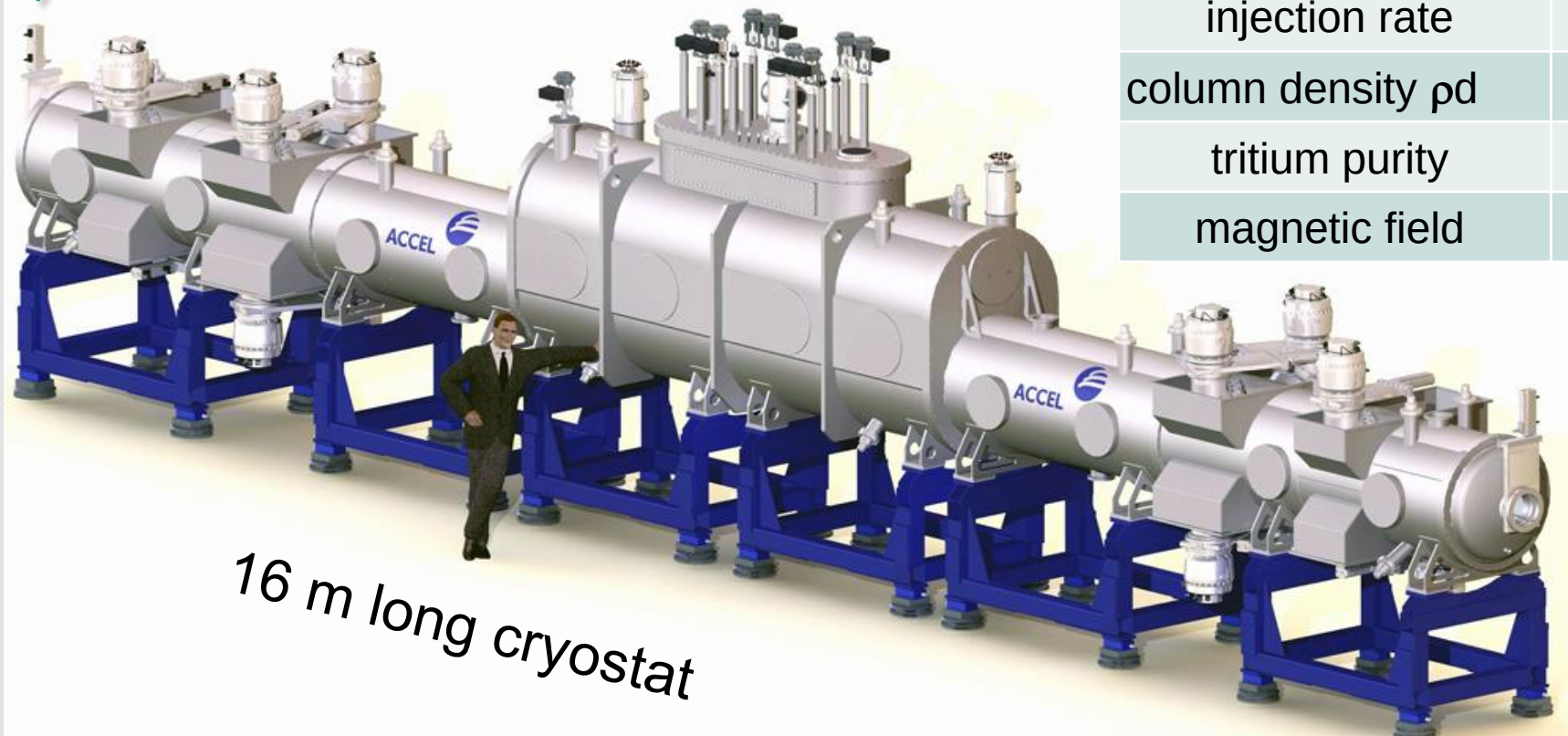


WGTS – windowless gaseous source



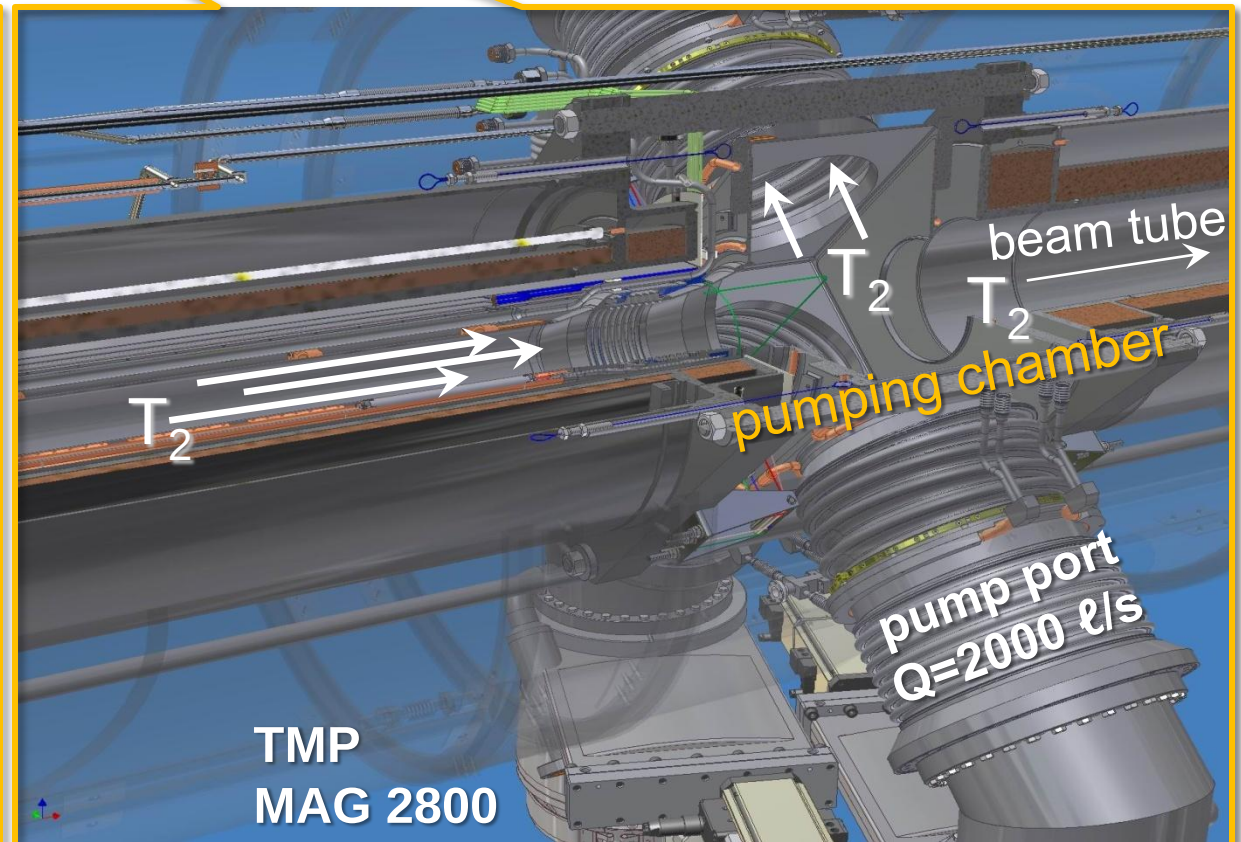
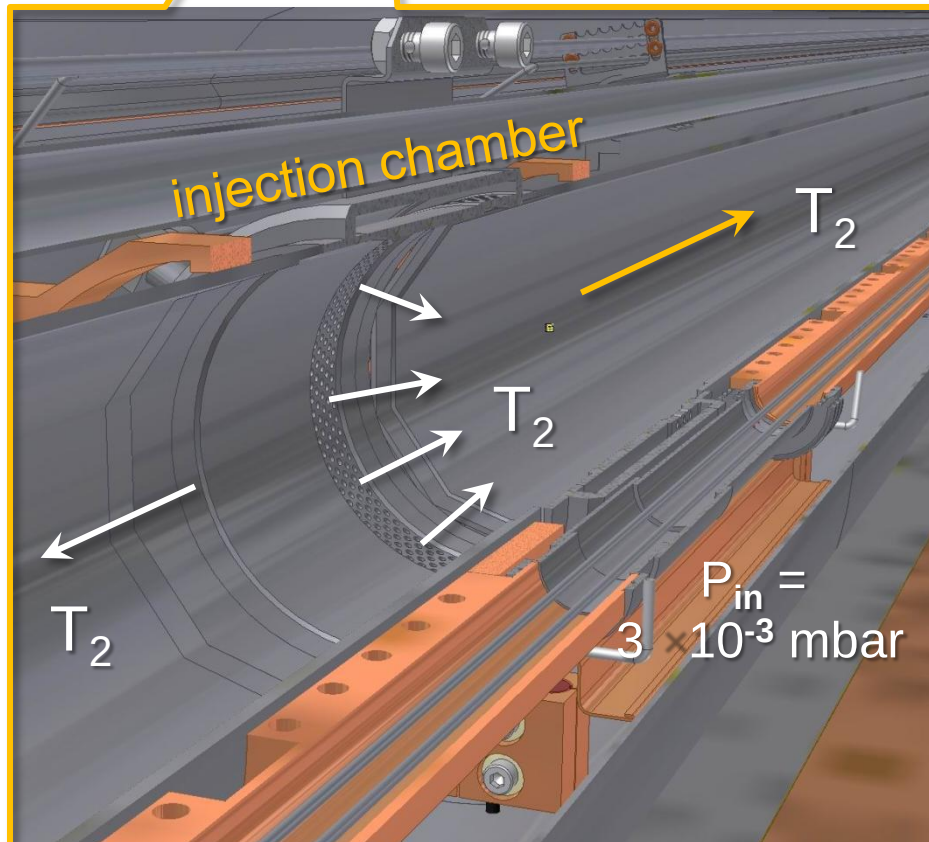
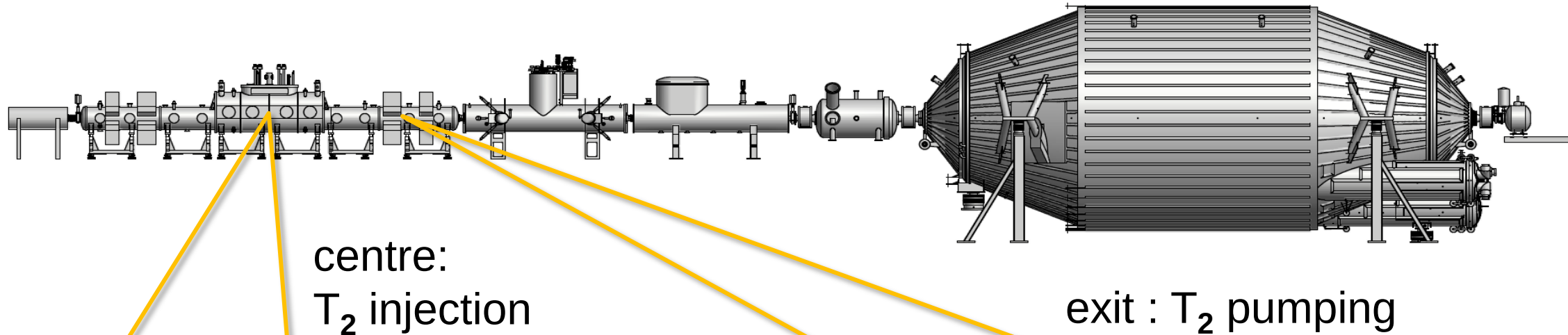
tritium source

WGTS	design value	precision
luminosity	1.7 10^{11} Bq	
injection rate	5 10^{19} mol/s	± 0.1 %
column density ρd	5 10^{17} mol/cm ²	± 0.1 %
tritium purity	> 95%	± 0.1 %
magnetic field	3.6 T	$\pm 2\%$

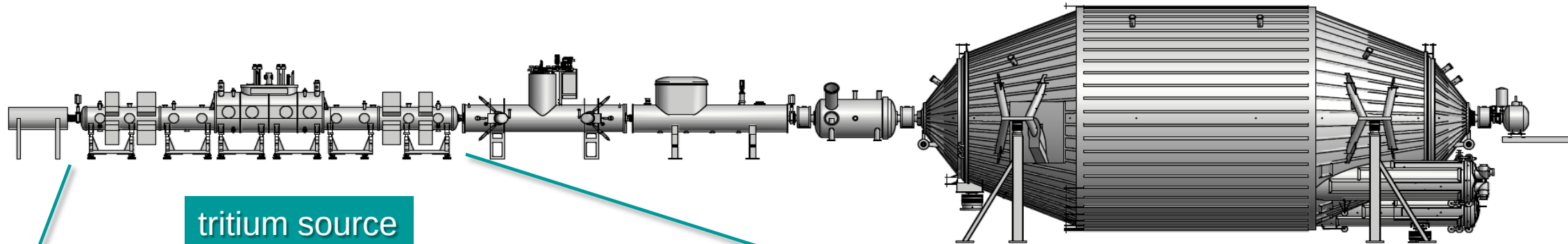


16 m long cryostat

KATRIN – windowless gaseous source



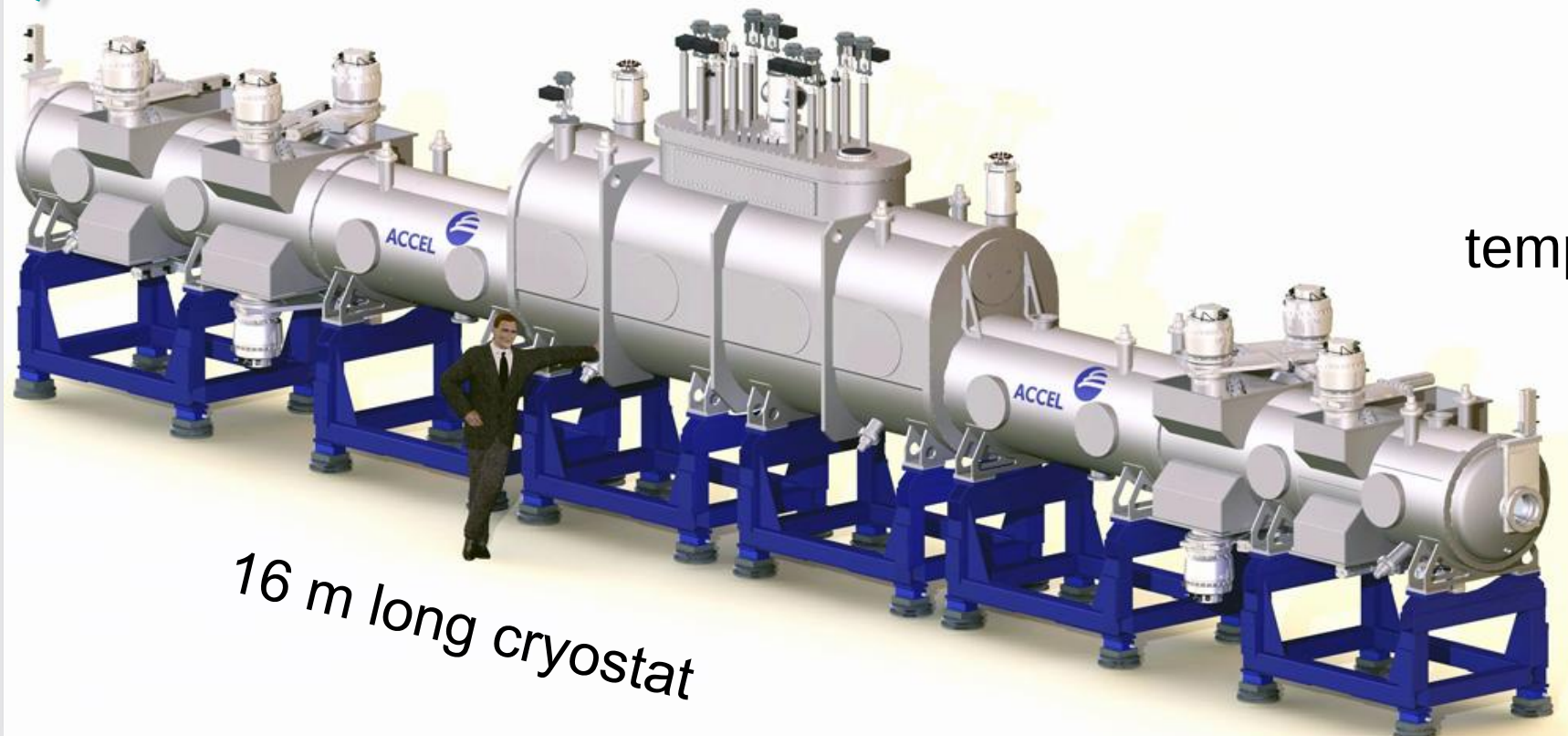
WGTS – windowless gaseous source



tritium source

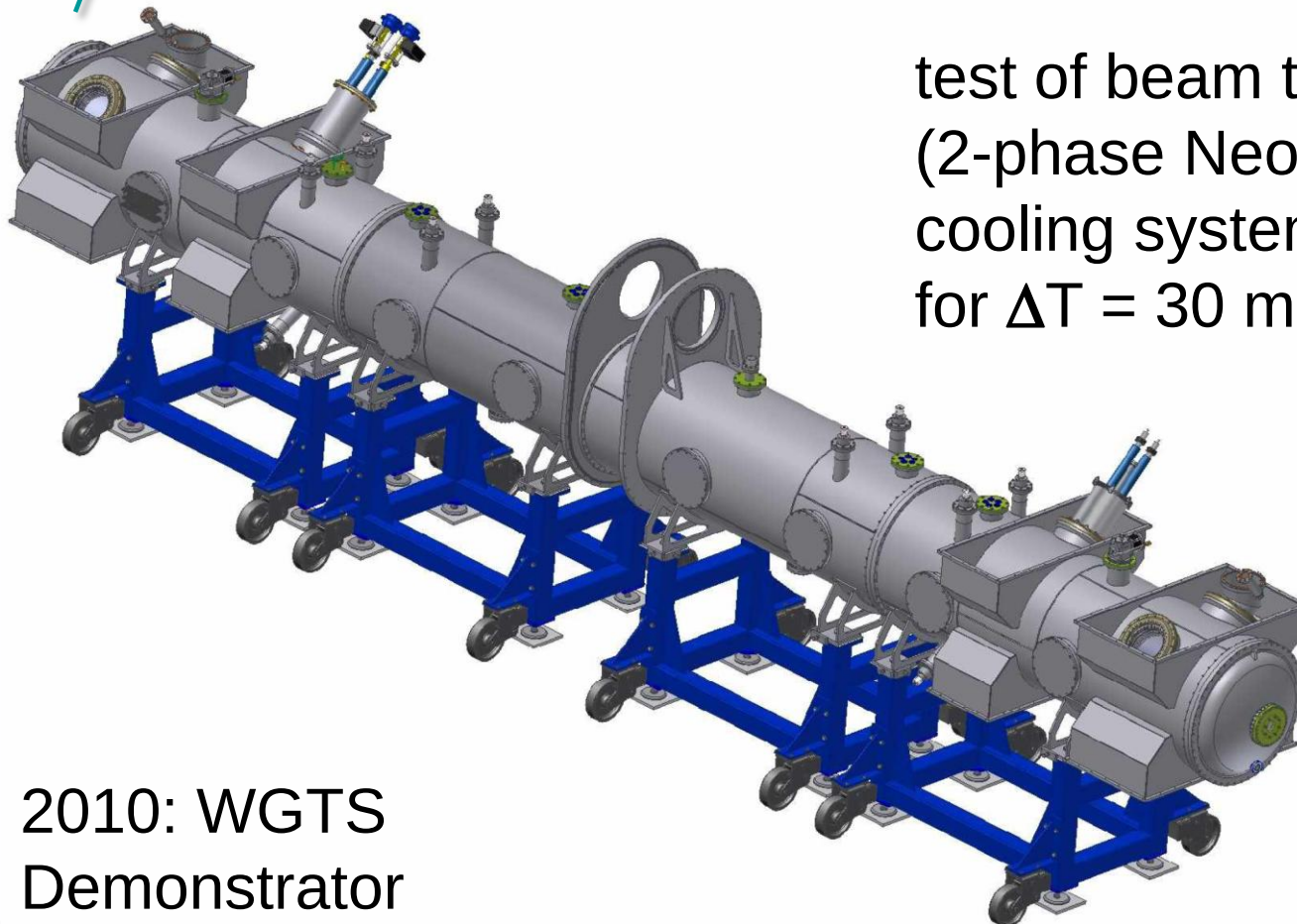
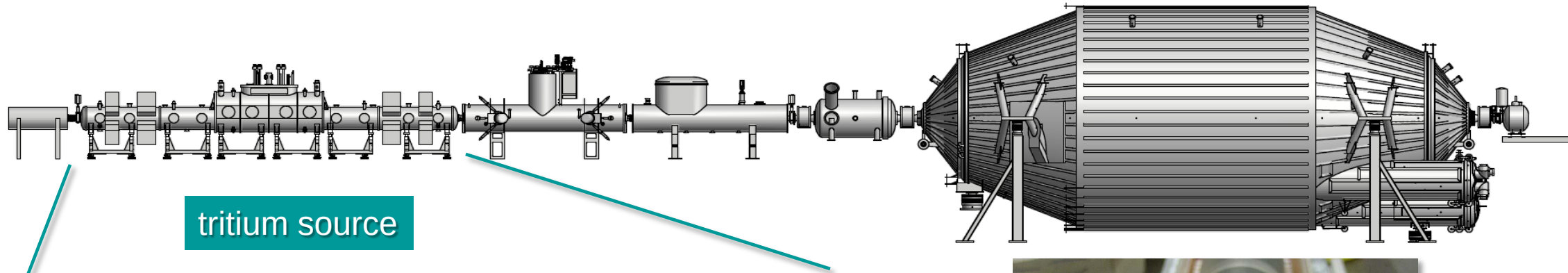
12 cryogenic circuits
6 cryogenic fluids

- instrumentation:
~ 500 sensors for
temperature (4 – 600 K),
B-field, pressure,
gas flow,
liquid levels



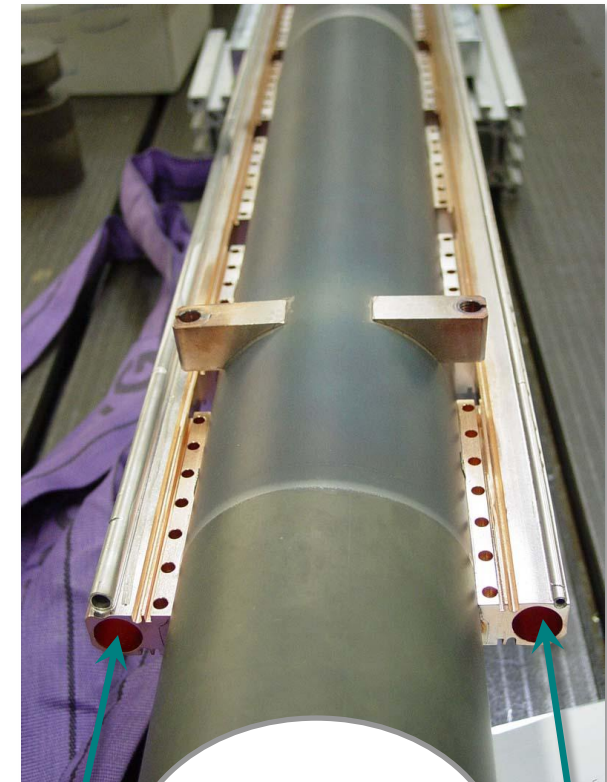
16 m long cryostat

WGTS – windowless gaseous source



2010: WGTS
Demonstrator

test of beam tube
(2-phase Neon)
cooling system
for $\Delta T = 30$ mK

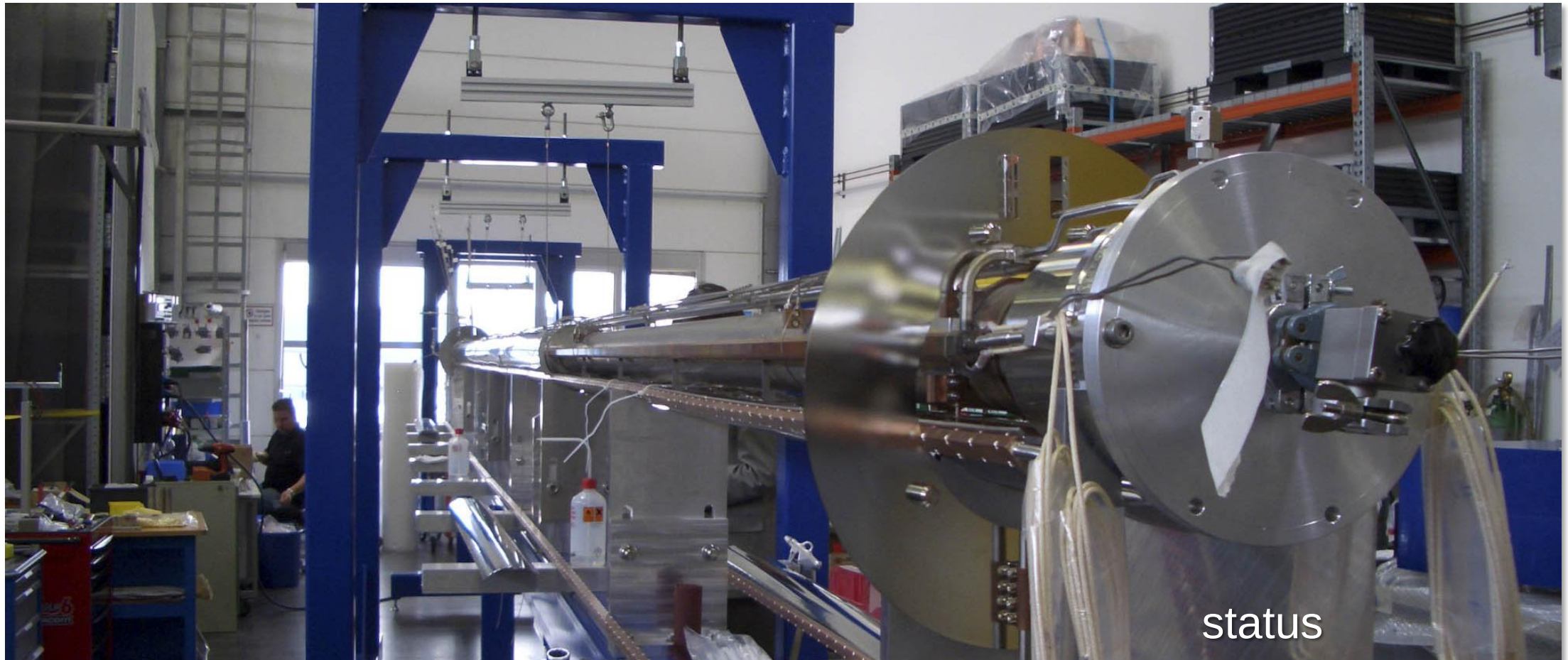
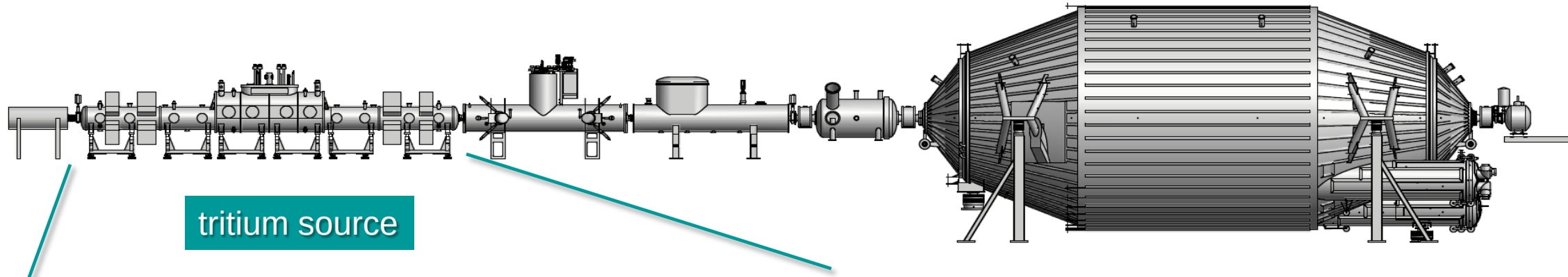


2-phase
Neon

stainless steel
beam tube
 $\varnothing=90\text{mm}$

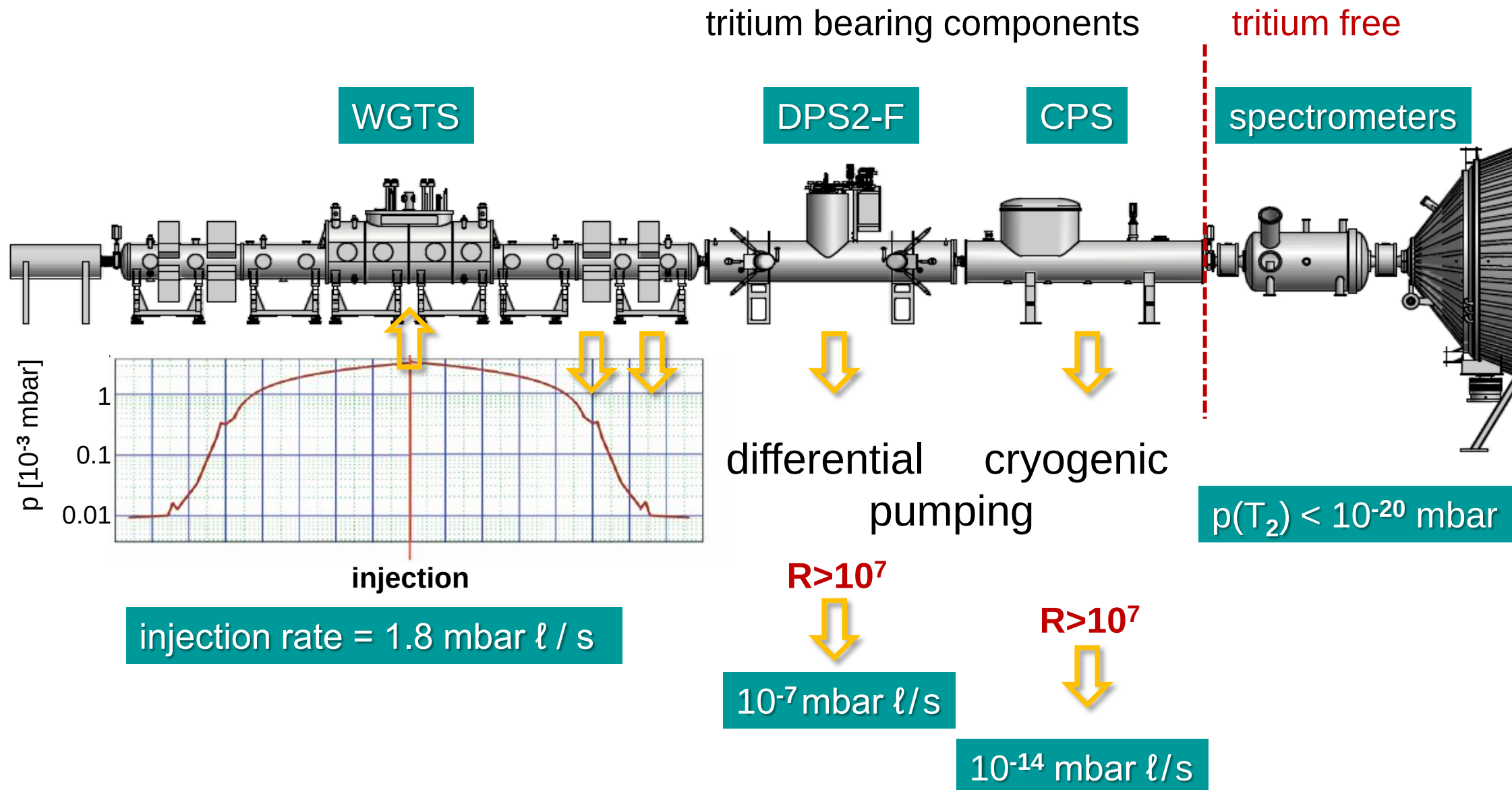
2-phase
Neon

WGTS – windowless gaseous source

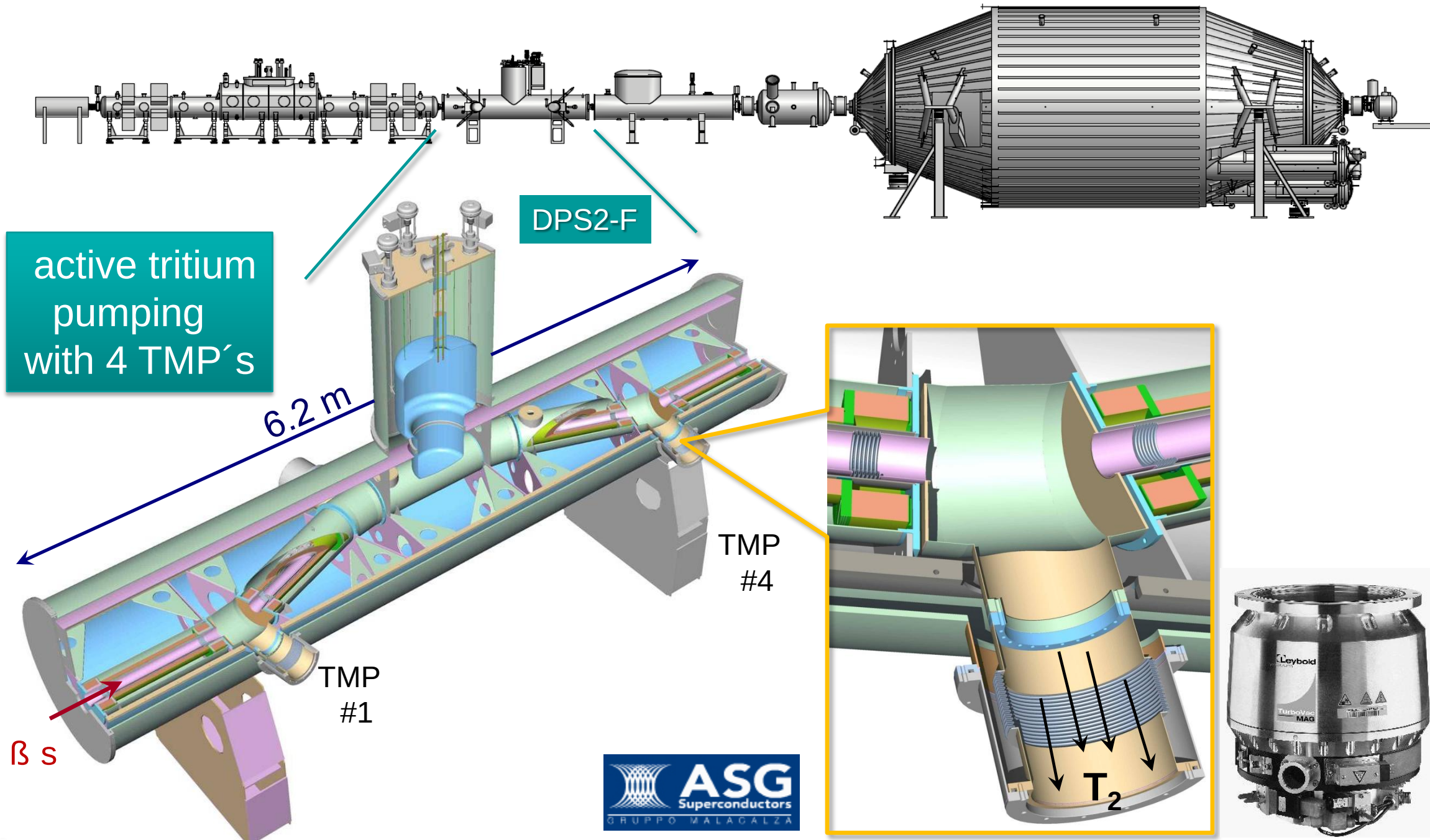


KATRIN – tritium retention

the tritium flow out of the WGTS has to be reduced by **factor $\sim 10^{14}$**



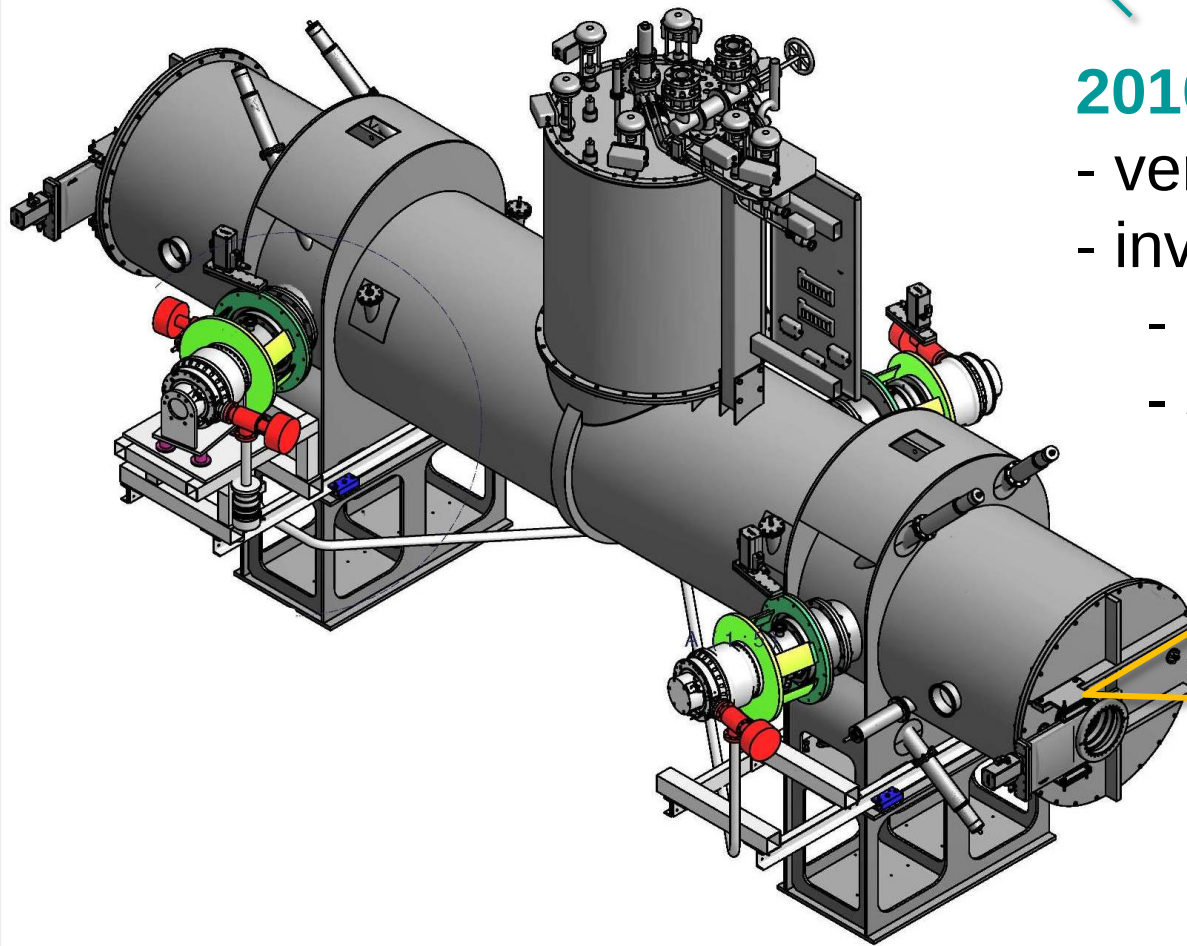
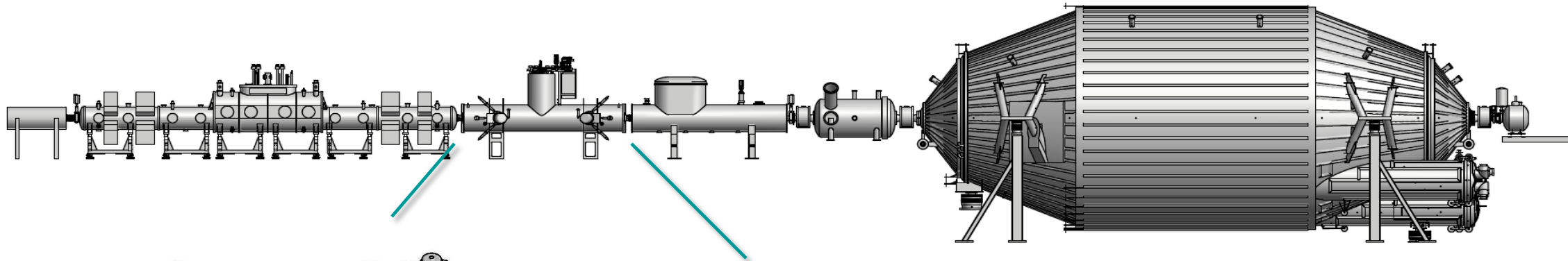
differential pumping section DPS2-F



July 14, 2009:
DPS2-F cryostat has arrived
at KIT campus north

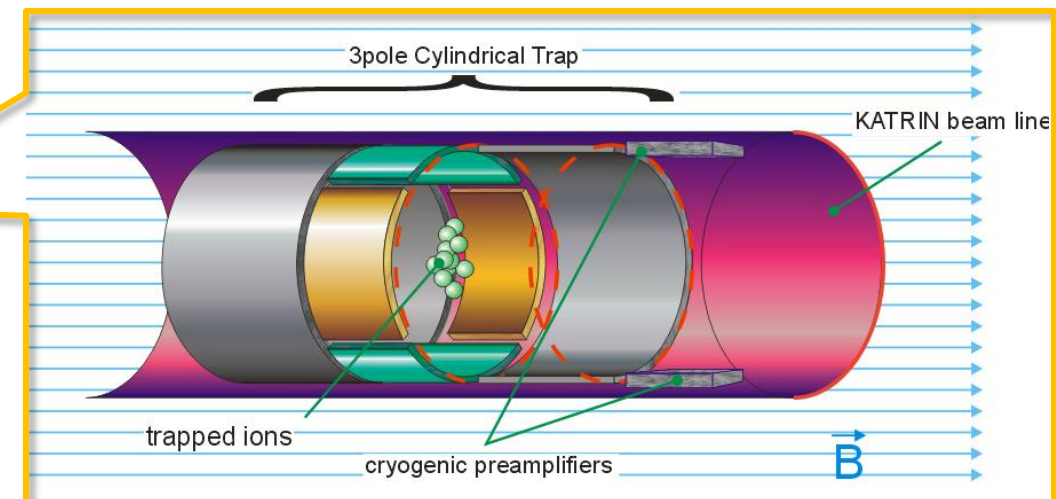


differential pumping section DPS2-F

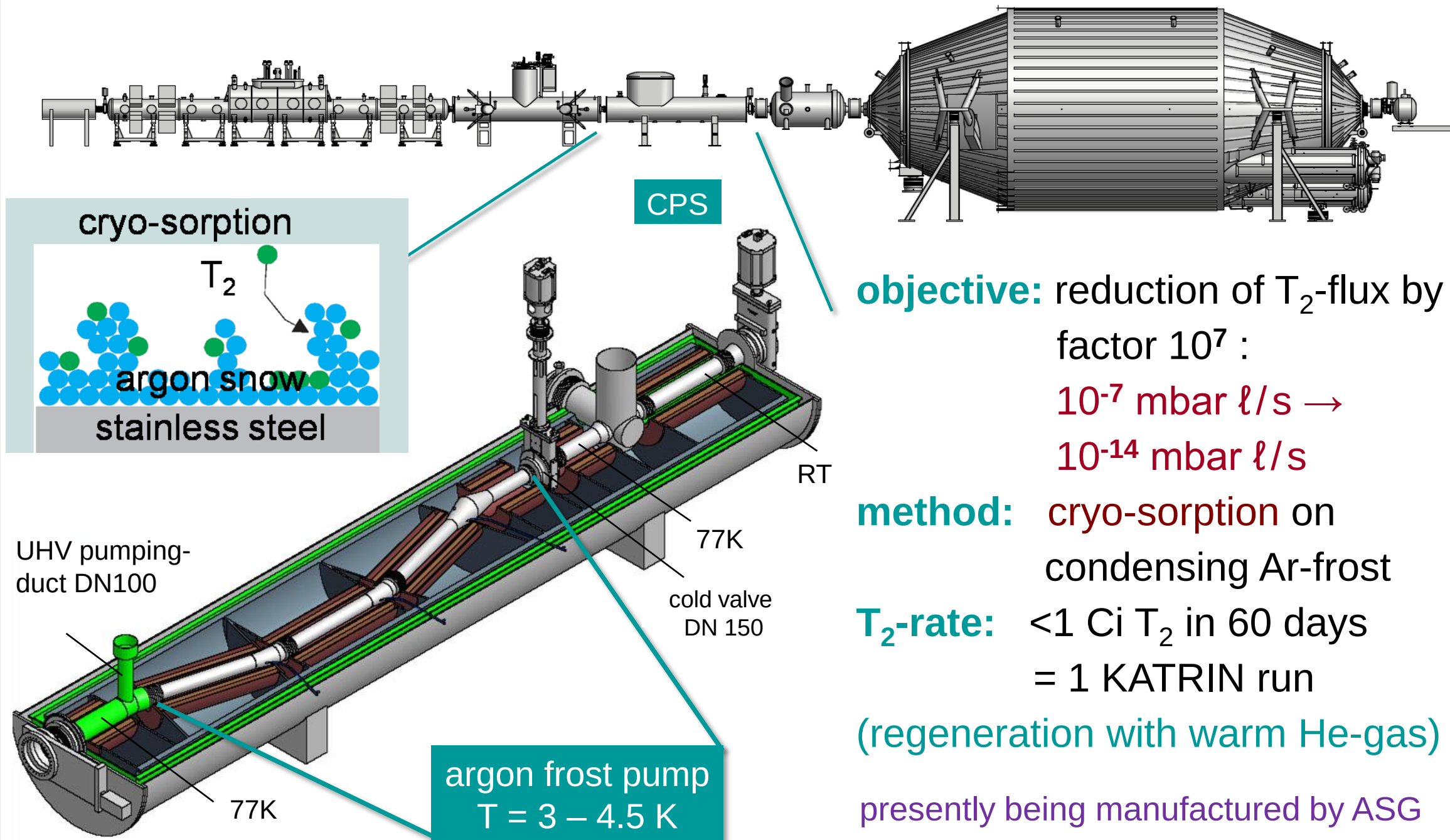


2010 DPS2-F experimental programme

- verify H-isotopologue retention $R = 10^5$
- investigations of ion properties:
 - diagnostics with FT-ICR measurements
 - suppression with electrostatic dipoles



cryogenic pumping section CPS



objective: reduction of T_2 -flux by factor 10^7 :

$10^{-7} \text{ mbar } \ell/\text{s} \rightarrow$

$10^{-14} \text{ mbar } \ell/\text{s}$

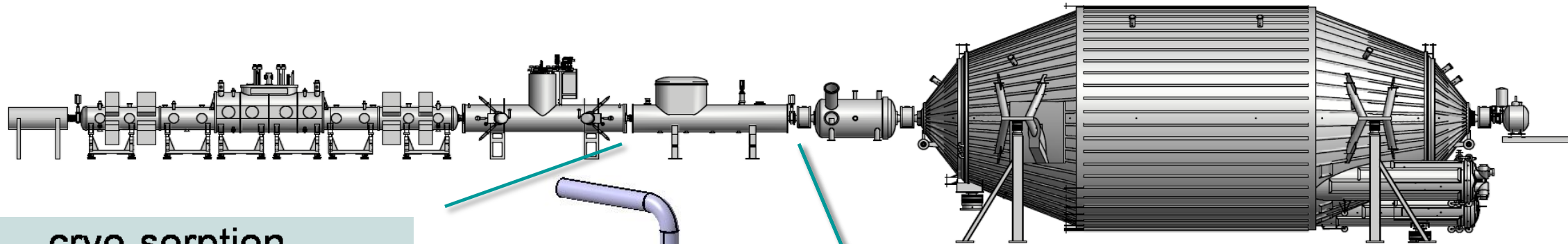
method: cryo-sorption on condensing Ar-frost

T_2 -rate: $<1 \text{ Ci } T_2$ in 60 days
= 1 KATRIN run

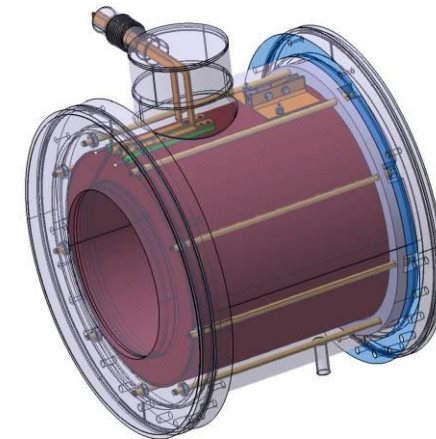
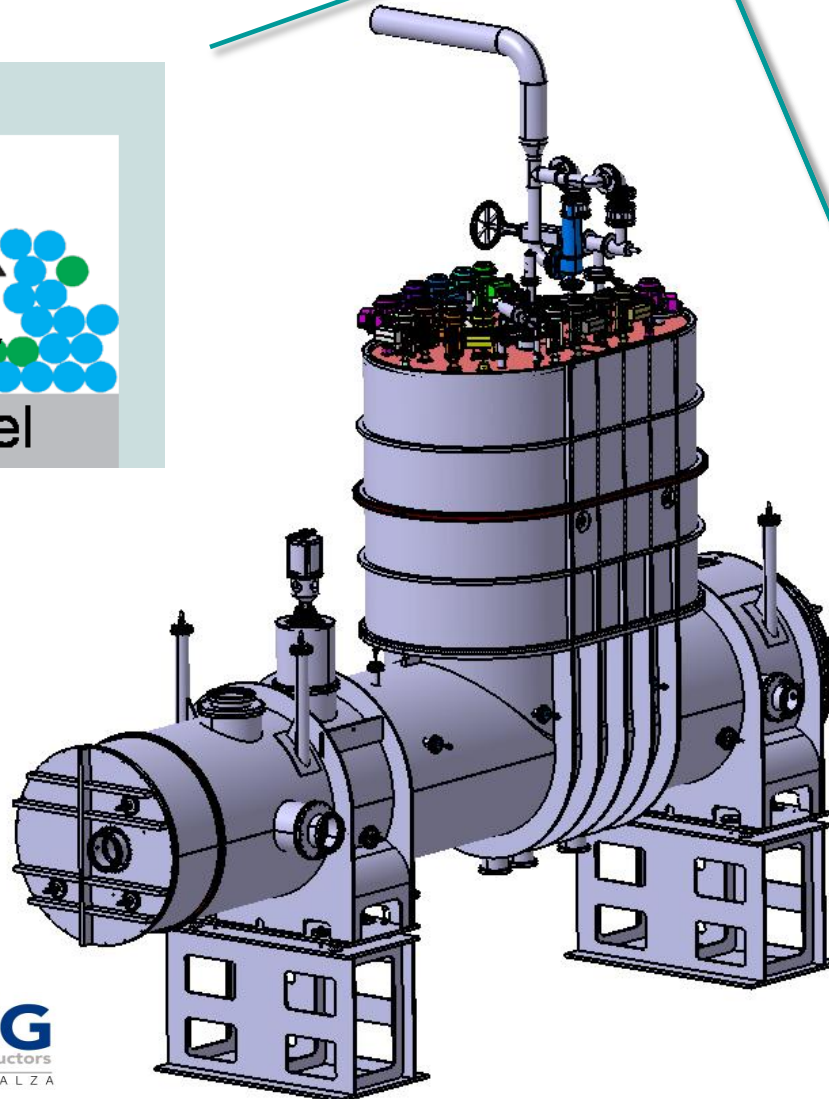
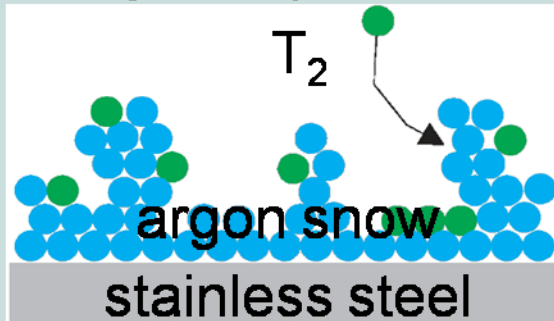
(regeneration with warm He-gas)

presently being manufactured by ASG

cryogenic pumping section CPS



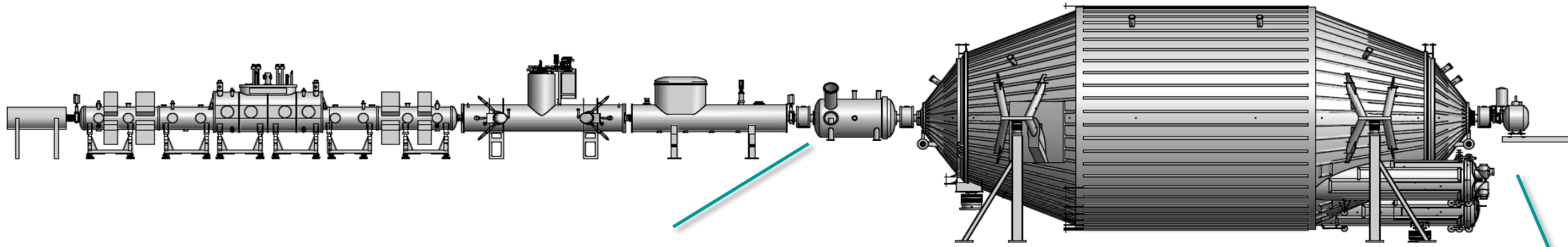
cryo-sorption



Coil #1



electrostatic spectrometers



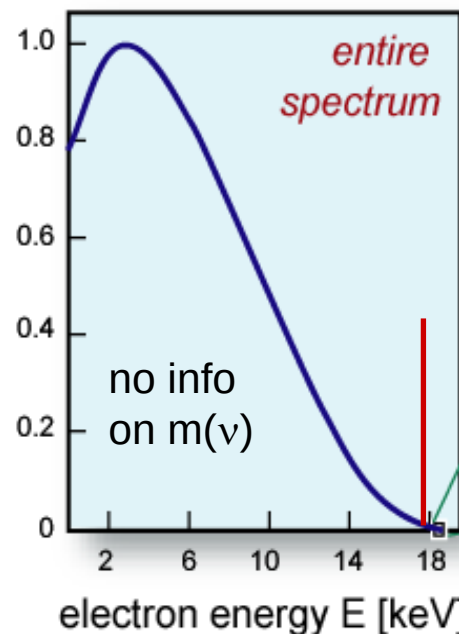
pre-filter

fixed retarding potential

$$U_0 = -18.3 \text{ kV}$$

$$\Delta E \sim 100 \text{ eV}$$

- filter out all β -decay electrons without $m(v)$ -info
- reduce background from ionising collisions

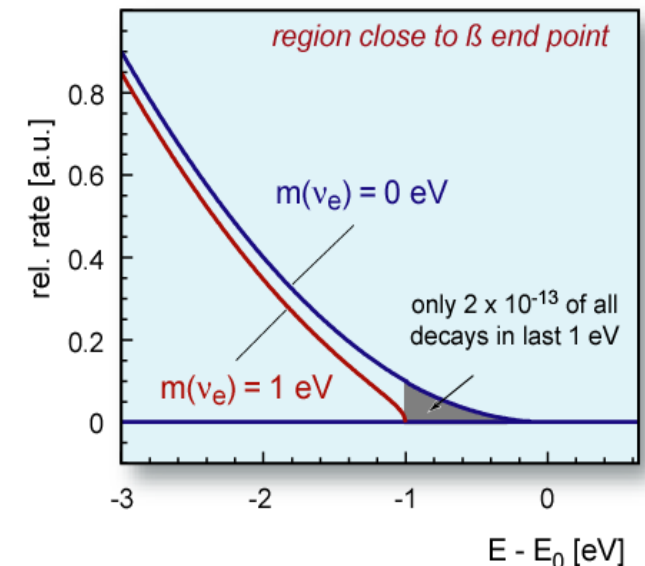


precision filter - scanning

variable retarding potential

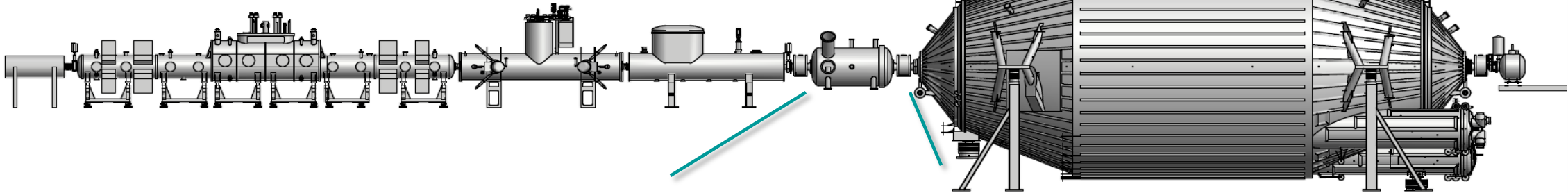
$$U_0 = -18.4 \dots -18.6 \text{ kV}$$

$$\Delta E \sim 0.93 \text{ eV (100% transmission)}$$

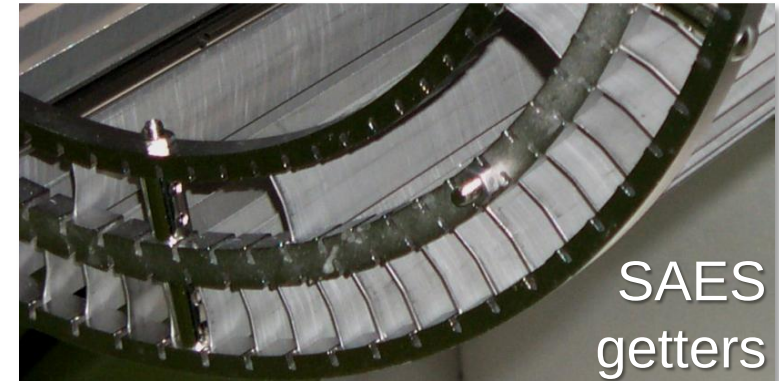


tandem design: pre-filter & energy analysis

pre-spectrometer – UHV



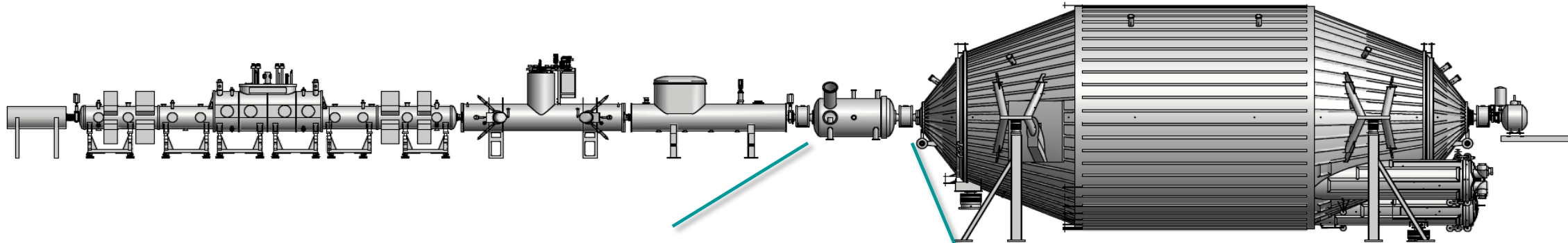
successful
verification
of UHV
concept



SAES
getters



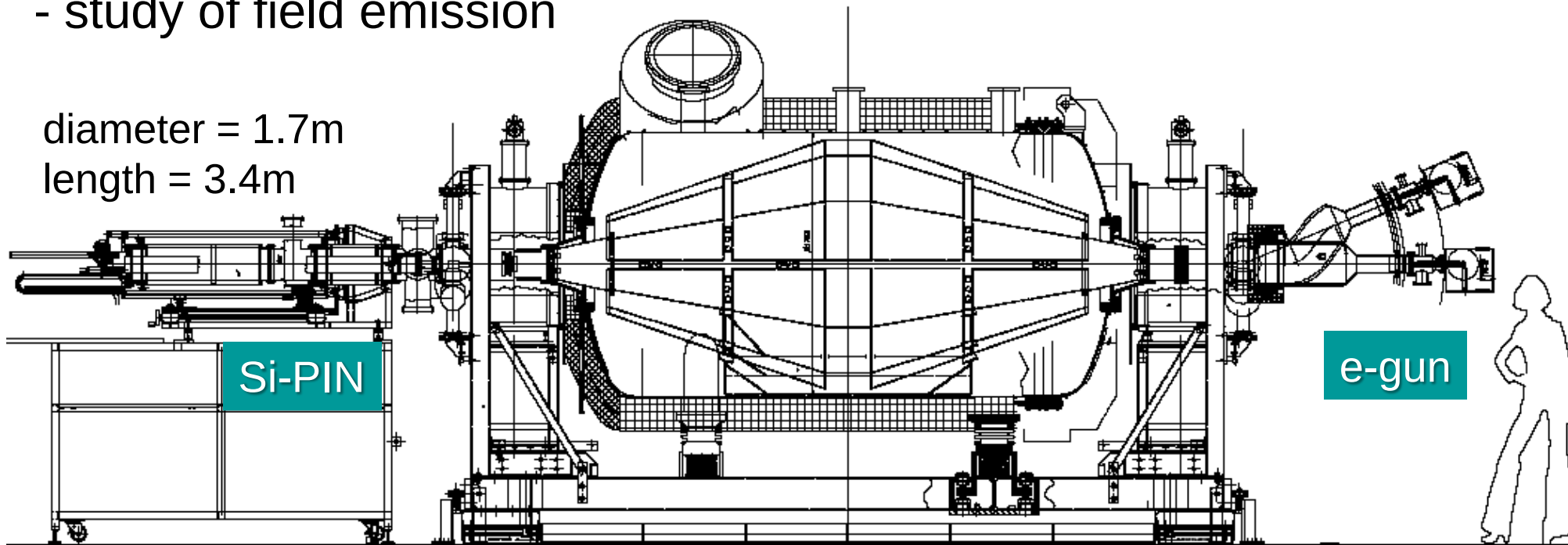
pre-spectrometer: electromagnetic tests



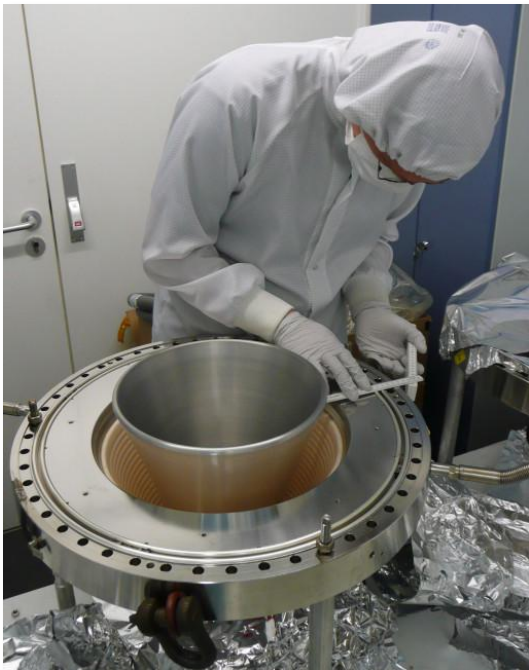
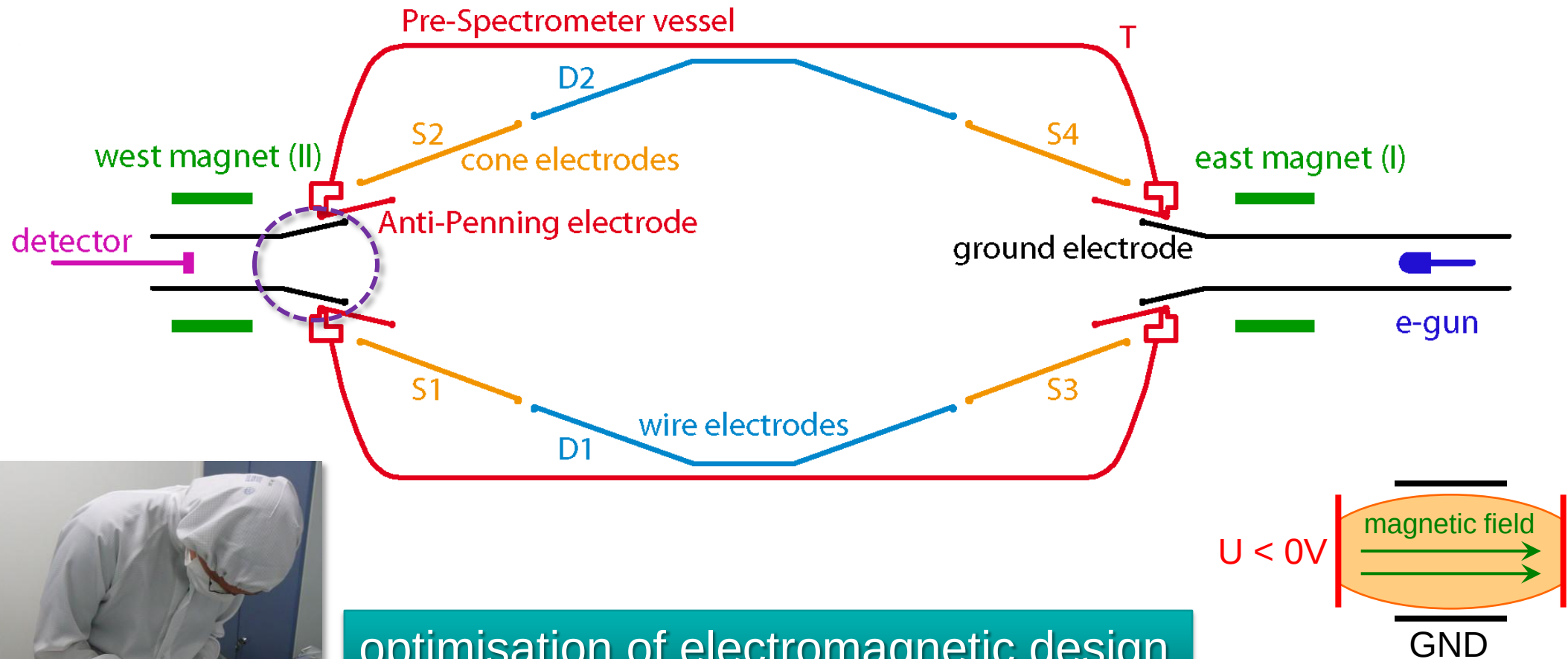
optimisation of electromagnetic design

- minimisation of Penning traps
- background reduction techniques (dipole fields)
- study of field emission

diameter = 1.7m
length = 3.4m



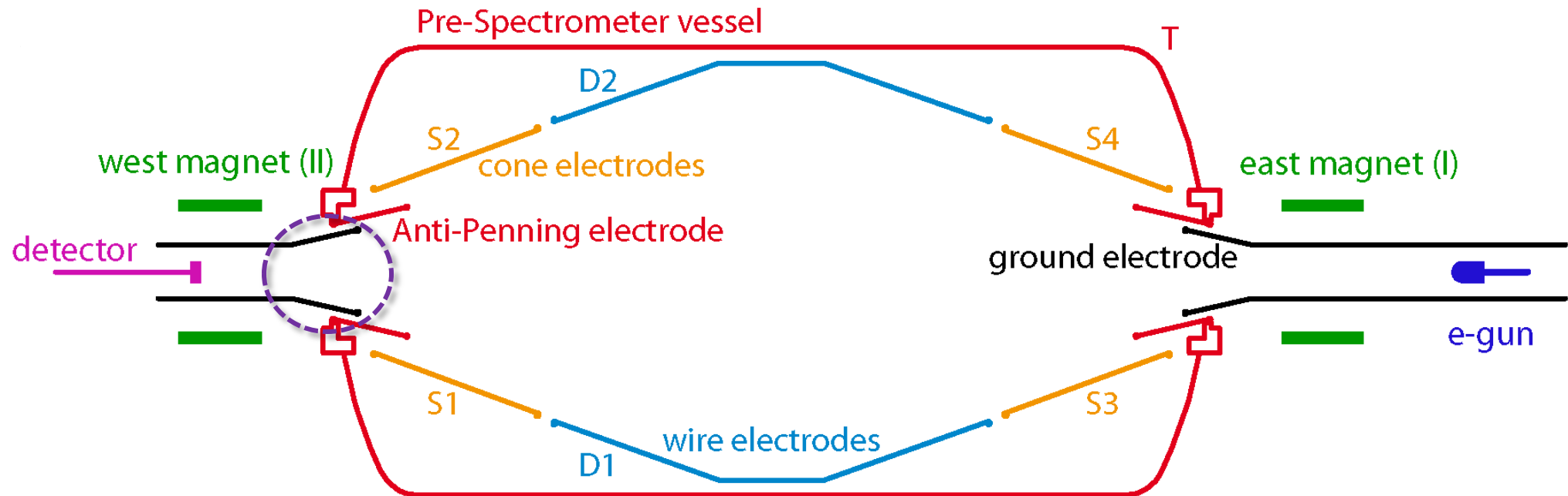
pre-spectrometer: electromagnetic tests



optimisation of electromagnetic design

- design of geometry of ground & Anti-Penning electrodes
- detailed study of characteristics of Penning traps as function of electrostatic potential, B-field, pressure
- 4th generation layout implemented

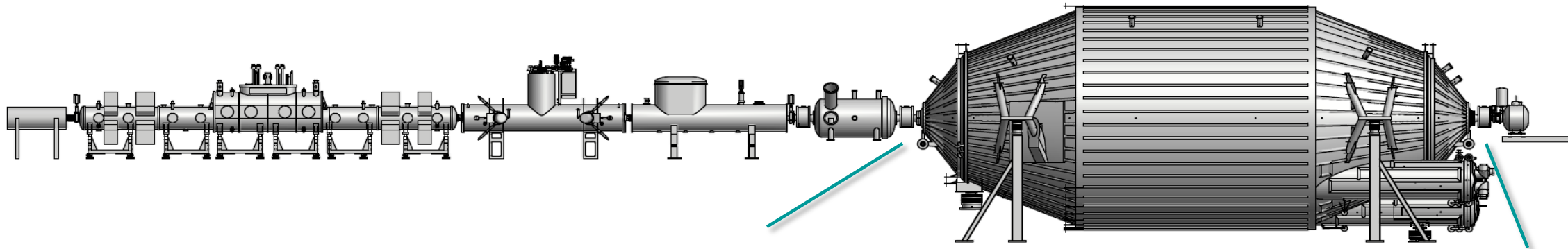
pre-spectrometer: electromagnetic tests



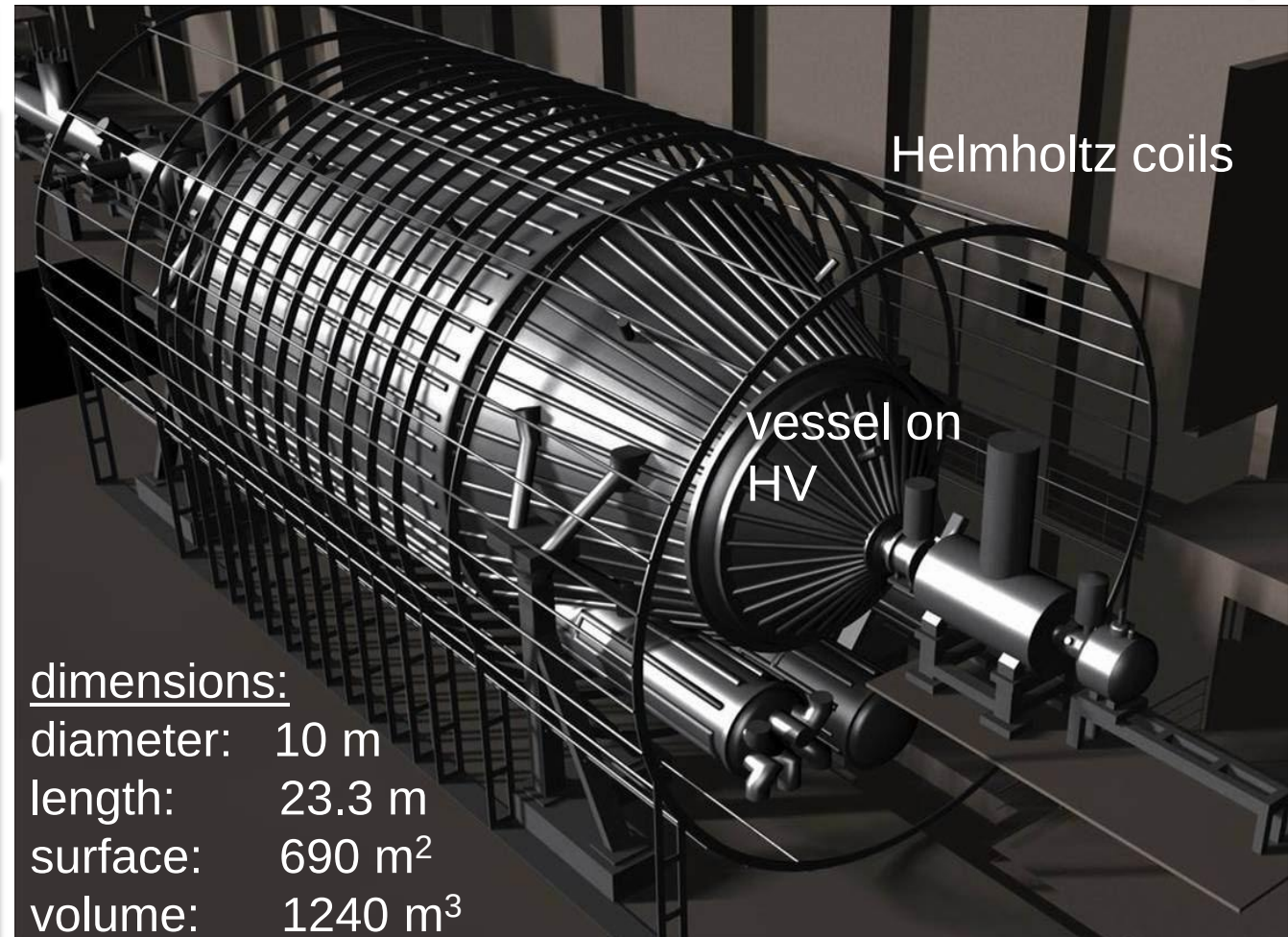
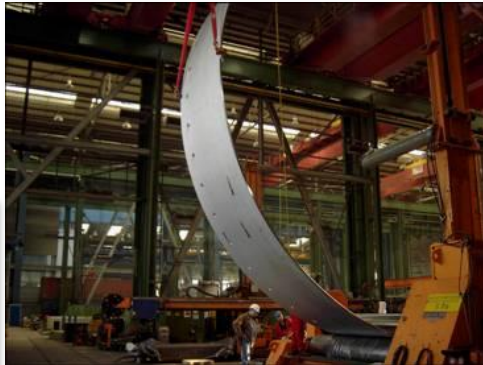
ground electrode geometries: generations # 1 - 4

Penning background:
#1: MHz range & major discharges
#4: mHz range & long-term stability ☒
huge effort (MC+experiment)

main spectrometer: world s largest UHV recipient



UHV : $p < 10^{-11}$ mbar !



dimensions:

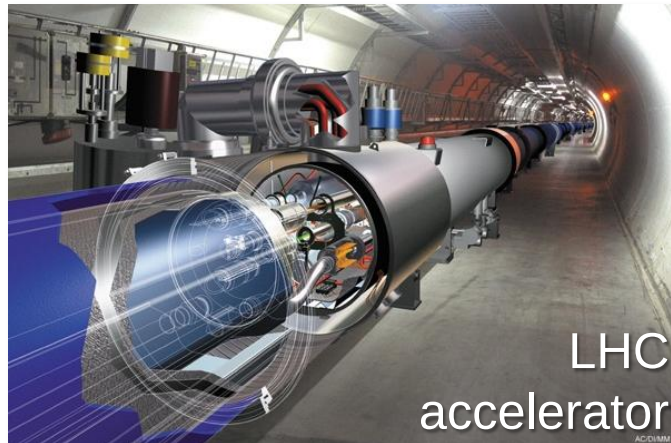
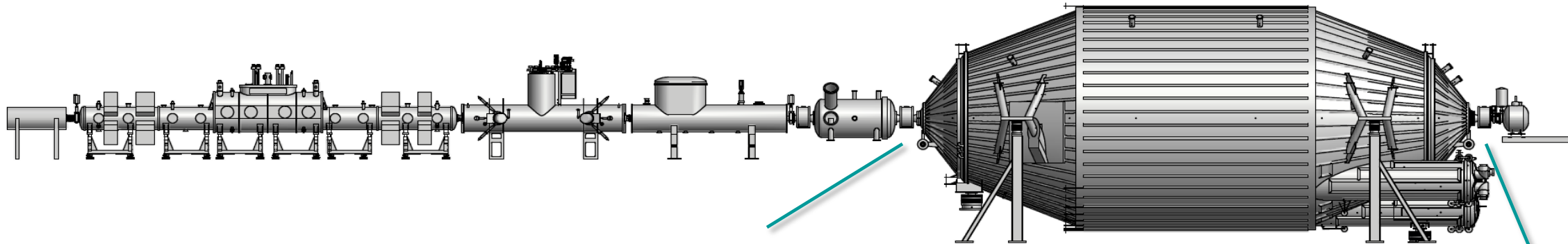
diameter: 10 m

length: 23.3 m

surface: 690 m²

volume: 1240 m³

main spectrometer: world s largest UHV recipient



KATRIN	Volume	pressure	method
spectrometer	1250 m³	10⁻¹¹ mbar	turbomolecular pumps / nonevaporable getters

LHC	Volume	pressure	method
storage ring	154 m ³	10 ⁻¹¹ mbar	cryocondensation on beam screen/magnet bore (1.9K)
cryogenic insulation	640 m ³	10 ⁻⁶ mbar	cryocondensation on magnet cold mass

LIGO	Volume	pressure	method
2x4km arms	8000 m ³	~10 ⁻⁸ mbar	ion pumps & cold traps

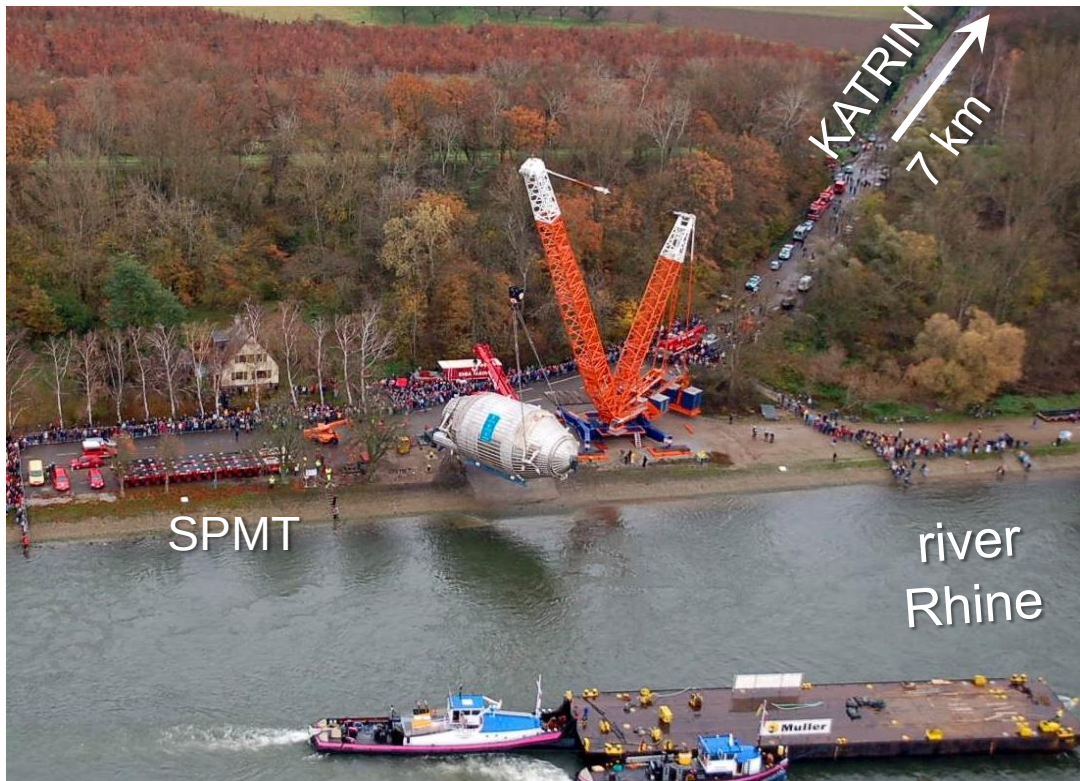
VIRGO	Volume	pressure	method
2x3km arms	6800 m ³	<10 ⁻⁹ mbar	titanium & ion pumps

the final 7km: passing Leopoldshafen

November 25, 2006: after an 8800 km sea-going voyage the main Spectrometer was manoeuvred by an SPMT over 7km to the final destination at the KATRIN experimental halls...

(30.000 visitors)

arrival at Leimersheim ferry & reloading onto SPMT with heavy-duty crane



BBC NEWS

In pictures: photos of the year 2006



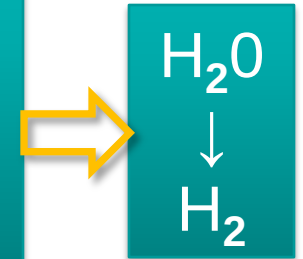
the final 7m, initial out-baking & UHV



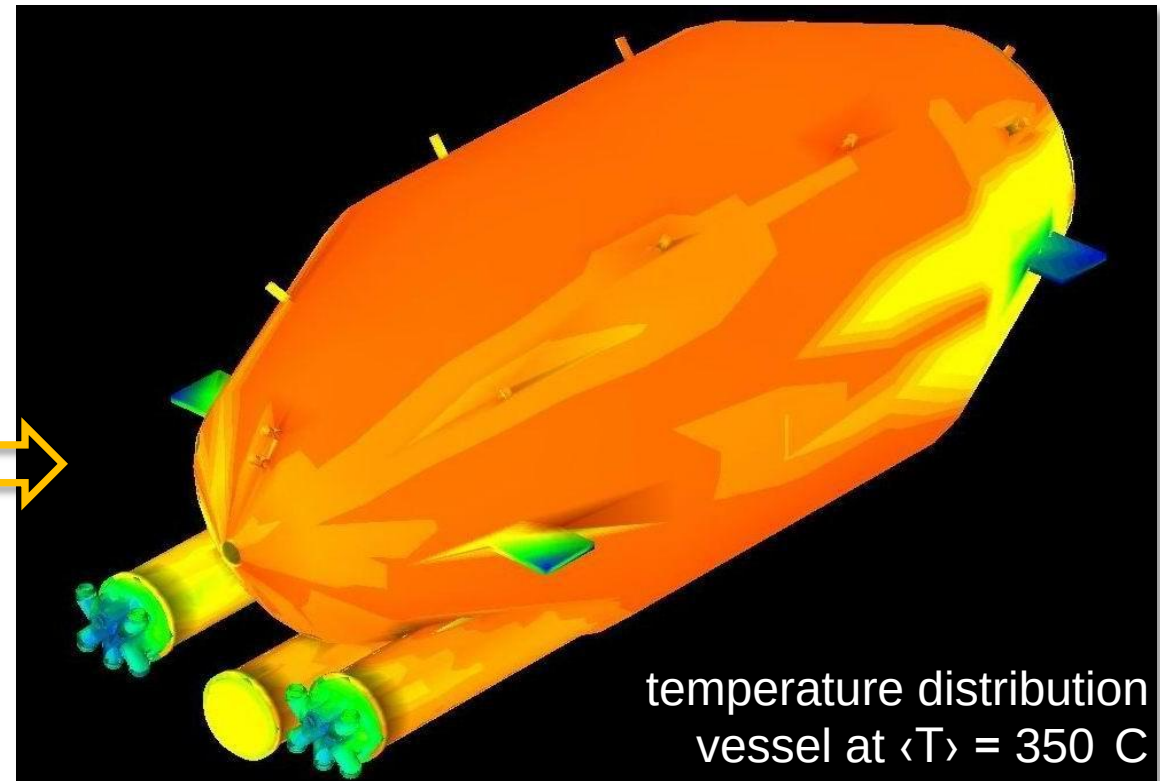
steam
blasting

July 2007: initial UHV tests of vessel
after out-baking with 6 TMPs

outgassing rate [$T = 20^\circ\text{C}$]
 $1.18 \cdot 10^{-12} \text{ mbar } \ell / \text{cm}^2 \text{ s}$
 $p = 10^{-10} \text{ mbar}$



November 29, 2006



temperature distribution
vessel at $\langle T \rangle = 350^\circ\text{C}$

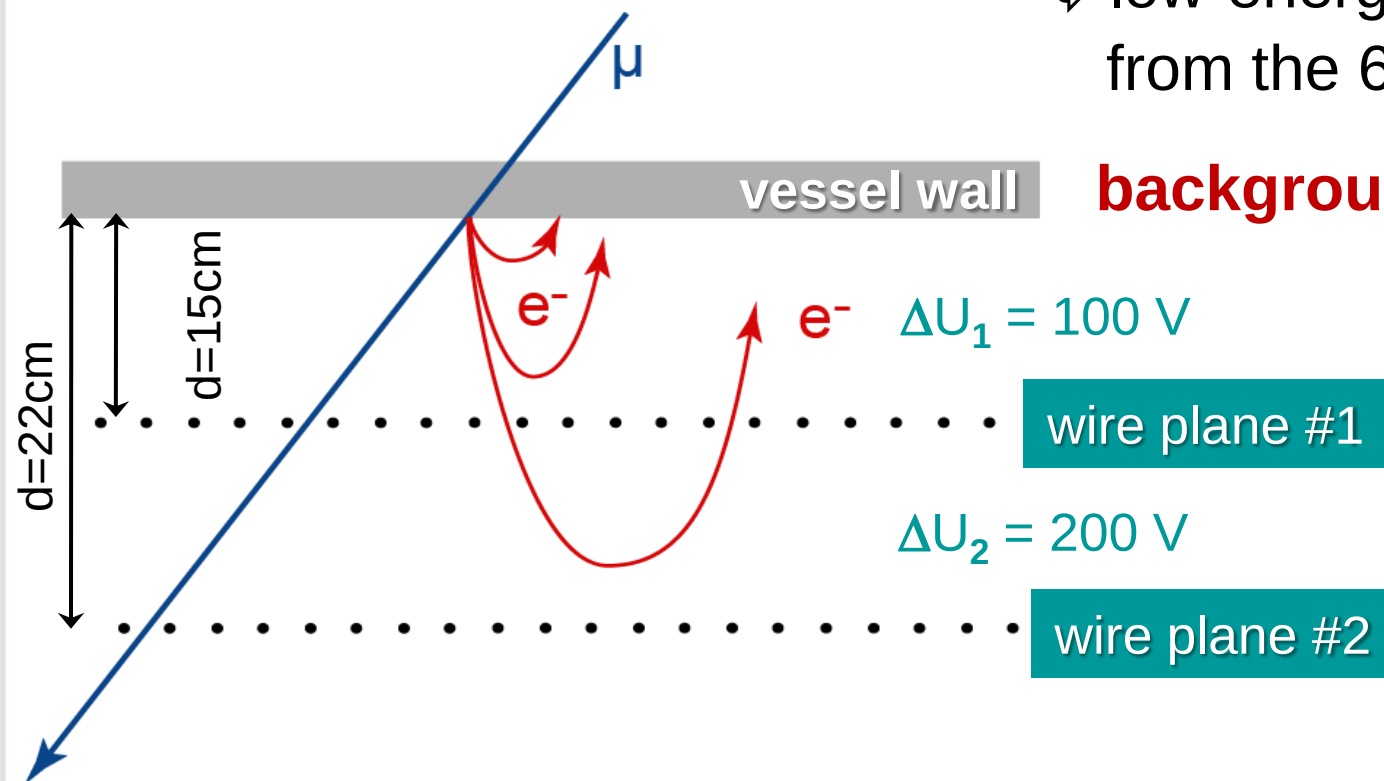
inner electrodes: motivation & tasks

#1: fine forming of electrostatic retarding field

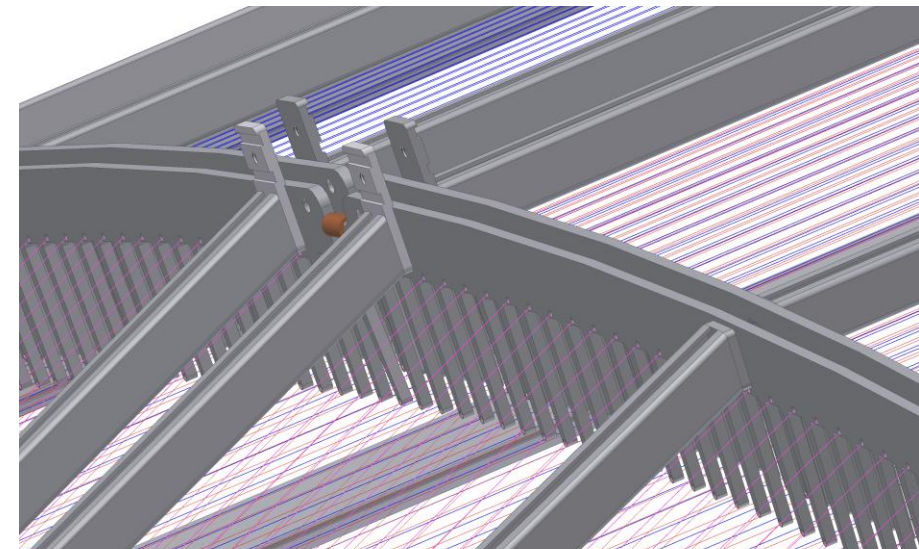
- precision HV power supplies: intrinsic HV precision ~ 1 ppm
- dipole mode: emptying of particles stored in Penning traps

#2: background suppression

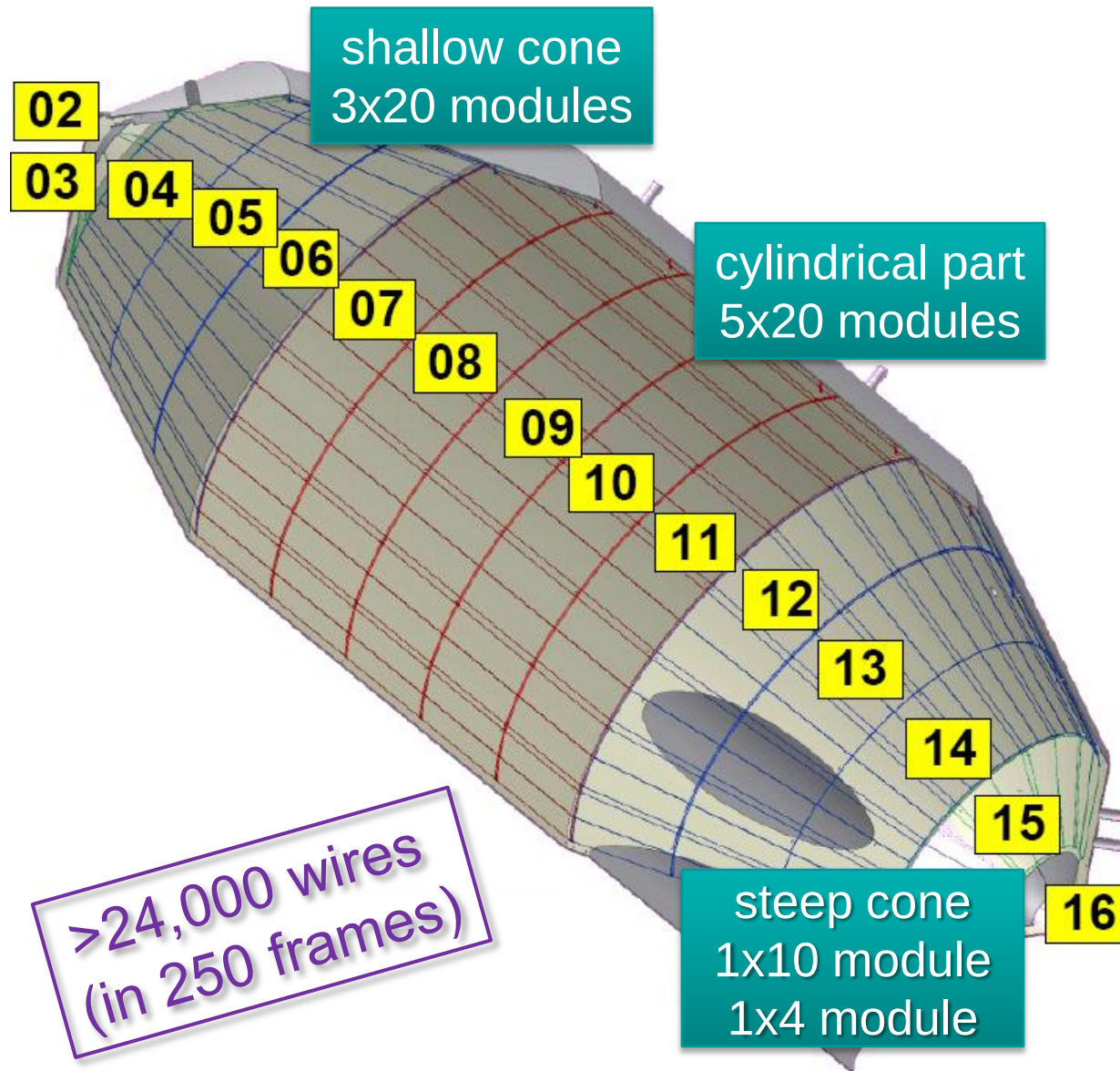
inelastic reactions of cosmic muons
 $\rightarrow$ low-energy secondary electrons
 from the 690 m² inner surface



background reduction: factor 10-100

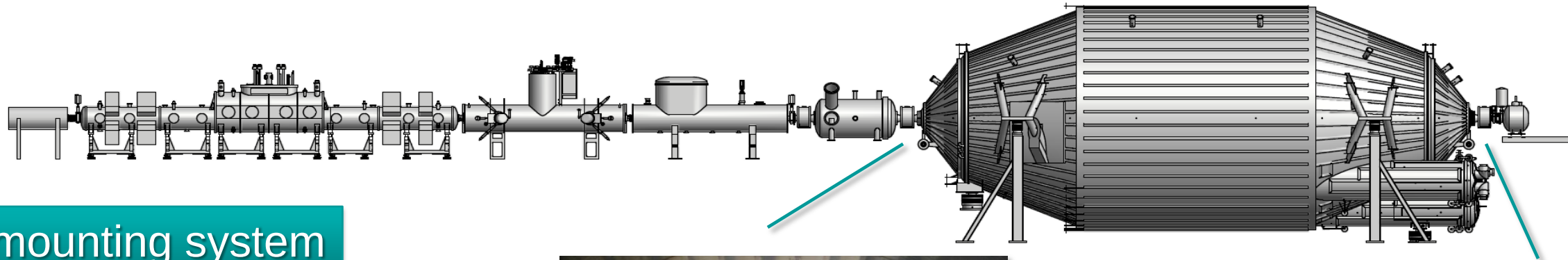


inner electrodes: overall system layout



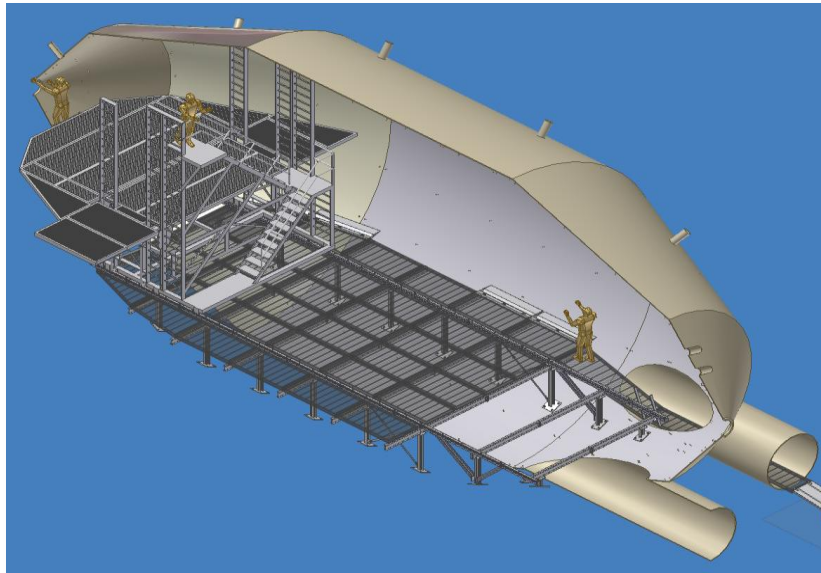
module manufacture at U Münster

inner electrodes: mounting system



mounting system

- access via 85 m² clean room at rear end
- electropolished mounting system for precise mounting



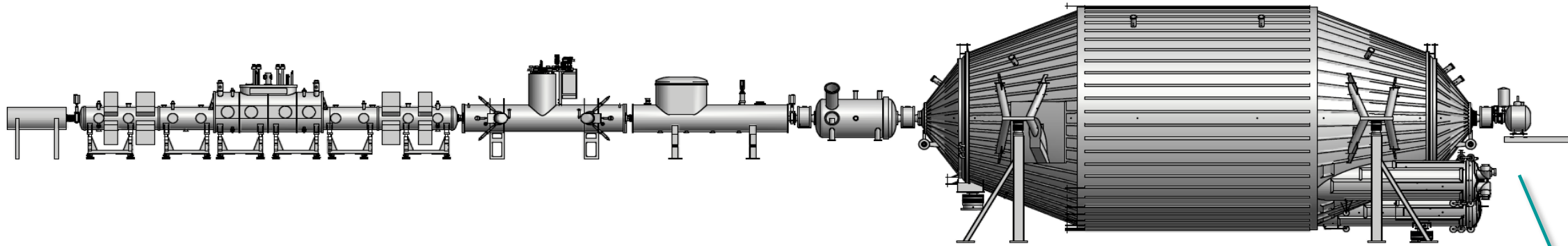
- laser tracker alignment with 100 μm over entire inner surface



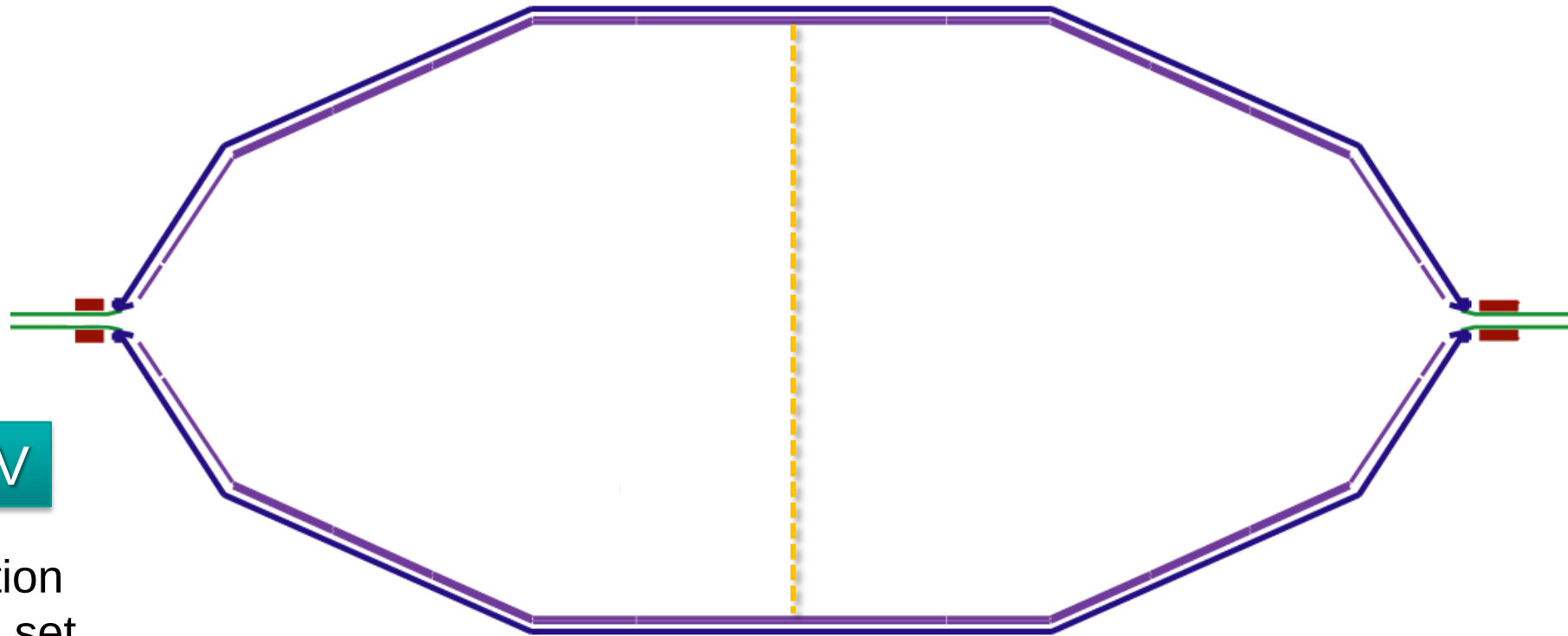


**July 2009: first wire
modules installed
successfully**

main spectrometer



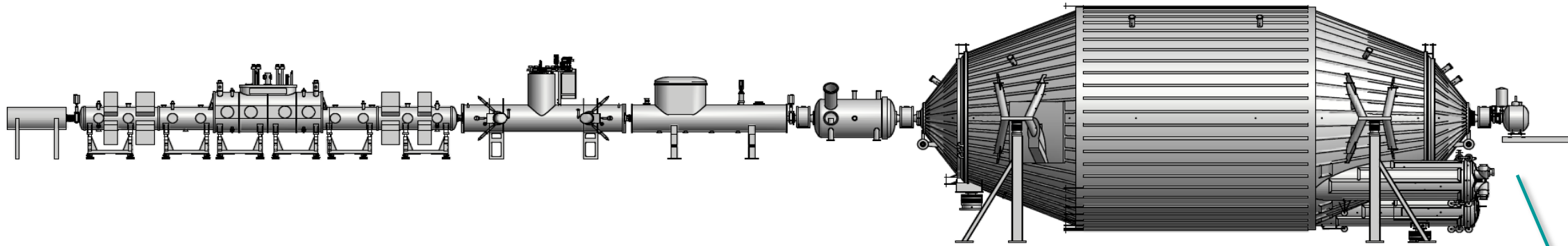
air coils
(LFCS)



$$E(e^-) = U_0 - 1 \text{ eV}$$

for better visualisation
of cyclotron motion set
 $m_e = 0.01 m_e$

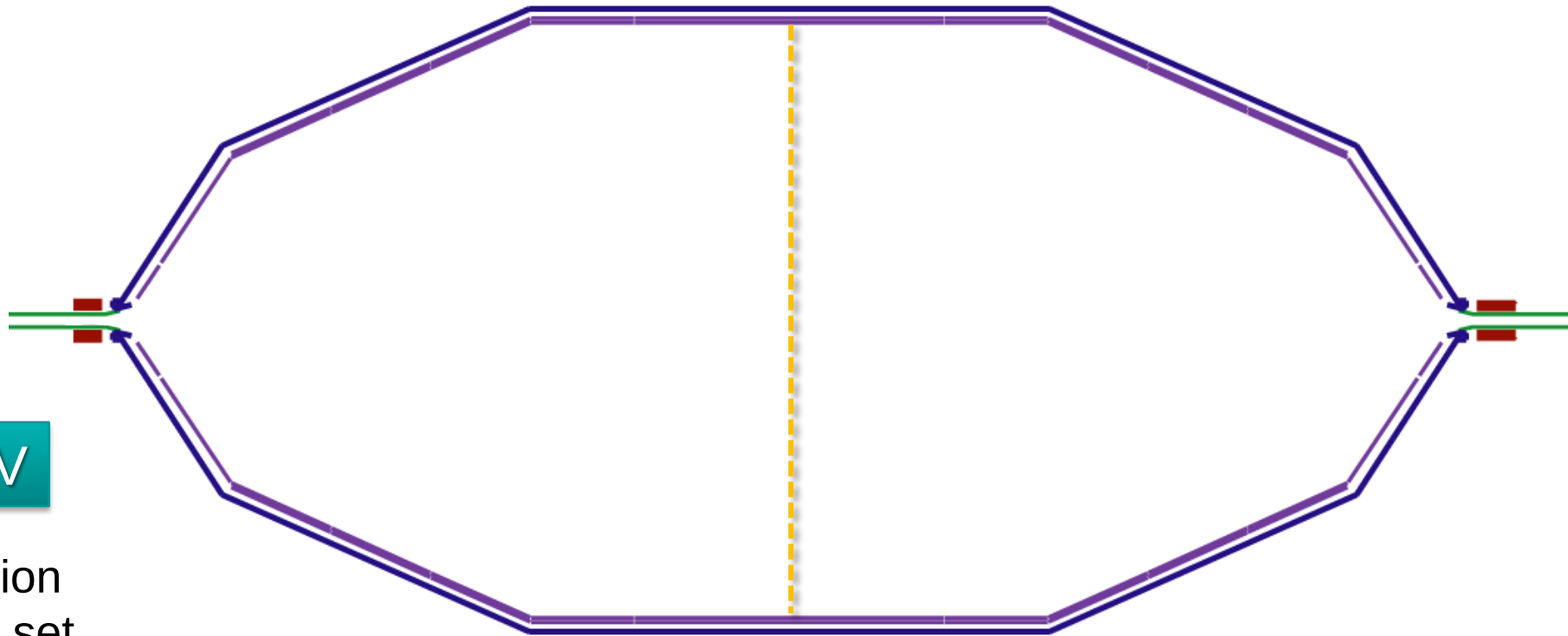
main spectrometer



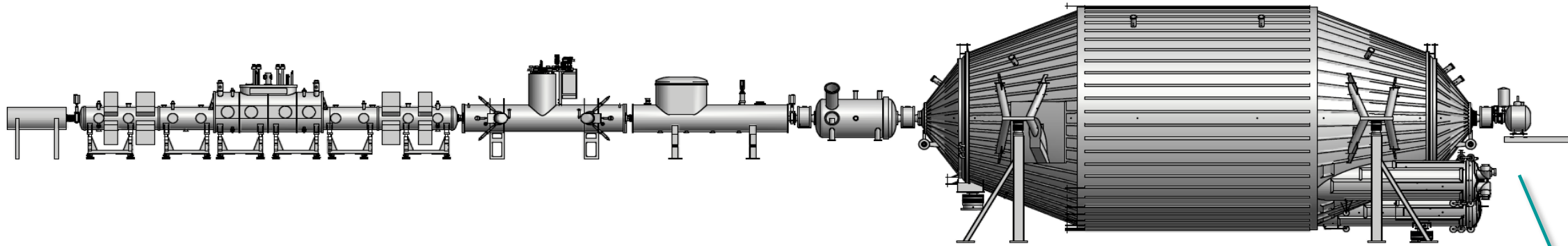
Luftspulen
(LFCS)

$$E(e^-) = U_0 - 1 \text{ eV}$$

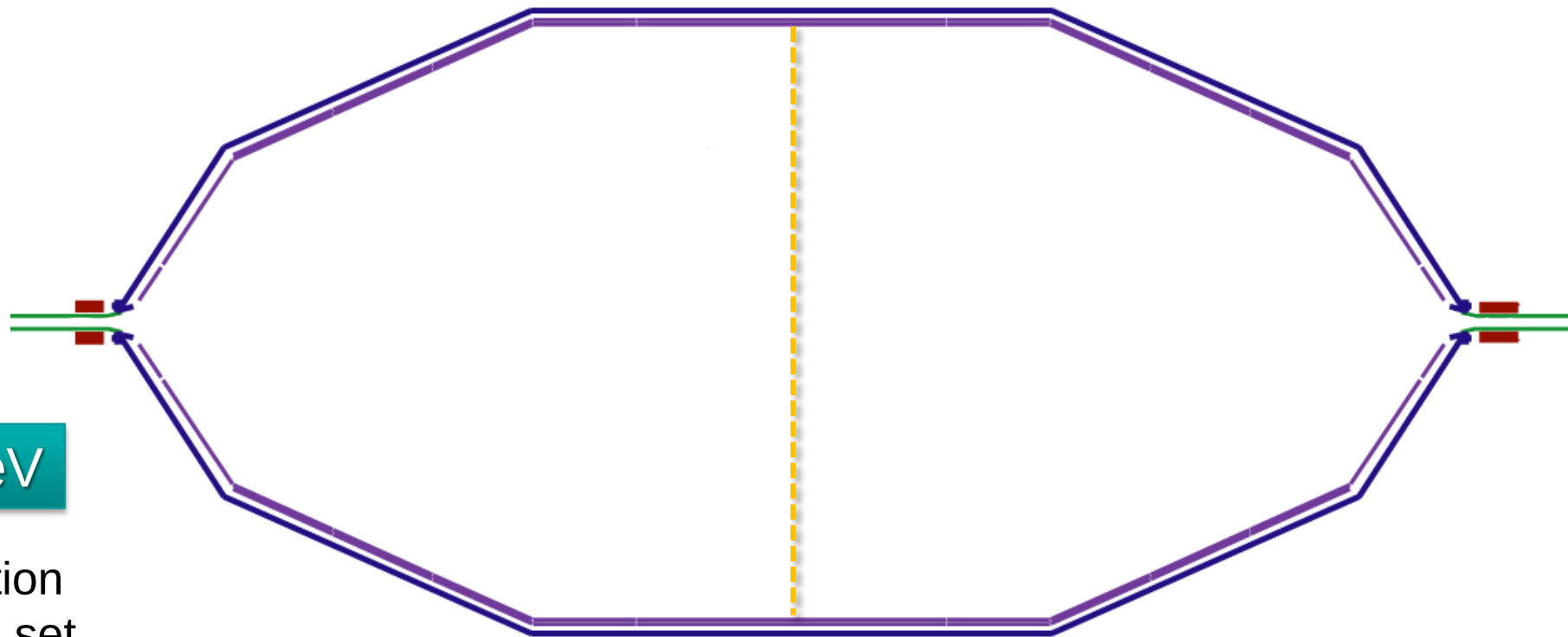
for better visualisation
of cyclotron motion set
 $m_e = 0.01 m_e$



main spectrometer



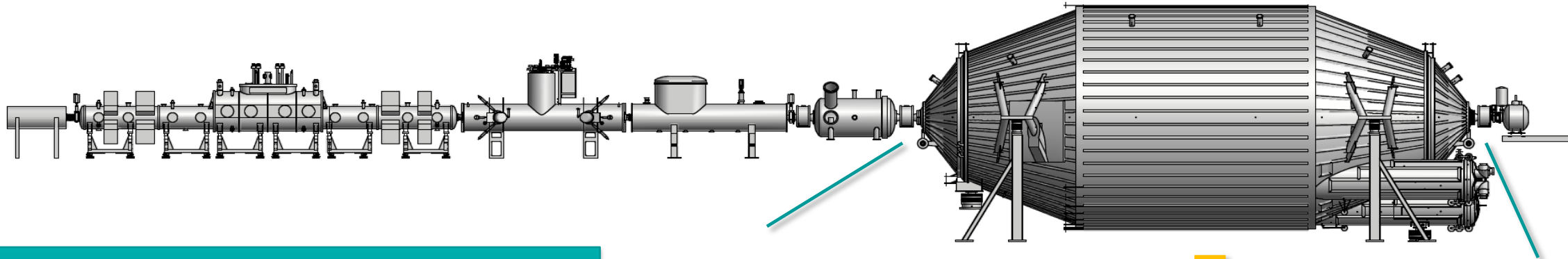
air coils
(LFCS)



$$E(e^-) = U_0 + 1 \text{ eV}$$

for better visualisation
of cyclotron motion set
 $m_e = 0.01 m_e$

main spectrometer: Helmholtz coil system



LFCS – Low Field Coil System

radial coils

tasks:

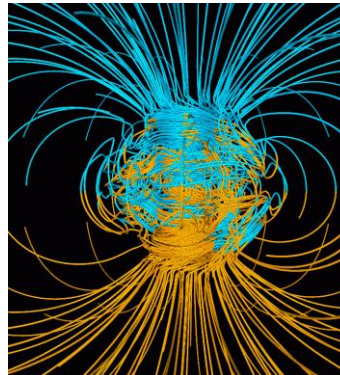
- constrain magnetic flux tube (2.4 G $\rightarrow$ 3.4 G)
- reduce field inhomogeneities (33% $\rightarrow$ 13%)

EMCS – Earth Magnetic Field Coil System

cosine coils

tasks:

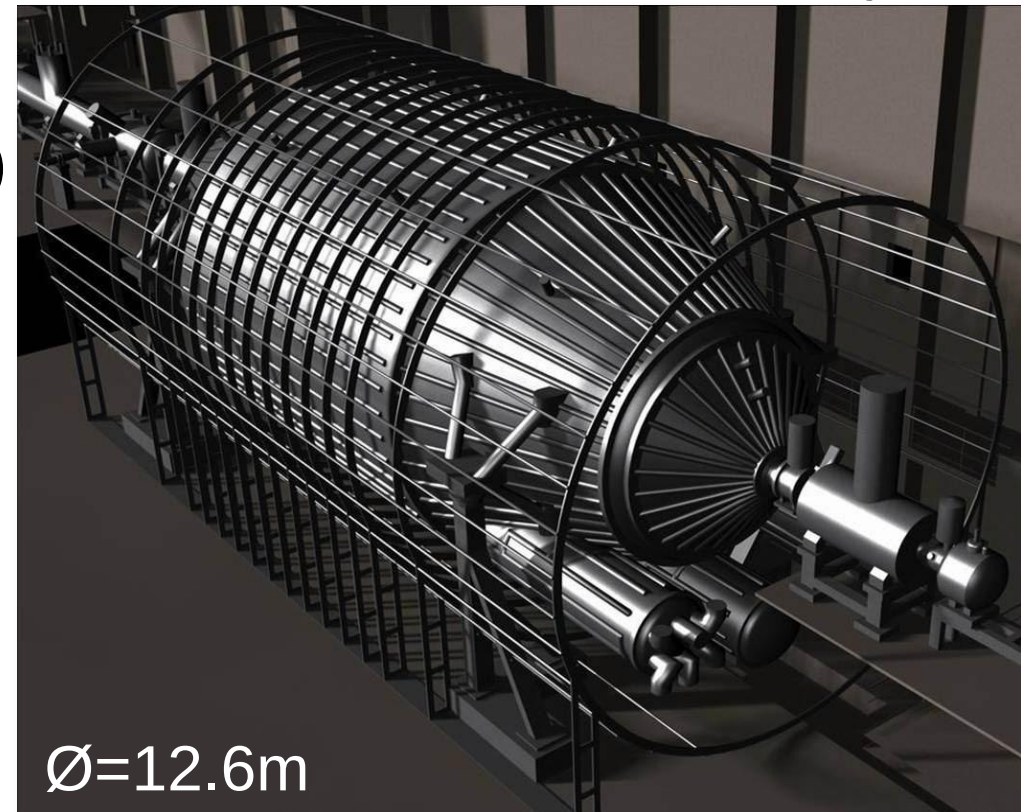
- compensate earth magnetic field (500 mG) or B-field distortions



02/09-08/09:



assembly





LFCS

EMCS

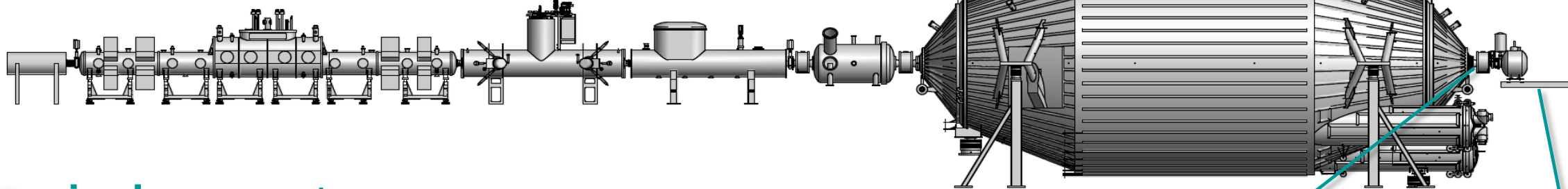
$\varnothing = 12.7 \text{ m}$

largest Helmholtz
coil system

measurement of
magnetic inhomogenities
 $\Delta B/B < 2\%$



focal plane system



- **pinch magnet**

provide maximum field $B_{\max} = 6 \text{ T}$ (bore 340mm)

- guiding B-field in rear spectrometer part
- define $\theta_{\max}$ for β -electrons in WGTS
- define energy resolution spectrometer

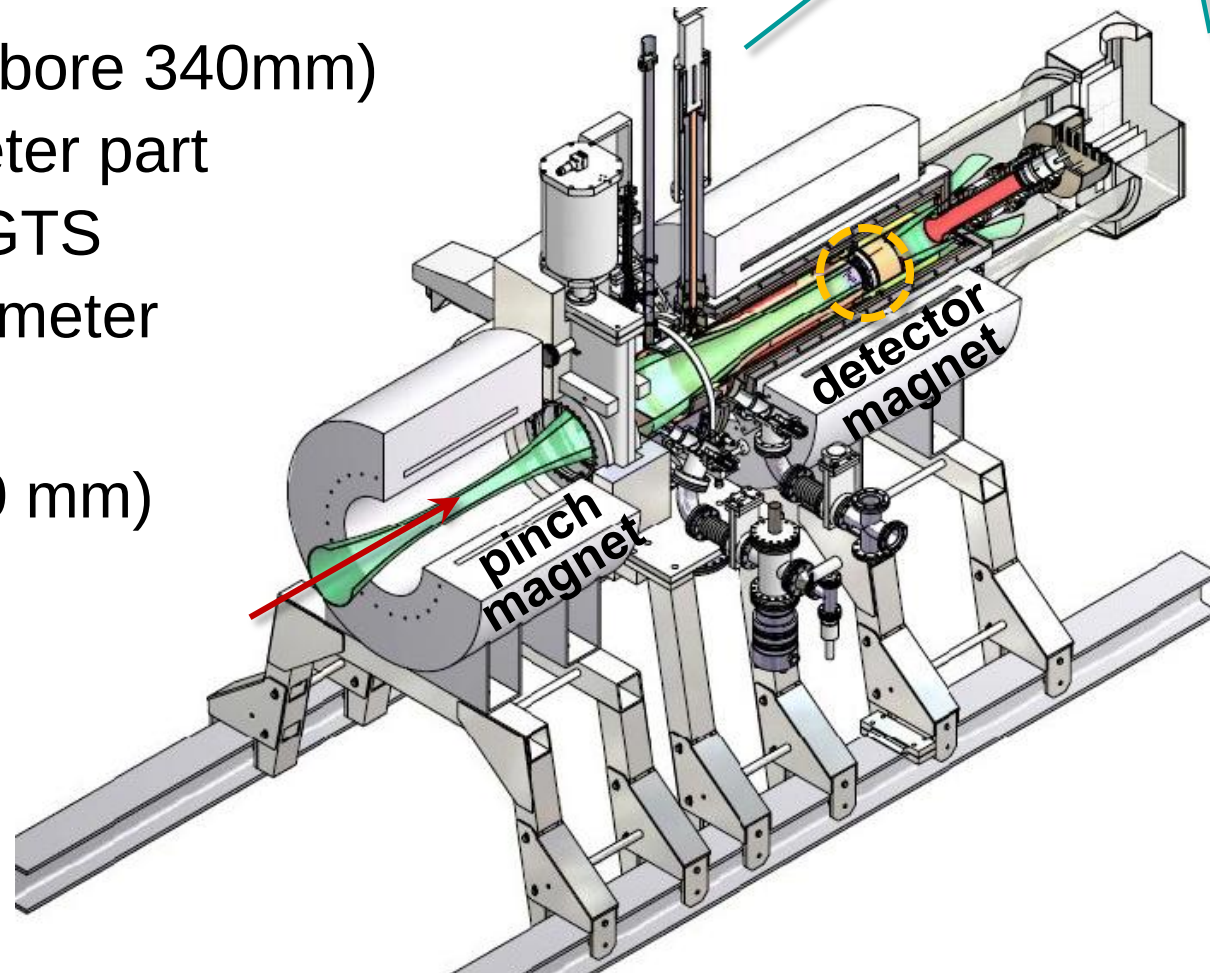
- **detector magnet**

strong field $B_{\text{det}} = 3 - 6 \text{ T}$ (bore 440 mm)

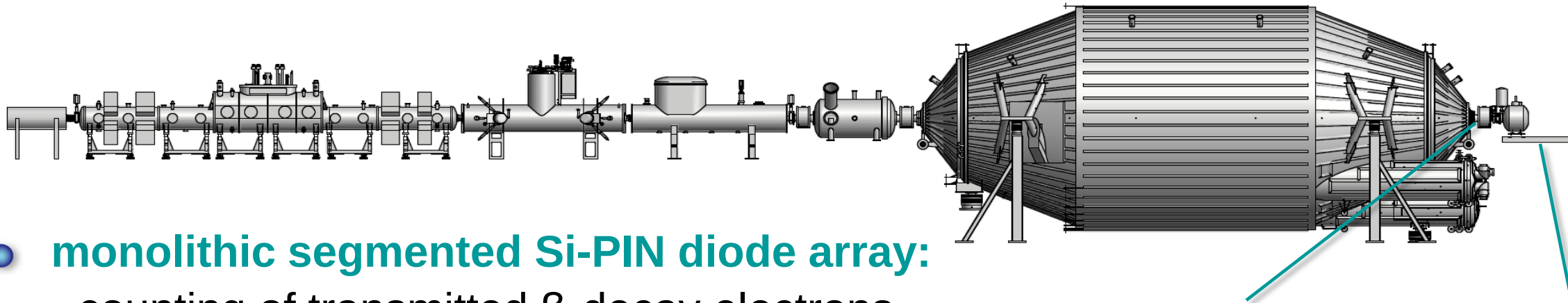
- optimised focusing of analysing plane inhomogeneities (B , U_0)

- **focal plane detector**

segmented Si-PIN diode array
read-out electronics

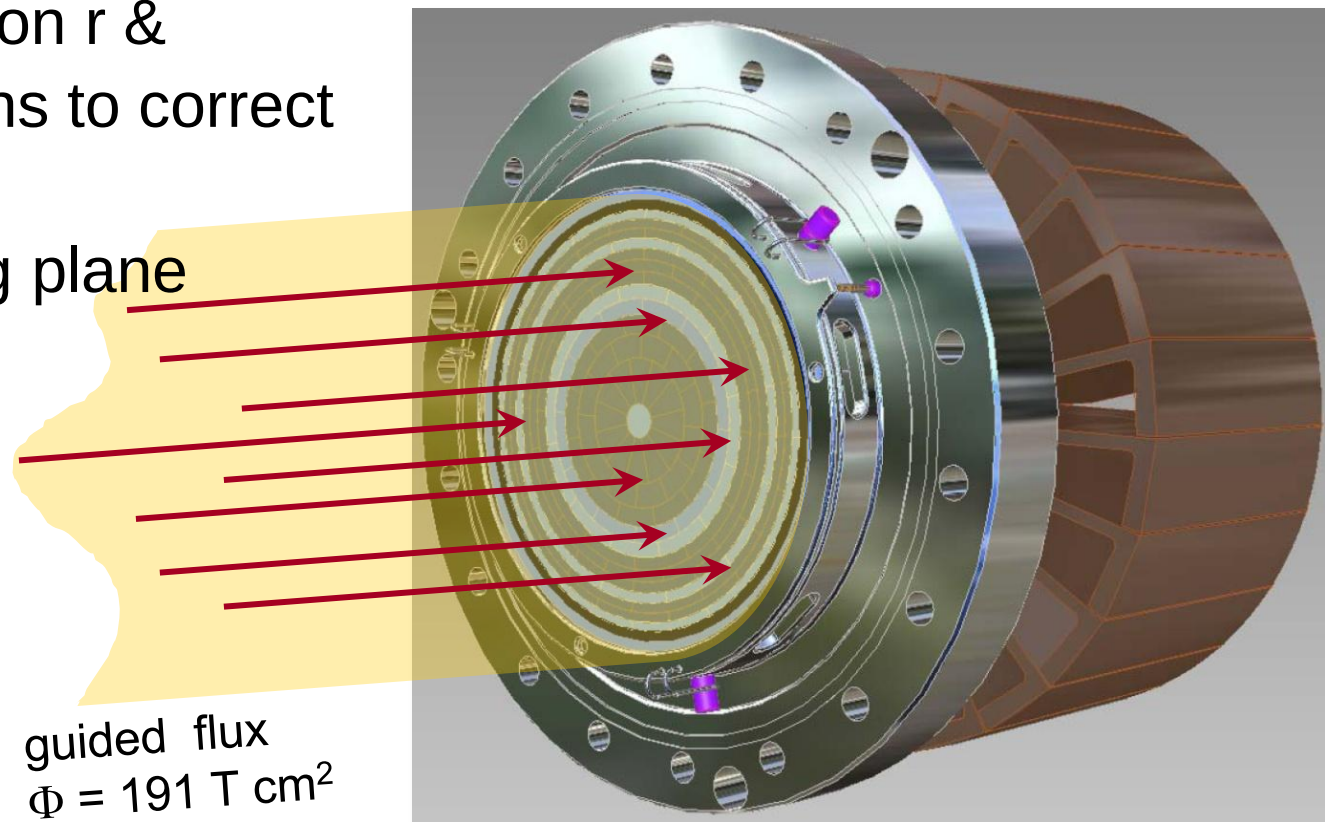


focal plane detector

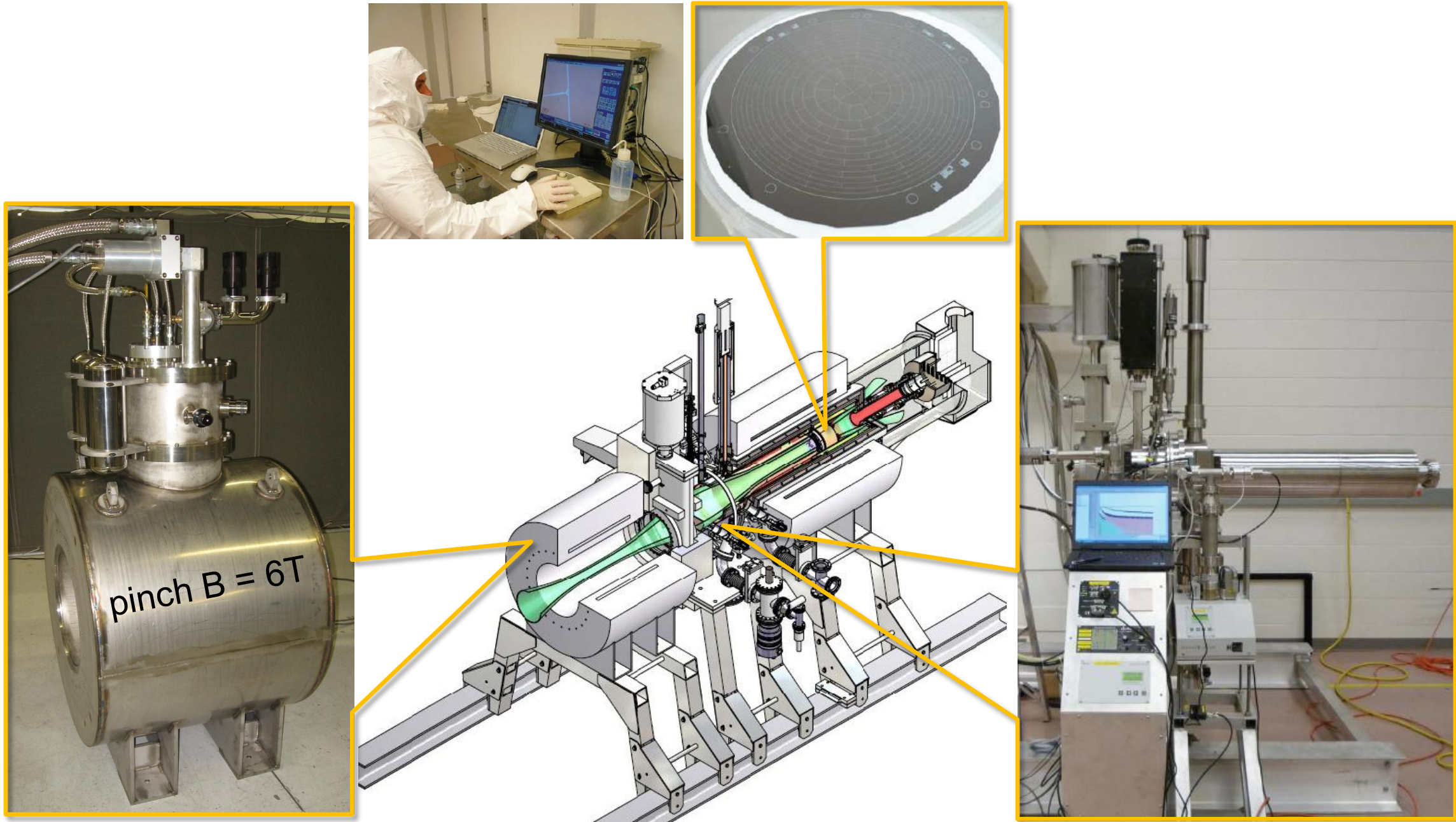


- **monolithic segmented Si-PIN diode array:**

- counting of transmitted β -decay electrons
- determination of radial position r & azimuth angle ϕ of β -electrons to correct for electrostatic & magnetic inhomogeneities in analysing plane



detector system – present hardware status



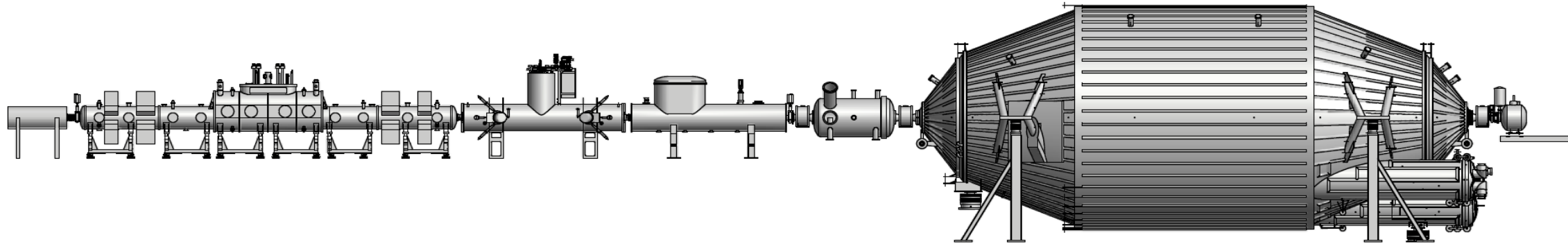
background sources

tritium source

- β -decay electrons from areas with different electrostatic potentials
- β -decays from T-/T⁺ ions

detector

- X-rays, gammas & electrons from natural radioactivity or scattered β -decay electrons (beam-halo)



total bg - rate
 $R_{bg} = 10 \text{ mHz}$

spectrometer

- stored β -electrons (Penning traps)
- low-energy shake off electrons from T₂- β -decays in central spectrometer area
- cosmic induced secondary electrons

systematic effects

$$\Delta m_{\nu}^2 = -2\sigma_{syst}^2$$



general relation for tritium- β -decay

inelastic scattering of β -decay electrons in the WGTS

- dedicated measurements with electron gun, special unfolding techniques

HV-stabilisation of spectrometer retarding potential

- precision-HV-divider (calibrated by PTB) & digital voltmeter
- monitor spectrometer (Mainz) & atomic/nuclear standard (Rb/Kr-source)

fluctuations of the WGTS column density ρd (required $< 10^{-3}$)

- stabilisation of ρd : injection pressure, beam tube $T=27K$, Laser-Raman
- measurements electron gun, rear monitor detector/system

charging effects in the WGTS

- neutralise remaining ions in WGTS ($\Phi < 20$ mV), injection of meV-e⁻

distribution of final states (molecular excitations in $^3H^3He$)

- reliable quantumchemical calculations, very good agreement

measurement intervals & spectra

optimised HV scanning procedure, parameter decorrelation by 3 regions

Region I: $E \ll E_0$

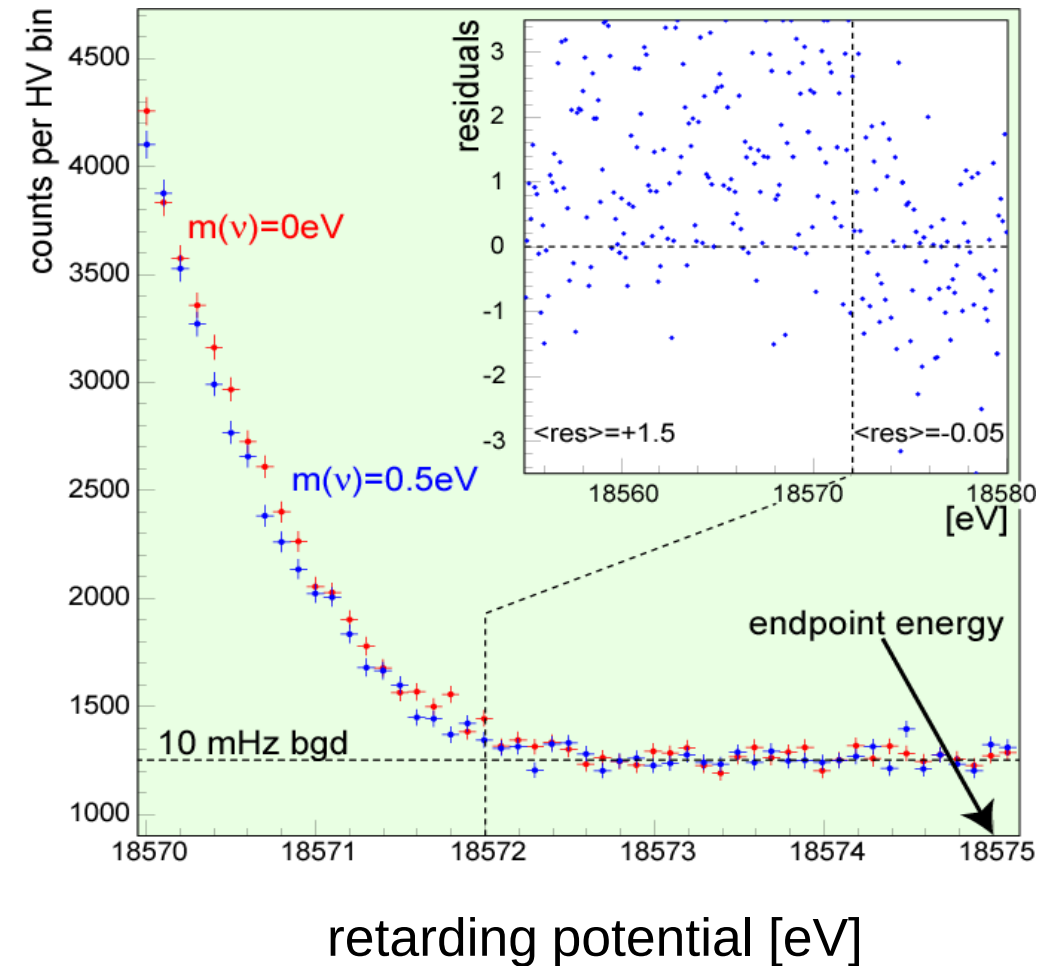
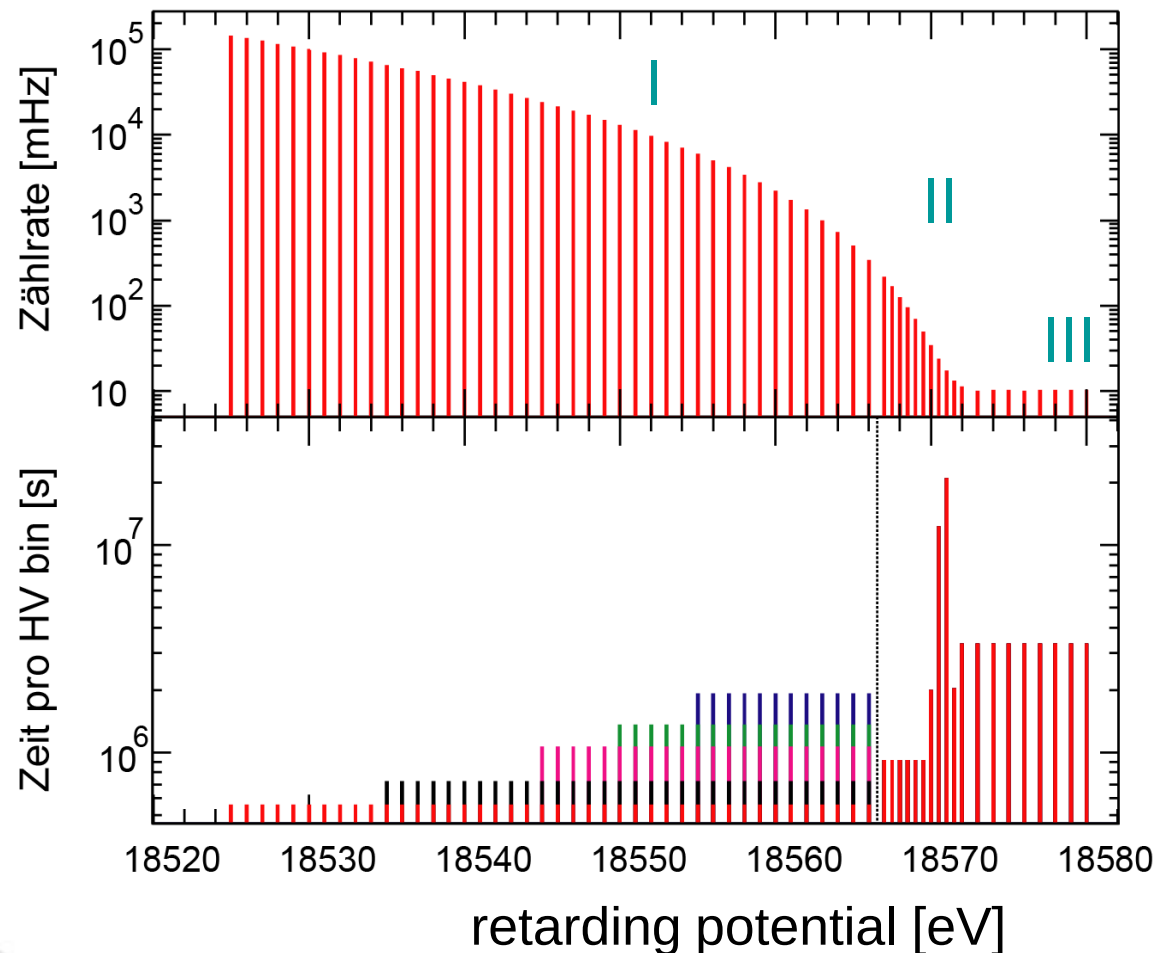
determine E_0 from fit procedure ($\Delta E_0 \sim 3$ meV)

Region II: $E \sim 18570$ eV

maximum sensitivity for $m(\nu)$ with S/B-ratio ~ 2

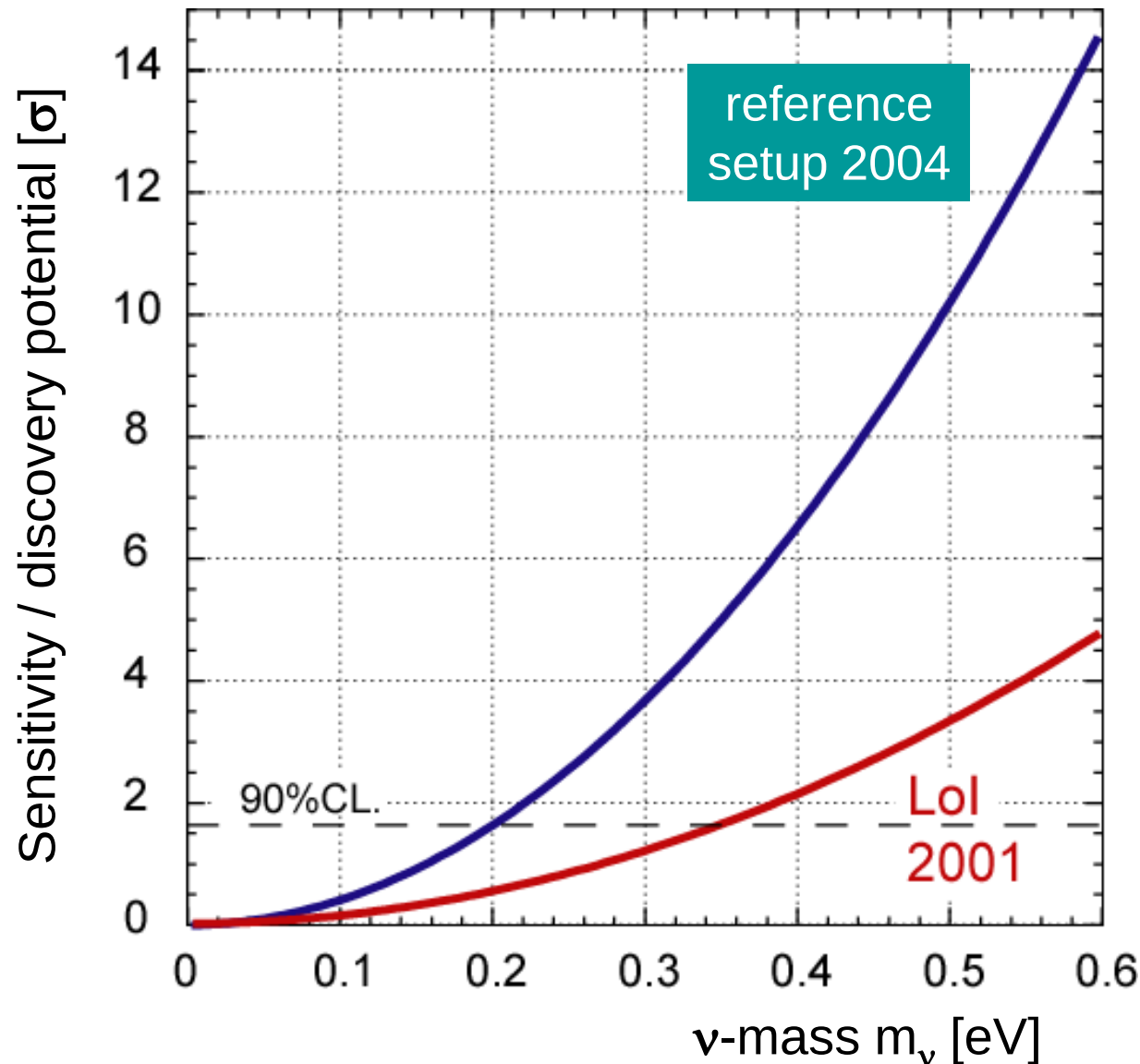
Region III: $E > E_0$

determine background rate (aim for 10 mHz)



KATRIN sensitivity

- ν -mass sensitivity for 3 full beam measuring years



statistical & systematic errors
contribute equally:

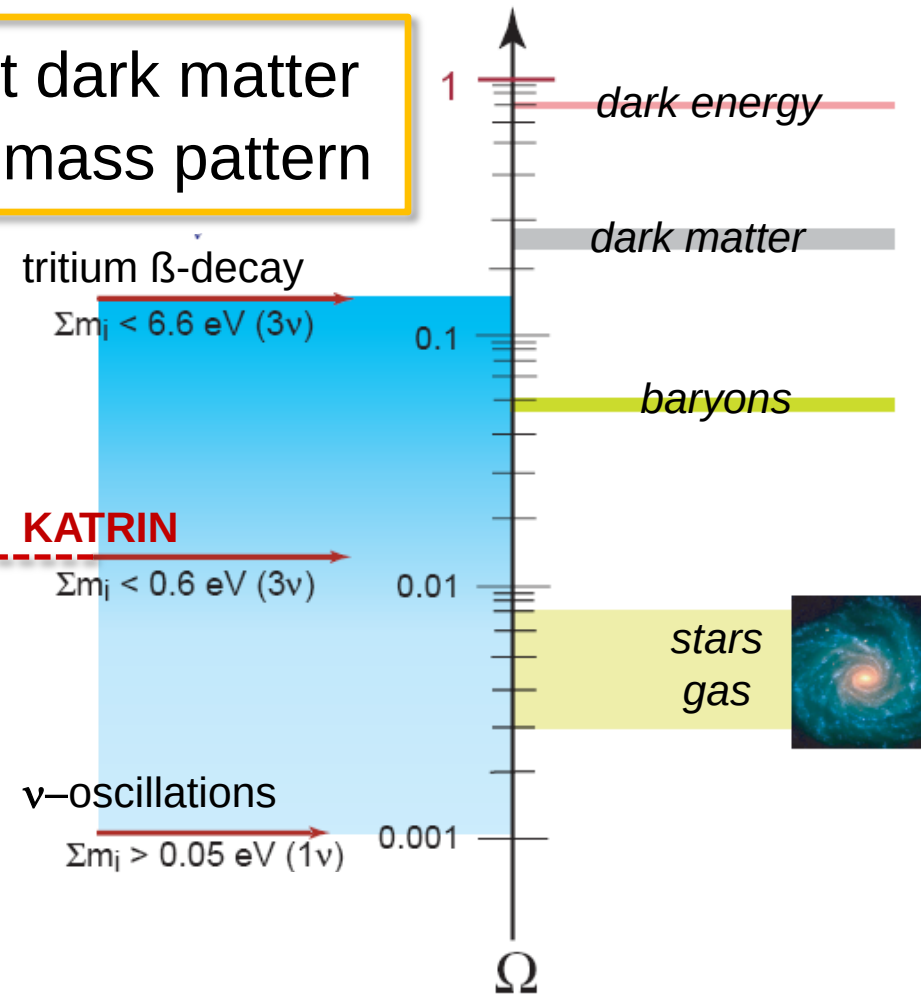
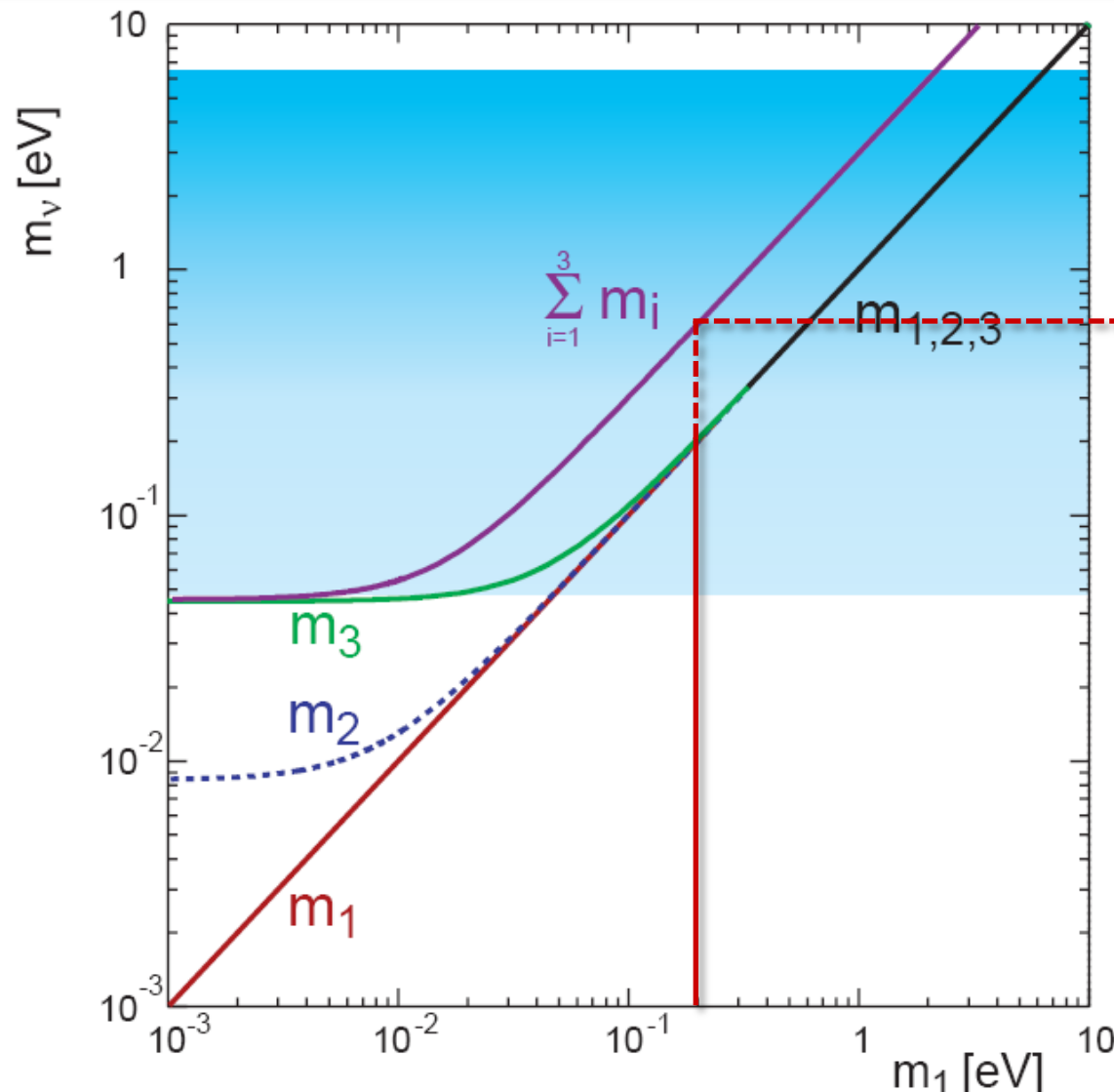
- statistical error $\sigma_{\text{stat}} = 0.018 \text{ eV}^2$
- systematic error $\sigma_{\text{syst}} < 0.017 \text{ eV}^2$

sensitivity (90% CL)
 $m(\nu) < 200 \text{ meV}$

discovery potential
 $m(\nu) = 350 \text{ meV} (5\sigma)$

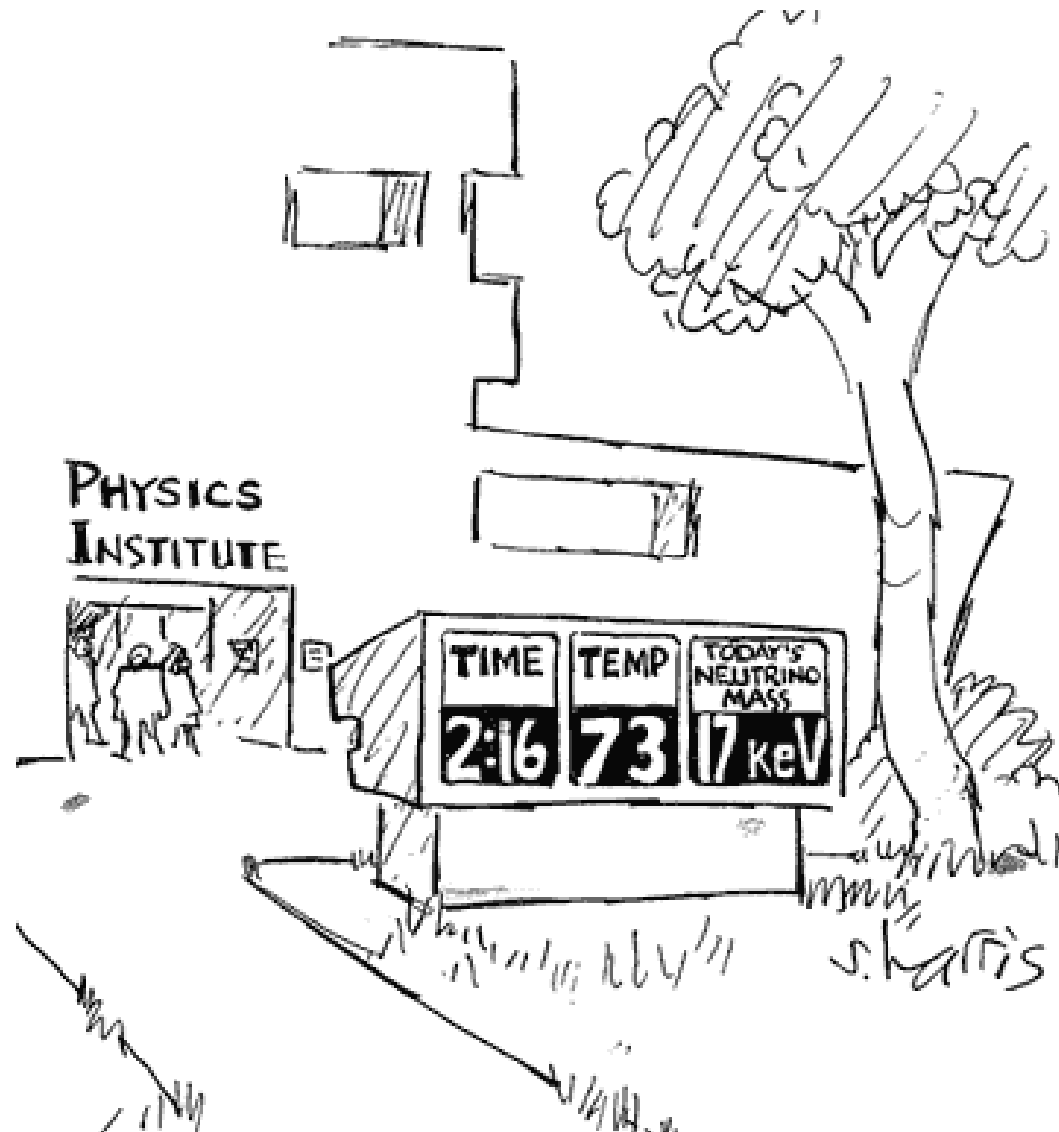
KATRIN impact on astroparticle physics

cosmic architects: fix relic- ν role as hot dark matter
microscopic keys: fix generic neutrino mass pattern



**KATRIN : a key experiment for
astroparticle physics**

start of T2-measurements: 2012



1985

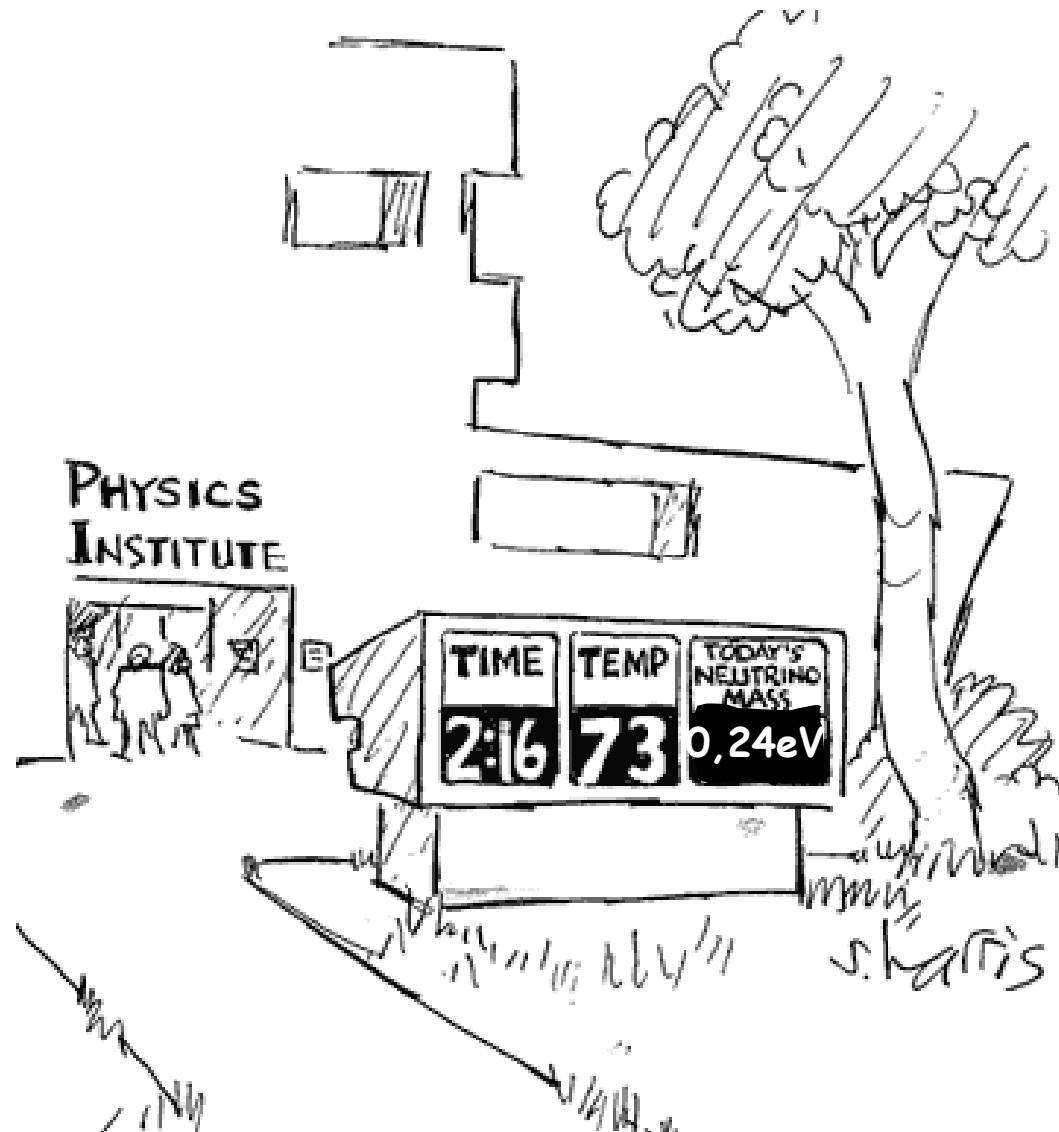
1986

1987

1988

1989

1990



2009

2010

2011

2012

2013

2014